



**SONOMA VALLEY HEALTH CARE DISTRICT  
BOARD OF DIRECTORS**

**AGENDA**

**THURSDAY, APRIL 7, 2022**

**REGULAR SESSION 6:00 P.M.**

**HELD VIA ZOOM VIDEOCONFERENCE ONLY**

**To participate via Zoom videoconferencing  
use the link below:**

<https://sonomavalleyhospital-org.zoom.us/j/95035482044?pwd=enBpRWIyYkNlbnENlYkdqbWFvRmZTUT09>

**and enter the Meeting ID: 950 3548 2044, Passcode: 668583**

**To participate via telephone only,  
dial: 1-669 900 9128 or 1-669 219 2599**

**and enter the Meeting ID: 950 3548 2044, Passcode: 668583**

<p>In compliance with the Americans Disabilities Act, if you require special accommodations to participate in a District meeting, please contact District Clerk Jenny Fontes at <a href="mailto:jfontes@sonomavalleyhospital.org">jfontes@sonomavalleyhospital.org</a> at least 48 hours prior to the meeting.</p>	<b>RECOMMENDATION</b>		
<b>AGENDA ITEM</b>			
<p><b>MISSION STATEMENT</b> <i>The mission of SVHCD is to maintain, improve, and restore the health of everyone in our community.</i></p>			
<p><b>1. CALL TO ORDER</b></p>	<i>Rymer</i>		
<p><b>2. CLOSED SESSION</b> a. <u>Calif. Government Code §54956.9(d)(1)</u>: Discussion Regarding Existing Litigation (case name unspecified due to patient confidentiality)</p>			
<p><b>3. REPORT ON CLOSED SESSION</b></p>	<i>Rymer</i>		
<p><b>4. PUBLIC COMMENT</b> <i>At this time, members of the public may comment on any item not appearing on the agenda. It is recommended that you keep your comments to three minutes or less. Under State Law, matters presented under this item cannot be discussed or acted upon by the Board at this time. For items appearing on the agenda, the public will be invited to make comments at the time the item comes up for Board consideration.</i></p>			
<p><b>5. BOARD CHAIR COMMENTS</b></p>	<i>Rymer</i>		
<p><b>6. CONSENT CALENDAR</b> a. Board Minutes 03.03.22 b. Finance Committee Minutes 02.22.22 c. Quality Committee Minutes 02.23.22</p>		Action	1-21

d. Governance Committee Minutes 02.16.22 e. Resolution 362: Brown Act Amendment AB 361 -Flexibility for Virtual Meetings f. Policy and Procedures g. Medical Staff Credentialing			
<b>7. LA LUZ</b>	<i>Leonardo Lobato</i>	Inform	
<b>8. CHIEF OF STAFF REPORT</b>	<i>Dr. Solomon</i>	Inform	22-24
<b>9. ODC UPDATE</b>	<i>Drummond</i>	Inform	25-29
<b>10. CT CONTRACT APPROVAL FOR GENERAL CONTRACTOR</b>	<i>Hennelly</i>	Action	30-87
<b>11. ANESTHESIA MACHINES QUOTE FOR APPROVAL</b>	<i>Hennelly</i>	Action	88-106
<b>12. BRIDGE LOAN RESOLUTION 365</b>	<i>Dungan</i>	Action	107-109
<b>13. FY 2023 BUDGET UPDATE</b>	<i>Dungan</i>	Inform	
<b>14. ENGAGE AUDITORS MID-YEAR REVIEW</b>	<i>Dungan</i>	Inform	
<b>15. FINANCIALS FOR MONTH END FEBRUARY 2022</b>	<i>Dungan</i>	Inform	110-116
<b>16. CEO REPORT</b>	<i>Hennelly</i>	Inform	117-120
<b>17. DISCUSSION ABOUT RETURNING TO IN-PERSON MEETINGS</b>	<i>Rymer</i>	Inform	121
<b>18. BOARD COMMENTS</b>	<i>Board Members</i>	Inform	
<b>19. ADJOURN</b>	<i>Rymer</i>		

Note: To view this meeting you may visit <http://sonomatv.org/> or YouTube.com.



**SONOMA VALLEY HEALTH CARE DISTRICT  
BOARD OF DIRECTORS' REGULAR MEETING**

**MINUTES**

THURSDAY, MARCH 3, 2022

**HELD VIA ZOOM VIDEOCONFERENCE**

	<b>RECOMMENDATION</b>	
<b>SONOMA VALLEY HOSPITAL BOARD MEMBERS</b> 1. Joshua Rymer, Chair, Present 2. Judith Bjorndal, First Vice Chair, Present 3. Michael Mainardi, Second Vice Chair, Present 4. Bill Boerum, Treasurer, Present 5. Susan Kornblatt Idell, Secretary, Present		
<b>MISSION STATEMENT</b> <i>The mission of SVHCD is to maintain, improve and restore the health of everyone in our community.</i>		
<b>1. CALL TO ORDER</b> The meeting was called to order at 6:00 p.m.	<i>Rymer</i>	
<b>2. PUBLIC COMMENT</b> None	<i>Rymer</i>	
<b>3. BOARD CHAIR COMMENTS</b> None		
<b>4. CONSENT CALENDAR</b>	<i>Rymer</i>	Action
a. Affiliation Oversight Committee Minutes 02.01.22 b. Board Minutes 02.03.22 c. Emergency Session Minutes 02.08.22 d. Finance Committee Minutes 01.25.22 e. Quality Committee Minutes 01.26.22 f. Governance Committee Minutes 01.19.22 g. Resolution 362: Brown Act Amendment AB 361 Flexibility for Virtual Meetings h. Policy and Procedures i. Medical Staff Credentialing	<i>Rymer</i>	<b>MOTION:</b> by Kornblatt Idell to approve, 2 <sup>nd</sup> by Boerum. All in favor.
<b>5. UCSF AFFILIATION UPDATE – SHELBY DECOSTA</b> Ms. Shelby Decosta, President of the UCSF Affiliates Network presented the affiliations visions and accomplishments, UCSF updates, and the 2022 affiliation goals and next steps. Accomplishments between Sonoma Valley Hospital and UCSF over the past four years were the appointment of Chief Medical Officer Dr. Sabrina Kidd, the development of expanded telehealth and clinical program collaborations, elevating the quality of care at SVH, meeting requirements of co-branding, and joint advertising. UCSF established the management services agreement creating a structure in which SVH Executives are UCSF employees, they partnered in addressing the COVID-19 crisis, and recruited key personnel. Ms. Decosta	<i>Decosta</i>	Inform

announced the new CEO & President of UCSF Health, Suresh Gunasekaran. Mr. Gunasekaran plans to continue with the affiliations strategic plan. Ms. Decosta said one of the higher-level goals is to provide UCSF care to patients in the North Bay and to expand high quality primary and specialty care. Additional goals include increasing specialist access and surgical presence in Sonoma, telemedicine expansion, and improved access of UCSF care, integration of IT services, and access to Epic. Ms. Decosta ended her presentation with a thank you to Dr. Sabrina Kidd for her exemplary leadership.		
<b>6. LINE OF CREDIT RENEWAL</b>	<i>Dungan</i>	Action
Ms. Dungan reviewed the line of credit renewal. Current balance is at \$5.4M, the LOC renewal has maximum of \$5.5M. The interest rate is 2.5% in excess of term SOFR, previously it was 2.5% in excess of LIBOR. Mr. Boerum said the FC would like an analysis of the trade-offs of paying down the loan, reducing interest payments, versus using up cash.		<b>MOTION:</b> by Boerum to approve, 2 <sup>nd</sup> by Mainardi. All in favor.
<b>7. BRIDGE LOAN RESOLUTION 364</b>	<i>Dungan</i>	Action
Ms. Dungan reviewed the QIP bridge loan resolution. She said this is a bridge loan that bridges the gap between the prime grant and QIP grant. The loan has a 24-month term, with a balloon payment at the end, 0% interest, 1% admin fee. Legal reviewed the bridge loan.		<b>MOTION:</b> by Boerum to approve, 2 <sup>nd</sup> by Kornblatt Idell. All in favor.
<b>8. REVIEW FY 2023 BUDGET ASSUMPTIONS</b>	<i>Dungan</i>	Inform
Ms. Dungan reported on the 2023 budget assumptions. Areas of growth included in the budget are CT, a small growth in outpatient referral volumes, and GI procedures. Gross revenue will increase with assumptions of volume, and there will be an expected annual price increase of 6%. Areas that need more research are wages and benefits. HR is currently doing an analysis of the hospitals wages versus market wages. The estimated increase for food and supplies in 2023 is between 2% to 4%. The Materials manger is working with the hospitals purchasing partner to get a more accurate inflation factors for the budget. There will be a cross over between Paragon and Epic, with an elimination of some contracts as Epic is implemented. The Finance Committee will be apprised of any new updates in the 2023 budget.		
<b>9. FINANCIALS FOR MONTH END JANUARY 2022</b>	<i>Dungan</i>	Inform
Ms. Dungan reviewed the financial report for month end January 2022. Inpatient and ER volumes increased. Ms. Dungan said she accrued \$375K for the AB 915 outpatient supplement payment. Days cash on hand were 54.3, A/R days were 41.4, A/P was \$4.2M, A/P days were 52.1. EBDA was -2.3%. In January \$2.7M was received for the rate range IGT, \$2M was put back into money market. Operating cash was about \$9M, average days cash on hand was 54.3, cash on hand at the end of the month was 59.7.		
<b>10. CEO REPORT</b>	<i>Hennelly</i>	Inform
Mr. Hennelly reviewed his CEO report. He said January was a difficult month due to staffing challenges, February is looking much better. The ODC project is underway, the EPIC contract has been executed, and a Project Manager was hired for EPIC. The Quality score card was reviewed. Dr. Kidd said for the first time in a long		



time CORE Measures for Sepsis Care were all 100% within all various components and HCAP scores are starting to improve. Ms. Cooper will add the N to all scores.		
<b>11. TRIBUTE THANKING DR. KIDD FOR HER TENURE AT SONOMA VALLEY HOSPITAL</b>	<i>Rymer</i>	Inform
Mr. Rymer gave tribute to Dr. Kidd and thanked her for her work at the hospital. Dr. Mainardi thanked Dr. Kidd for her contribution to the Quality Committee and Quality metrics. Ms. Kornblatt Idell, Dr. Bjorndal, and Mr. Boerum thanked Dr. Kidd for her incredible work at the hospital and leadership during the COVID19 crisis.		
<b>12. CMO REPORT</b>	<i>Kidd</i>	Inform
Dr. Kidd said staffing stabilized and there have been no in-house COVID19 outbreaks. Mr. Kobe is working on a grant for new respirators, Valley of the Moon has a new Director, and Dr. Alexandridis will oversee the EPIC project. Dr. Kidd thanked the Board for their continued support.		
<b>13. ODC PROJECT UPDATE</b>	<i>Drummond/ Hennelly</i>	Inform
Ms. Kimberly Drummond reviewed the CT suite remodel update milestones from February through July 2022. She said the goal is to have the CT project finished by the Summer of 2022. Ms. Drummond reported on the incremental funding, approved costs, cost incurred and forecast completion of the CT suite remodel. She said phase two of the CT project is planned in conjunction with the MRI project. Ms. Drummond reviewed the MRI suite remodel update milestones from February to July 2022. In May the bid process should be ready so that a contract can be awarded in June for the initial demolition work. In July, after the demolition is complete, the contract for CT phase two and MRI re-design can then be awarded. Mr. Drummond said there will be a contract proposal for the architect pre-construction contract for the MRI at the April meeting. She reviewed the detailed MRI cost breakdown.		
<b>14. 2022 STRATEGIC INITIATIVES DISCUSSION</b>	<i>Hennelly</i>	
Mr. Hennelly presented his 2022 strategic initiatives report. Mr. Hennelly reviewed short-term growth opportunities, which included a need to strengthen the relationship between SVH and UCSF, expanding Orthopedics, reestablishing the Gastroenterology service line, strengthening cardiology, and destination programs such as Orthopedics. Mr. Hennelly suggested the possibility new community care sites, and said the focus would be on a 21 <sup>st</sup> century care sight dedicated to specialty care and diagnostics. Finally, Mr. Hennelly described additional opportunities, including new UCSF specialty care programs, redevelopment of the main hospital campus and investments to meet the 2030 seismic requirements.  The board provided some feedback to Mr. Hennelly, including: <ul style="list-style-type: none"> <li>• Mr. Boerum hoped for more urgency with short term goals, and complimented Mr. Hennelly on his “business plan” approach. Mr. Boerum also suggested that specific, high opportunity service lines for destination programs be identified in the document.</li> <li>• Mr. Rymer suggested that the needs of our community and</li> </ul>		

<p>financial stability of the hospital need to go hand in hand. He also preferred building on the UCSF brand, their access to physicians/ specialties, as the basis upon which to identify opportunities.</p> <ul style="list-style-type: none"> <li>• Dr. Bjorndal suggested a task force that looks at destination programs worth pursuing.</li> <li>• Dr. Mainardi thought it would be worth looking at a Urology program.</li> </ul>		
<p><b>15. BOARD COMMENTS</b></p>	<p><i>Board Members</i></p>	
<p>None</p>		
<p><b>16. ADJOURN</b></p>	<p><i>Rymer</i></p>	
<p>Adjourned at 8:33 pm</p>		



**SVHCD**  
**FINANCE COMMITTEE MEETING**  
**MINUTES**  
**TUESDAY, FEBRUARY 22, 2022**  
**Via Zoom Teleconference**

<b>Present</b>	<b>Not Present/Excused</b>	<b>Staff</b>	<b>Public</b>	
Bill Boerum via Zoom Judy Bjorndal via Zoom Catherine Donahue via Zoom Bruce Flynn via Zoom Carl Gerlach via Zoom Art Grandy via Zoom Peter Hohorst via Zoom Wendy Lee via Zoom	Joshua Rymer Subhash Mishra (MD)	Ben Armfield via Zoom Kimberly Drummond via Zoom Sarah Dungan via Zoom Jenny Fontes via Zoom John Hennelly via Zoom Dave Pier via Zoom		
<b>AGENDA ITEM</b>		<b>DISCUSSION</b>	<b>ACTIONS</b>	<b>FOLLOW-UP</b>
<b>MISSION &amp; VISION STATEMENT</b> <i>The mission of SVHCD is to maintain, improve and restore the health of everyone in our community.</i>				
<b>1. CALL TO ORDER/ANNOUNCEMENTS</b> a. INTRODUCTION OF BEN ARMFIELD (CFO)		<i>Hennelly</i>		
		Called to order at 5:01 p.m. Mr. Hennelly introduced the new Chief Financial Officer Mr. Ben Armfield.		
<b>2. PUBLIC COMMENT SECTION</b>		<i>Boerum</i>		
		None		
<b>3. CONSENT CALENDAR (ACTION)</b>		<i>Boerum</i>	Action	
a. Finance Committee Minutes 01.25.22			<b>MOTION:</b> by Grandy to approve with revisions, 2 <sup>nd</sup> by Boerum. All in favor.	

<b>4. BRIDGE LOAN RESOLUTION 364</b>	<i>Dungan</i>	Inform	
	Ms. Dungan reviewed the Bridge Loan Resolution 364. The loan is a two-year loan at 0% interest with a 1% administrative fee. Ms. Dungan will include a brief cover memo at the Board meeting. The committee suggested legal review of the document.		
<b>5. LINE OF CREDIT RENEWAL</b>	<i>Dungan</i>	Action	
	Ms. Dungan reviewed the Line of Credit Renewal (LOC). Currently the LOC is at 5.5M, with a term of 2 years, interest rate changed to 2.5% term SOFR. Ms. Dungan suggested keeping the LOC as is, with a focus on completing ODC and implementing EPIC. Mr. Hennelly said Finance will review and determine the costs/impacts of paying down portions of the LOC. Mr. Boerum suggested this topic be discussed at the next meeting.	<b>MOTION:</b> by Grandy to approve, 2 <sup>nd</sup> by Hohorst. All in favor.	
<b>6. REVIEW FY 2023 BUDGET ASSUMPTIONS</b>	<i>Dungan</i>	Inform	
	Ms. Dungan reviewed the FY 2023 Budget Assumptions. HR is analyzing market rates for health care workers; labor costs are expected to increase on the 2023 budget. Ms. Dungan will report on the increases in upcoming meetings. Ms. Dungan may increase budget for a GI physician and CT levels may increase by 10%. Gross revenue is based on volume assumptions and there is a 6% annual price increase. Contractional allowances are based on current payment percentages, there is an additional revenue reduction for price increase. Next year SVH will receive a 12-month rate rage and IGT. The Foundation will pay 100K annually in 2023 and 2024 for the new project manager for the ODC and ICU. Ms. Dungan said Finance is always looking at		

	contracts for ways to decrease costs. Current inflation rates and Epic profitability were not included in this review.		
<b>7. REVENUE ANALYSIS/PROFITABILITY</b> a. Report of sub-committee	<i>Boerum</i>	Inform	
	The sub-committee requested a deeper dive into revenues. A written report will be provided by the sub-committee at the next FC meeting.		
<b>8. FINANCIAL REPORT FOR MONTH END JANUARY 2022</b>	<i>Dungan</i>		
	Ms. Dungan reviewed the financial report for month end January 2022. Inpatient and ER volumes increased. Ms. Dungan said she accrued 375K for the AB 915 outpatient supplement payment. Days cash on hand were 54.3, A/R days were 41.4, A/P was \$4.2M, A/P days were 52.1. EBDA was -2.3%. In January 2.7M was received for the rate range IGT, 2M was put back into money market. Operating cash was about 9M, average days cash on hand was 54.3, cash on hand at the end of the month was 59.7. The second IGT was received.		
<b>9. ODC UPDATE</b>	<i>Hennelly</i>		
	Mr. Hennelly said they have transitioned from Dome and Taylor to SKA Architects and GMH for the first phase of the CT. The CT and MRI are now two separate projects. CT will be a direct contract with GMH, and they will bid out for the MRI project.  Ms. Drummond reviewed the Status of the ODC presentation which included a CT suite remodel update. 345K has been authorized by		

	<p>the BOD, by March 2022 they will know if additional work is needed. The project will be incrementally funded according to the knowledge they have.</p> <p>Ms. Drummond reviewed the MRI Suite Remodel Update. An informal bid is needed to complete the MRI demolition. Amended plans will be submitted to HCAI for review in April. A special Board meeting may be needed in March for approval. 6.2M is remaining of the 9.35M approved by the Board. No costs have been awarded for this project yet.</p>		
<b>10. 2022 STRATEGIC INITIATIVES DISCUSSION</b>	<i>Hennelly</i>		
	<p>Mr. Hennelly discussed his 2022 Strategic Initiatives. He said growth initiatives were divided into short term and long-term projects. Short term goals are leveraging EPIC, maximizing connectivity between SVH and UCSF, and increasing service lines. The second phase is looking at long term goals, such as opportunities to create destination projects, aggregating services in the community into a larger ambulatory center, an integration of a clinically integrated network with UCSF, and a strategic plan for seismic upgrades. Mr. Boerum is requesting strategic financial objectives in the plan. Ms. Lee would like primary and secondary care pathways mapped out before EPIC implementation.</p>		
<b>11. ADJOURN</b>	<i>Boerum</i>		
	Meeting adjourned at 6:39 p.m.		



**SONOMA VALLEY HEALTH CARE DISTRICT  
QUALITY COMMITTEE**

**February 23, 2022 5:00 PM**

**MINUTES**

**Via Zoom Teleconference**

<b>Members Present – Via Zoom</b>	<b>Members Present cont.</b>	<b>Excused</b>	<b>Public/Staff – Via Zoom</b>
Michael Mainardi, MD Susan Kornblatt Idell Carol Snyder Ingrid Sheets Cathy Webber		Howard Eisenstark	John Hennelly, CEO Sabrina Kidd, MD, CMO Kylie Cooper, Quality and Risk Mgmt Mark Kobe, CNO Judy Bjorndal, Board Member Jenny Fontes, Board Clerk and EA Chris Kutza, Director of Pharmacy Dana Fry, Director Surgery

<b>AGENDA ITEM</b>	<b>DISCUSSION</b>	<b>ACTION</b>
<b>1. CALL TO ORDER/ANNOUNCEMENTS</b>	<i>Kornblatt Idell</i>	
	Meeting called to order at 5:00 pm. Ms. Kornblatt Idell announced that this is Dr. Kidd’s last meeting with the Quality Committee and thanked her for her service at Sonoma Valley Hospital.	
<b>2. PUBLIC COMMENT</b>	<i>Kornblatt Idell</i>	
	None	
<b>3. CONSENT CALENDAR</b>	<i>Kornblatt Idell</i>	<b>ACTION</b>
<ul style="list-style-type: none"> <li>QC Minutes 01.26.22</li> </ul>		<b>MOTION:</b> by Mainardi to approve with revisions, 2 <sup>nd</sup> by Sheets. All in favor.
<b>4. PHARMACY QA/PI</b>	<i>Kutza</i>	
	Mr. Chris Kutza reviewed the Pharmacy QA/PI report. The report included adverse drug events, antimicrobial stewardship, controlled substances, pyxis utilization, IV room, and pharmacy services. Mr. Kutza said when using a smart pump, a library of medications is installed into the	

	<p>smart pumps and each medication is prebuilt with limits that prevent overdoses or underdoses. The prebuilt guides give different alerts when the wrong dose is given. Antimicrobial stewardships are antibiotics that are used in specific situations, usually with resistant infections. These are all monitored to ensure they are being used appropriately, all are performing within expectations. Controlled substances are audited with inpatient charting and anesthesia waste, all are performing within range. The IV room requires mandatory testing and certification, all tests are performing well. SVH contracts with a remote pharmacy that helps manage pharmacy after hours, services are performing within range. Pyxis utilization is is being audited and performing at or above goal.</p>	
<b>5. QUALITY INDICATOR PERFORMANCE AND PLAN</b>	<i>Cooper</i>	
	<p>Ms. Kylie Cooper presented the Quality Indicator Performance and Plan for January 2022. This included reviews of mortality, AHRQ patient safety indicators, patient falls (preventable harm), readmissions, blood culture contamination, CIHQ stroke certification measures, utilization management, core measures, core measures sepsis, infection prevention, inpatient patient satisfaction, and ambulatory surgery patient satisfaction.</p>	
<b>7. POLICIES AND PROCEDURES</b>	<i>Cooper</i>	
	<p>Ms. Cooper reviewed the following policies:</p> <p><b><u>Policies with changes made:</u></b>  Code Blue-Broselow Carts and Emergency Medications  Patient Admitting  Patient Grievance and Complaint Policy  Service of Legal Proceedings  Unannounced Survey Response Plan</p>	
<b>9. REPORT ON CLOSED SESSION</b>	<i>Kornblatt Idell</i>	
	<p>Medical Staff credentialing was reviewed and approved.</p>	<p><b>MOTION:</b> by Mainardi to approve, 2<sup>nd</sup> by Sheets. All in favor.</p>



	The Committee discussed Potential Litigation (case name unspecified due to patient confidentiality.) The discussion was informational only; no decisions were made.	
<b>10. ADJOURN</b>	<i>Kornblatt Idell</i>	
	6:00 pm	



**SVHCD GOVERNANCE  
COMMITTEE MEETING**

**MINUTES**

**WEDNESDAY FEBRUARY 16, 2022**

<b>Present</b>	<b>Not Present</b>	<b>Staff</b>	<b>Public</b>	
Bill Boerum via Zoom Judith Bjorndal via Zoom Amy Jenkins via Zoom		Jenny Fontes via Zoom		
<b>AGENDA ITEM</b>	<b>DISCUSSION</b>		<b>ACTIONS</b>	<b>FOLLOW-UP</b>
<b>MISSION &amp; VISION STATEMENT</b> <i>The mission of SVHCD is to maintain, improve and restore the health of everyone in our community.</i>				
<b>1. CALL TO ORDER/ANNOUNCEMENTS</b>	<i>Boerum</i>			
	Called to order at 6:01 p.m.			
<b>2. PUBLIC COMMENT SECTION</b>	<i>Boerum</i>			
	None			
<b>3. CONSENT CALENDAR</b>	<i>Boerum</i>			
Governance Committee Minutes 01.19.22			<b>MOTION:</b> by Bjorndal to approve, 2 <sup>nd</sup> by Boerum. All in favor.	
<b>4. REVIEW COMPOSITON OF STANDING COMMITTEES</b>	<i>Boerum</i>			
	Deferred to March 2022 GC meeting. Mr. Boerum will provide materials for this topic.			
<b>5. IDENTIFY SKILL SETS OF COMMITTEE MEMBERS &amp; CORRECT PROCEDURES</b>	<i>Boerum</i>			
	Deferred to March 2022 GC meeting. Mr. Boerum will provide materials for this topic.			

<p><b>6. FORM 700 REVIEW</b></p>	<p>No more discussion is needed. Form 700 was discussed at the February 2022 meeting.</p>		
<p><b>7. PLAN NEXT BOARD RETREAT</b></p>	<p>The committee recommends the Board Retreat take place on April 19th, 20th, or 21st, at the Sonoma Golf Club. The Committee recommends the topics of self-care, professional development, and the future of the hospital from a legislative perspective.</p>		
<p><b>8. REVIEW SELECTED POLICES - <i>FORMAT AND INSTRUCTION FOR PREPARING BOARD LETTERS, INVESTMENT POLICY, MOU BETWEEN SVHCD &amp; SVHF – SIGNED VERSION</i></b></p>	<p>The committee reviewed the following policies:</p> <ul style="list-style-type: none"> <li>• <b>Format and instruction for preparing board letters policy.</b> The committee agreed that this policy was unnecessary long but in view of other priorities, the committee accepts the policy as written. The 2015 review date should be stricken. The next review date will be February 2025.</li> <li>• <b>Investment policy.</b> Editorial change to second sentence in policy, with the addition on “shall”. “If surplus funds exceed \$5,000,000, an investment policy <del>is to</del> <i>shall</i> be developed and approved by the Finance Committee.”</li> <li>• <b>MOU-Between SVHCD &amp; SVHF – Signed Version.</b> The committee agreed that no changes are needed for this policy. There are typos that need to be corrected. Ms. Fontes will forward the typos that Ms. Jenkins found to Mr. Pier and request they be corrected. Mr. Boerum would like to add additional text. The respective Boards will review this no later than 2023.</li> </ul> <p>Ms. Fontes will update the original SVH policy spreadsheet with updates.</p> <p>The next policies to be reviewed for the March 2022 meeting will be Guidelines for Board meeting minutes, Board Committee Selection Process and Conflict of Interest.</p>	<p><b>MOTION:</b> by Bjornald to approve revisions to board letter &amp; investment policy, 2<sup>nd</sup> by Boerum. All in favor.</p>	
<p><b>9. ADJOURN</b></p>	<p><i>Boerum</i></p>		
	<p>Adjourned at 6:30 p.m.</p>		

RESOLUTION NO. 362

A RESOLUTION OF THE BOARD OF DIRECTORS OF THE SONOMA VALLEY HEALTHCARE DISTRICT PROCLAIMING A LOCAL EMERGENCY PERSISTS, RE-RATIFYING THE PROCLAMATION OF A STATE OF EMERGENCY BY AB361, AND RE-AUTHORIZING REMOTE TELECONFERENCE MEETINGS OF THE LEGISLATIVE BODIES OF SONOMA VALLEY HEALTHCARE DISTRICT FOR THE PERIOD OCTOBER 1<sup>ST</sup>, 2021 TO OCTOBER 31<sup>ST</sup>, 2021 PURSUANT TO BROWN ACT PROVISIONS.

WHEREAS, the SONOMA VALLEY HEALTHCARE DISTRICT is committed to preserving and nurturing public access and participation in meetings of the Board of Directors; and

WHEREAS, all meetings of SONOMA VALLEY HEALTHCARE DISTRICT's legislative bodies are open and public, as required by the Ralph M. Brown Act (Cal. Gov. Code 54950 – 54963), so that any member of the public may attend, participate, and watch the District's legislative bodies conduct their business; and

WHEREAS, the Brown Act, Government Code section 54953(e), makes provision for remote teleconferencing participation in meetings by members of a legislative body, without compliance with the requirements of Government Code section 54953(b)(3), subject to the existence of certain conditions; and

WHEREAS, a required condition is that a state of emergency is declared by the Governor pursuant to Government Code section 8625, proclaiming the existence of conditions of disaster or of extreme peril to the safety of persons and property within the state caused by conditions as described in Government Code section 8558; and

WHEREAS, a proclamation is made when there is an actual incident, threat of disaster, or extreme peril to the safety of persons and property within the jurisdictions that are within the District's boundaries, caused by natural, technological or human-caused disasters; and

WHEREAS, it is further required that state or local officials have imposed or recommended measures to promote social distancing, or, the legislative body meeting in person would present imminent risks to the health and safety of attendees; and

WHEREAS, as a condition of extending the use of the provisions found in section 54953(e), the Board of Directors must reconsider the circumstances of the state of emergency that exists in the District, and the Board of Directors has done so; and

WHEREAS, emergency conditions persist throughout the State of California, specifically, where the governor of the state signed emergency legislation to permit the continued use of online and teleconferencing for public meetings in AB361; and

WHEREAS, COVID-19 continues to circulate in moderate to serious levels across the County and the District; and

WHEREAS, SONOMA VALLEY HOSPITAL maintains strict social distancing and vaccination requirements throughout its facilities; and

WHEREAS, SONOMA VALLEY HEALTHCARE DISTRICT acts as role model for safe behavior for the community; and

WHEREAS, Sonoma County's Public Health Officer has strongly recommended that, in compliance with Government Code 54953(e), local government agencies continue to hold public meetings via online and via teleconference (<https://socoemergency.org/recommendation-of-the-health-officer-public-meetings/>); and

WHEREAS, SONOMA VALLEY HEALTHCARE DISTRICT Chief Medical Officer has recommended that all public meetings be conducted online or via teleconference to minimize the risk of COVID-19 transmission; and

WHEREAS, the Board of Directors does hereby find that the ongoing pandemic and need to maintain social distance in public gatherings would create an unnecessary risk to staff, board members and the public, has caused, and will continue to cause, conditions of peril to the safety of persons within the District that are likely to be beyond the control of services, personnel, equipment, and facilities of the District, and desires to affirm a local emergency exists and re-ratify the proclamation of state of emergency by the Governor of the State of California; and

WHEREAS, as a consequence of the local emergency persisting, the Board of Directors does hereby find that the legislative bodies of SONOMA VALLEY HEALTHCARE DISTRICT shall continue to conduct their meetings without compliance with paragraph (3) of subdivision (b) of Government Code section 54953, as authorized by subdivision (e) of section 54953, and that such legislative bodies shall continue to comply with the requirements to provide the public with access to the meetings as prescribed in paragraph (2) of subdivision (e) of section 54953; and

WHEREAS, all Sonoma Valley Healthcare District Board and Committee meetings will be fully noticed and agenzied in compliance with the Brown Act and accessible to all via video conference. In addition, public comment will be permitted up to and including during the public comment portion of each meeting.

NOW, THEREFORE, THE BOARD OF DIRECTORS OF SONOMA VALLEY HEALTHCARE DISTRICT DOES HEREBY RESOLVE AS FOLLOWS:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Affirmation that Local Emergency Persists. The Board of Directors hereby considers the conditions of the state of emergency in the District and proclaims that a local emergency persists throughout the District, and

WHEREAS, COVID-19 CONTINUES TO CIRCULATE IN MODERATE TO SERIOUS LEVELS ACROSS THE COUNTY, SONOMA VALLEY HOSPITAL MAINTAINS STRICT SOCIAL DISTANCING AND VACCINATION REQUIREMENTS IN ITS FACILITIES; AND,

WHEREAS THE COUNTY'S PUBLIC HEALTH OFFICER AND THE HOSPITAL'S CHIEF MEDICAL OFFICER RECOMMEND AGAINST HOLDING IN-PERSON, PUBLIC MEETINGS INDOORS.

Section 3. Re-ratification of Governor's Proclamation of a State of Emergency. The Board hereby ratifies the Governor of the State of California's Proclamation of State of Emergency, effective as of its issuance date of September 16<sup>th</sup> 2021.

Section 4. Remote Teleconference Meetings. The Chief Executive Officer and legislative bodies of SONOMA VALLEY HEALTHCARE DISTRICT are hereby authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution including, continuing to conduct open and public meetings in accordance with Government Code section 54953(e) and other applicable provisions of the Brown Act.

Section 5. Effective Date of Resolution. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) November 6<sup>th</sup>, 2021, or such time the Board of Directors adopts a subsequent resolution in accordance with Government Code section 54953(e)(3) to extend the time during which the legislative bodies of SONOMA VALLEY HEALTHCARE DISTRICT may continue to teleconference without compliance with paragraph (3) of subdivision (b) of section 54953.

PASSED AND ADOPTED by the Board of Directors of SONOMA VALLEY HEALTHCARE DISTRICT, this 7th day of April, 2022, by the following vote:

AYES:

NOES:

ABSENT: None

ABSTAIN: None

## Document Tasks by Committee

Listing of currently pending and/or upcoming document tasks grouped by committee.

Sonoma Valley Hospital

Run by: Newman, Cindi (cnewman)

Run date: 03/25/2022 1:42 PM

### Report Parameters

**Filtered by:** Document Set: all applicable  
 Committee: 09 BOD-Board of Directors  
 Include Current Tasks: Yes  
 Include Upcoming Tasks: No

**Grouped by:** Committee

**Sorted by:** Document Name, Document Location

### Report Statistics

Total Documents: 19

**Committee:** 09 BOD-Board of Directors

**Committee Members:** Fontes, Jenny (jfontes), Newman, Cindi (cnewman)

### Current Approval Tasks (due now)

Document	Task/Status	Pending Since	Days Pending
<b>Bio-Ethical Issues</b> <i>Patient Rights Policies (PR)</i>	Pending Approval	3/25/2022	0
Summary Of Changes: <b>Reviewed- No Changes</b> Moderators: <b>Newman, Cindi (cnewman)</b> Lead Authors: <b>Cooper, Kylie (kcooper)</b> Approvers: <b>06 CMO/Designee for signature -&gt; 01 P&amp;P Committee - (Committee) -&gt; 02 MS-Medicine Department - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>			
<b>Certification of Technologists 7630-238</b> <i>Diagnostic Services Dept Policies</i>	Pending Approval	3/24/2022	1
Summary Of Changes: <b>Reviewed Policy, no changes to content Updated authors/reviewers,owner</b> Moderators: <b>Newman, Cindi (cnewman)</b> Lead Authors: <b>Young, Dave (dyoung)</b> ExpertReviewers: <b>Medical Director-Diagnostic Radiology</b> Approvers: <b>Kuwahara, Dawn (dkuwahara) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>			
<b>Code of Ethics</b> <i>Patient Rights Policies (PR)</i>	Pending Approval	3/25/2022	0
Summary Of Changes: <b>Changed Orientation to Sexuality</b> Moderators: <b>Newman, Cindi (cnewman)</b> Lead Authors: <b>Cooper, Kylie (kcooper)</b> Approvers: <b>06 CMO/Designee for signature -&gt; 01 P&amp;P Committee - (Committee) -&gt; 02 MS-Medicine Department - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>			

## Document Tasks by Committee

Sonoma Valley Hospital

Run by: Newman, Cindi (cnewman)

Run date: 03/25/2022 1:42 PM

Listing of currently pending and/or upcoming document tasks grouped by committee.

<b>Contrast Extravasation 7630-121</b>	<b>Pending Approval</b>	<b>3/24/2022</b>	<b>1</b>
<i>Diagnostic Services Dept Policies</i>			
Summary Of Changes:	<b>Reviewed Policy, no content changes made Updated author/reviewer/owner title</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Young, Dave (dyoung)</b>		
ExpertReviewers:	<b>Medical Director-Diagnostic Radiology</b>		
Approvers:	<b>Kuwahara, Dawn (dkuwahara) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>Contrast Media Procurement and Storage 7630-123</b>	<b>Pending Approval</b>	<b>3/24/2022</b>	<b>1</b>
<i>Diagnostic Services Dept Policies</i>			
Summary Of Changes:	<b>Reviewed Policy, no content changes made. Updated authors/reviewers</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Young, Dave (dyoung)</b>		
ExpertReviewers:	<b>Medical Director-Diagnostic Radiology</b>		
Approvers:	<b>Kuwahara, Dawn (dkuwahara) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>Disclosure of Adverse Events or Unanticipated Outcomes</b>	<b>Pending Approval</b>	<b>3/25/2022</b>	<b>0</b>
<i>Patient Rights Policies (PR)</i>			
Summary Of Changes:	<b>Reviewed- No Changes</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Cooper, Kylie (kcooper)</b>		
Approvers:	<b>06 CMO/Designee for signature -&gt; 01 P&amp;P Committee - (Committee) -&gt; 02 MS-Medicine Department - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>Handoff Communications with HealthCare Providers-SBAR</b>	<b>Pending Approval</b>	<b>3/24/2022</b>	<b>1</b>
<i>Patient Care Policy</i>			
Summary Of Changes:	<b>Reviewed no changes.</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Winkler, Jessica (jwinkler)</b>		
ExpertReviewers:	<b>Medical Director-Patient Care Services</b>		
Approvers:	<b>Kobe, Mark (mkobe) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 02 MS-Medicine Department - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>Hepatitis B Vaccination Program</b>	<b>Pending Approval</b>	<b>3/24/2022</b>	<b>1</b>
<i>Human Resources Policies (HR)\Employee Health</i>			
Summary Of Changes:	<b>Added protocol to clarify the chain of command and under what authority the employee health nurse is reviewing records and making recommendations.</b>		



## Document Tasks by Committee

Sonoma Valley Hospital

Run by: Newman, Cindi (cnewman)

Run date: 03/25/2022 1:42 PM

Listing of currently pending and/or upcoming document tasks grouped by committee.

Moderators: Newman, Cindi (cnewman)  
 Lead Authors: McKissock, Lynn (lmckissock)  
 ExpertReviewers: Montecino, Stephanie (smontecino)  
 Approvers: Cooper, Kylie (kcooper) -> 01 P&P Committee - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)

<b>MRI Code Blue Procedure</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
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Summary Of Changes: Reviewed Policy, made a small format change to emphasize "moving the patient out of the MRI scan room". Updated author/reviewers

Moderators: Newman, Cindi (cnewman)  
 Lead Authors: Young, Dave (dyoung)  
 ExpertReviewers: Medical Director-Diagnostic Radiology  
 Approvers: Kuwahara, Dawn (dkuwahara) -> 01 P&P Committee - (Committee) -> 03 MS-Surgery Department - (Committee) -> 05 MS-Medical Executive - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)

<b>NEW:: COVID-19 Mandatory Vaccination</b> <i>Human Resources Policies (HR)\Employee Health</i>	<b>Pending Approval</b>	3/24/2022	1
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Summary Of Changes: **NEW POLICY**  
 To comply with California Department of Public Health (CDPH) and Center for Medicare Services (CMS) interim final rule mandating COVID-19 vaccination for healthcare workers. (inclusive of exemption request forms)

Moderators: Newman, Cindi (cnewman)  
 Lead Authors: McKissock, Lynn (lmckissock)  
 ExpertReviewers: Cooper, Kylie (kcooper), Montecino, Stephanie (smontecino)  
 Approvers: 01 P&P Committee -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)

<b>Portable Fluoroscopy Usage Policy and Procedure 7630-210</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
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Summary Of Changes: Reviewed Policy, no content changes made. Updated author/reviewers.

Moderators: Newman, Cindi (cnewman)  
 Lead Authors: Young, Dave (dyoung)  
 ExpertReviewers: Medical Director-Diagnostic Radiology  
 Approvers: Kuwahara, Dawn (dkuwahara) -> 01 P&P Committee - (Committee) -> 03 MS-Surgery Department - (Committee) -> 05 MS-Medical Executive - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)

<b>Radiation Protection for Patients</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
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Summary Of Changes: Reviewed Policy, no content changes made. Updated author/reviewers

Moderators: Newman, Cindi (cnewman)  
 Lead Authors: Young, Dave (dyoung)  
 ExpertReviewers: Medical Director-Diagnostic Radiology  
 Approvers: Kuwahara, Dawn (dkuwahara) -> 01 P&P Committee - (Committee) -> 03 MS-Surgery Department - (Committee) -> 05 MS-Medical Executive - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)

## Document Tasks by Committee

Sonoma Valley Hospital

Run by: Newman, Cindi (cnewman)

Run date: 03/25/2022 1:42 PM

Listing of currently pending and/or upcoming document tasks grouped by committee.

<b>Radiography in the Surgical Suite</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
Summary Of Changes:	<b>Reviewed Policy, no content changes made Updated author/reviewers</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Young, Dave (dyoung)</b>		
ExpertReviewers:	<b>Medical Director-Diagnostic Radiology</b>		
Approvers:	<b>Kuwahara, Dawn (dkuwahara) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>Radiologist Availability 7630-223</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
Summary Of Changes:	<b>Updated with current schedule and availability. Updated authors/reviewers.</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Young, Dave (dyoung)</b>		
ExpertReviewers:	<b>Medical Director-Diagnostic Radiology</b>		
Approvers:	<b>Kuwahara, Dawn (dkuwahara) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>RETIRE-Aerosol Therapy Procedure</b> <i>Respiratory Therapy Dept</i>	<b>Pending Approval</b>	3/24/2022	1
Summary Of Changes:	<b>RETIRE: procedure covered in EBSCO</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Winkler, Jessica (jwinkler)</b>		
Approvers:	<b>01 P&amp;P Committee -&gt; 02 MS-Medicine Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>RETIRE: Radiation Safety Post Injection of Radioisotopes 7630-217</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
Summary Of Changes:	<b>RETIRE policy, we no longer provide Nuclear Medicine services for inpatients.</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Young, Dave (dyoung)</b>		
ExpertReviewers:	<b>Medical Director-Diagnostic Radiology</b>		
Approvers:	<b>Kuwahara, Dawn (dkuwahara) -&gt; 01 P&amp;P Committee - (Committee) -&gt; 03 MS-Surgery Department - (Committee) -&gt; 05 MS-Medical Executive - (Committee) -&gt; 07 BOD-Quality Committee of the Board - (Committee) -&gt; 09 BOD-Board of Directors - (Committee)</b>		
<b>RETIRE::Notification Form_DOWNTIME event reporting</b> <i>Patient Care Policy</i>	<b>Pending Approval</b>	3/24/2022	1
Summary Of Changes:	<b>RETIRE--Obsolete</b>		
Moderators:	<b>Newman, Cindi (cnewman)</b>		
Lead Authors:	<b>Winkler, Jessica (jwinkler)</b>		

## Document Tasks by Committee

Sonoma Valley Hospital

Run by: Newman, Cindi (cnewman)

Run date: 03/25/2022 1:42 PM

Listing of currently pending and/or upcoming document tasks grouped by committee.

ExpertReviewers: **Medical Director-Patient Care Services**  
 Approvers: **Kobe, Mark (mkobe) -> 01 P&P Committee - (Committee) -> 02 MS-Medicine Department - (Committee) -> 03 MS-Surgery Department - (Committee) -> 05 MS-Medical Executive - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)**

<b>RETIRE::Suicide Risk Lethality Assessment Tool</b> <i>Patient Care Policy</i>	<b>Pending Approval</b>	3/24/2022	1
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Summary Of Changes: **Archive this document, it is no longer is use  
 POLICY TO BE RETIRED PER  
 Mark Kobe, CNO  
 Reason: No longer in use  
 Approval indicates approval for archiving this document**

Moderators: **Newman, Cindi (cnewman)**  
 Lead Authors: **Winkler, Jessica (jwinkler)**  
 ExpertReviewers: **Medical Director-Patient Care Services**  
 Approvers: **Kobe, Mark (mkobe) -> 01 P&P Committee - (Committee) -> 02 MS-Medicine Department - (Committee) -> 03 MS-Surgery Department - (Committee) -> 05 MS-Medical Executive - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)**

<b>Virtual Radiology Services</b> <i>Diagnostic Services Dept Policies</i>	<b>Pending Approval</b>	3/24/2022	1
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Summary Of Changes: **Reviewed policy. Updated to current processes and anonymized "teleradiology services".  
 Updated author/reviewers.**

Moderators: **Newman, Cindi (cnewman)**  
 Lead Authors: **Young, Dave (dyoung)**  
 ExpertReviewers: **Medical Director-Diagnostic Radiology**  
 Approvers: **Kuwahara, Dawn (dkuwahara) -> 01 P&P Committee - (Committee) -> 03 MS-Surgery Department - (Committee) -> 05 MS-Medical Executive - (Committee) -> 07 BOD-Quality Committee of the Board - (Committee) -> 09 BOD-Board of Directors - (Committee)**



## SVH CHIEF OF STAFF BOARD REPORT

**To:** SVHCD Board of Directors  
**From:** Andrew Solomon, MD Chief of Staff  
**Meeting Date:** April 7, 2022  
**Subject:** COS Report for term October 2021- March 2022

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### CURRENT MEDICAL STAFF BY THE NUMBERS:

**Total Medical Staff:** 140

**Active Medical Staff:** 53

#### New Medical Staff:

- Amanda Montgomery, MD Emergency Medicine
- Thomas Sprinkle, MD Emergency Medicine
- Albert Aparicio, MD Emergency Medicine
- Thuy Le, MD Hospitalist
- Keegan Hovis, MD Radiology
- Arvind Nishtala, MD Cardiology
- Sherin Shirazi, MD General Surgery
- Teodoro Nissen, MD Orthopedic Surgery
- Joseph Centeno, MD Orthopedic Surgery
- Natalya Hakim, MD Pathology

**Newly Retired Medical Staff:** None

### MEDICAL STAFF QUALITY:

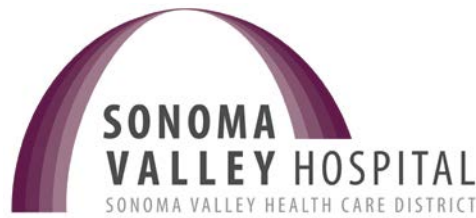
ED Rate My Hospital Physician Scores:

January 2022

- How Would You Rate the Courtesy of your Doctor: 4.65
- How would you rate the time your doctor spent with you: 4.4

Quality initiatives include

- Rounding by Hospitalist at patient bedside with nurse and case management
- Hospitalist now have a dedicated chair they use to sit with the patient, can carry it from room to room
- New measure by hospitalists to perform family meetings for patients who have been in ICU >3 days or as inpatient >5 days.

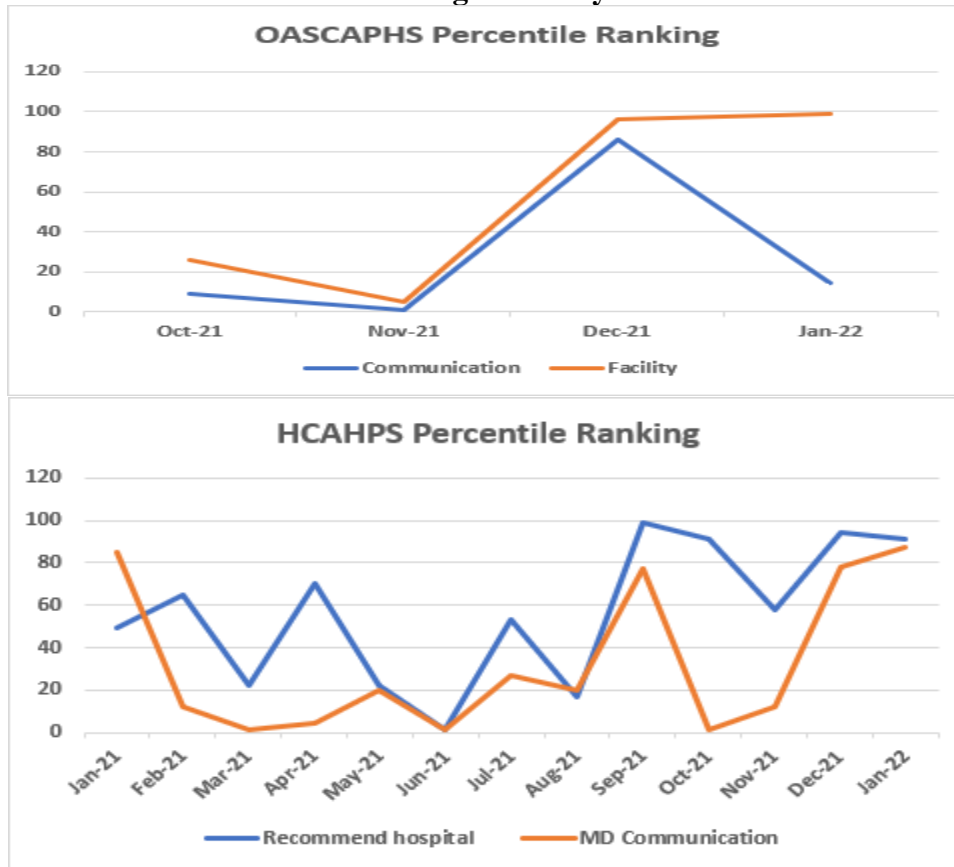


Healing Here at Home

**HCAHPS Physician Scores January:  
Quality initiatives related to HCAHPS In Patient Physician Scores:**

*Comm w/ Doctors Domain Performance	Never	5.81	
*Comm w/ Doctors Domain Performance	Sometimes	0.00	
*Comm w/ Doctors Domain Performance	Usually	8.59	
*Comm w/ Doctors Domain Performance	Always	85.61	
*Comm w/ Doctors Domain Performance	Total		12
*Doctors treat with courtesy/respect	Never	9.09	1
*Doctors treat with courtesy/respect	Sometimes	0.00	0
*Doctors treat with courtesy/respect	Usually	0.00	0
*Doctors treat with courtesy/respect	Always	90.91	10
*Doctors treat with courtesy/respect	Total		11
*Doctors listen carefully to you	Never	8.33	1
*Doctors listen carefully to you	Sometimes	0.00	0
*Doctors listen carefully to you	Usually	16.67	2
*Doctors listen carefully to you	Always	75.00	9
*Doctors listen carefully to you	Total		12
*Doctors expl in way you understand	Never	0.00	0
*Doctors expl in way you understand	Sometimes	0.00	0
*Doctors expl in way you understand	Usually	9.09	1
*Doctors expl in way you understand	Always	90.91	10
*Doctors expl in way you understand	Total		11

**OASCAPHS and HCAHPS October through January**





### **MEDICAL STAFF MEETINGS:**

**General med staff meeting:** The February meeting was well attended. A video on physician wellness was presented and well received.

**MEC:** Continues to be a collaborative environment. Peer review functioning well.

**Surgery Dept:** The focus remains on attracting talented surgeons to our hospital and building service lines. New general surgeon and two new orthopedic surgeons have recently joined our team.

### **MEDICAL STAFF NEEDS / CONCERNS:**

- CMO search is underway in collaboration with UCSF
- EPIC- the transition to a new electronic health record, scheduled to go live in December 2022, will improve communication among providers and lead to improved care of our patients
- New Chief Quality Officer is working well with the medical staff

### **SUMMARY: OVERALL STATE OF SVH Medical Staff:**

Overall satisfaction with the hospital remains high, and the future looks brighter for SVH than at many times in our past. But it has been a difficult past several years for Sonoma Valley Hospital and several new challenges lie ahead.

# Status of Outpatient Diagnostic Center

Sonoma Valley Health Care District  
Board of Directors  
April 7, 2022

# CT Suite Remodel Update

- HCAI Replace Team, Review Work Plan
- Architect/Consultants – Pre-Construction Analysis

## February Milestones

- General Contractor Pre-Construction Services **Increment Funding**
- Architect – Present Options to Hospital  
Occupancy parallel paths – Duct Repair Work & Pre-Air Balance Resolution
- HCAI Consult – Pre-Air Balance

## March Milestones

- HCAI Review Plan – **Completed MARCH**
- Architect – Amend Plans HCAI – Duct Repair 4/6; Pre-air Balance by 4/30
- General Contractor – Schedule & Pricing, Contract Development – **Final Contract Board Review 4/7**
- Project Risks Known – Exhaust Fan, Electrical Coordination, Corridor Ceiling

## April Milestones

- General Contractor – Finance & Board Contract Approval – **Completed APRIL**
- General Contractor – Begin Construction
- Finalize Project Risks – HCAI review

## May Milestones

- General Contractor – Construction Milestone – Duct Repair
- Architect/Engineers – Pre-Air Balance Resolution

## June Milestones

- General Contractor – Construction Completion
- Inspections – Architect sign-off
- HCAI – Occupancy – Construction Milestone 2

## July Milestones



# CT Suite Remodel Update

Incremental Funding	Approved Costs	Cost Incurred thru 3/31/22	Forecast CT Occupancy as of 4/1/22
Architect & General Contractor - 3 <sup>rd</sup> Party Review	\$103K	\$62K	\$103K
Architect Pre-Construction & Design	\$276K	\$20K	\$276K*
General Contractor – Pre-Construction Services	No additional required		
<b>Subtotal</b>	<b>\$379K</b>	<b>\$82K</b>	<b>\$379K</b>
General Contractor – Construction			\$425K**
Vertran – Project Management	\$40K		\$40K
<b>Total</b>	<b>\$419K</b>	<b>\$82K</b>	<b>\$844K</b>

\* Administrative award funding \$35K – Electrical, BAS, HCAI Pre-Air Balance

\*\* Costs submitted for Board approval - April 2022; Costs do not include Identified Risks

# MRI Suite Remodel Update

- Architect – Preconstruction Services for Demolition
- HCAI Reactivate Project – Approved 3/9

## February Milestones

- Architect – Pre-Construction Services Contract – **Complete**
- Hospital – Develop Bid – General Contractor – Vertran/Architect – Informal Bid for Demolition/Abatement

## March Milestones

- Architect – Pre-Con Contract **Complete**; Amend Plans
- HCAI Plan Review
- Vertran/Architect – Informal Bid Package Development
- MRI RFP – Project Delivery Model Decision

## April Milestones

- Bid Process for Demolition and Abatement – Vertran/Architect Issue Informal Bid Package
- Award Demo & Abatement Contracts - Increment Funding (**Was JUNE**)

## May Milestones

- General & Abatement Contractor – Demolition & Fire Barrier Duration TBD
- Architect – MRI Re-Design & CT Phase 2 Proposal & Negotiation

## June Milestones

- Demolition Completed
- Architect – Award Contract MRI Re-Design & CT Phase 2
- Architect – Amend Plans Duration TBD

## July Milestones

# Detailed MRI Costs Breakdown

Incremental Funding	Approved Costs	Cost Incurred to 3/31/22	Forecast Completion
<b>INCREMENT 1 – DEMOLITION/FIRE BARRIER, ABATEMENT</b>			
Architect – Demolition/Fire Barrier – Amend Plans, HCAI Administration	\$76K	\$0	\$76K
General & Abatement Contractor	\$0	\$0	TBD
Vertran – Project Management – Demo/Abatement & Informal Bid	\$15K	\$0	\$15K
<b>Subtotal INCREMENT 1</b>	<b>\$91K</b>	<b>\$0</b>	<b>\$TBD</b>
<b>INCREMENT 2 – MRI RE-DESIGN, CT PHASE 2 (HCAI FINAL), MRI RFP</b>			
Architect – Amend Plans MRI & CT Phase 2	\$0	\$0	TBD
Vertran – Project Management – MRI RFP	\$15K	\$6K	\$15K
<b>Subtotal INCREMENT 2</b>	<b>\$15K</b>	<b>\$6K</b>	<b>\$TBD</b>
<b>INCREMENT 3 – MRI CONSTRUCTION, CT PHASE 2</b>			
Architect – HCAI Administration; Construction Administration			TBD
General Contractor – Construction, Testing, Permits & Inspections	\$0	\$0	TBD
Vertran – Project Management			TBD
<b>Subtotal INCREMENT 3</b>	<b>TBD</b>		<b>TBD</b>
<b>TOTAL PROJECT COSTS</b>	<b>\$106K</b>	<b>\$6K</b>	<b>\$TBD</b>

SVH Board Approved 9.35M – Remaining Funds - \$6.2M Available

# AIA<sup>®</sup> Document A102<sup>™</sup> - 2017

## Standard Form of Agreement Between Owner and Contractor

where the basis of payment is the Cost of the Work Plus a Fee with a Guaranteed Maximum Price

AGREEMENT made as of the «Eight» day of «April» in the year «Two Thousand Twenty-two»

(In words, indicate day, month and year.)

BETWEEN the Owner:

(Name, legal status, address and other information)

«Sonoma Valley Hospital»« »  
«347 Andrieux Street  
Sonoma, CA 95476»  
«Telephone Number: (707) 935-5165»  
« »

and the Contractor:

(Name, legal status, address and other information)

«GMH Builders, Inc.»« »  
«19150 Sonoma Hwy  
Sonoma, CA 95476»  
«Telephone Number: (707) 757-5050»  
« »

for the following Project:

(Name, location and detailed description)

«Sonoma Valley Hospital - CT Suite Remodel (HCAI #S182094-49-00) - Phase 1 Completion»  
« »  
«Completion of CT Suite Remodel Phase 1 improvement necessary to achieve CT Suite Occupancy. Excludes work in the corridors and TDR #1308, which will be part of Phase 2. CT Phase 2 work is excluded.»

The Architect:

(Name, legal status, address and other information)

«Smith-Karnig Architecture»« »  
«800 Haight Street  
San Francisco, CA 94117»  
«Telephone Number: (415) 552-3600»  
« »

The Owner and Contractor agree as follows.

### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A102<sup>™</sup>-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201<sup>™</sup>-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

**ELECTRONIC COPYING** of any portion of this AIA<sup>®</sup> Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

## TABLE OF ARTICLES

- 1 THE CONTRACT DOCUMENTS
- 2 THE WORK OF THIS CONTRACT
- 3 RELATIONSHIP OF THE PARTIES
- 4 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
- 5 CONTRACT SUM
- 6 CHANGES IN THE WORK
- 7 COSTS TO BE REIMBURSED
- 8 COSTS NOT TO BE REIMBURSED
- 9 DISCOUNTS, REBATES AND REFUNDS
- 10 SUBCONTRACTS AND OTHER AGREEMENTS
- 11 ACCOUNTING RECORDS
- 12 PAYMENTS
- 13 DISPUTE RESOLUTION
- 14 TERMINATION OR SUSPENSION
- 15 MISCELLANEOUS PROVISIONS
- 16 ENUMERATION OF CONTRACT DOCUMENTS

## EXHIBIT A INSURANCE AND BONDS

### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. If anything in the other Contract Documents, other than a Modification, is inconsistent with this Agreement, this Agreement shall govern. An enumeration of the Contract Documents, other than a Modification, appears in Article 16.

### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.



**ARTICLE 3 RELATIONSHIP OF THE PARTIES**

The Contractor accepts the relationship of trust and confidence established by this Agreement and covenants with the Owner to cooperate with the Architect and exercise the Contractor’s skill and judgment in furthering the interests of the Owner; to furnish efficient business administration and supervision; to furnish at all times an adequate supply of workers and materials; and to perform the Work in an expeditious and economical manner consistent with the Owner’s interests. The Owner agrees to furnish and approve, in a timely manner, information required by the Contractor and to make payments to the Contractor in accordance with the requirements of the Contract Documents.

**ARTICLE 4 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION**

§ 4.1 The date of commencement of the Work shall be:  
*(Check one of the following boxes.)*

[ «X» ] The date of this Agreement.

[ «» ] A date set forth in a notice to proceed issued by the Owner.

[ «» ] Established as follows:  
*(Insert a date or a means to determine the date of commencement of the Work.)*

«»

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 4.2 The Contract Time shall be measured from the date of commencement of the Work.

**§ 4.3 Substantial Completion**

§ 4.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work.  
*(Check one of the following boxes and complete the necessary information.)*

[ «» ] Not later than «» ( «» ) calendar days from the date of commencement of the Work.

[ «X» ] By the following date: 7/29/2022 «

§ 4.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

Portion of Work	Substantial Completion Date
«ACD030 - Penthouse C, WAH-7 Hot/Cold Deck Ducting Rework »	6/24/2022
«Occupancy of CT Suite Phase 1 »	7/29/2022

§ 4.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 4.3, liquidated damages, if any, shall be assessed as set forth in Section 5.1.6.

**ARTICLE 5 CONTRACT SUM**

§ 5.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor’s performance of the Contract. The Contract Sum is the Cost of the Work as defined in Article 7 plus the Contractor’s Fee.

**§ 5.1.1 The Contractor's Fee:**

*(State a lump sum, percentage of Cost of the Work, or other provision for determining the Contractor's Fee.)*

«Contractor's Fee of FIVE AND ONE HALF Percent (5.5%) at the time the Guaranteed Maximum Price is established for completion of this Project. Contractor's Liability Insurance rate shall not exceed ONE AND TWO TENTHS Percent (1.20%).

**§ 5.1.2 The method of adjustment of the Contractor's Fee for changes in the Work:**

«Contractor's Fee percentage applicable to increases to the total cost of the Work due to additive change orders shall be TEN percent (10.0%) on self-performed work and FIVE AND ONE HALF percent (5.5%) on subcontracted work. Contractor's Fee percentage applicable to decreases to the total cost of the Work due to deductive change orders shall be ZERO percent (0%).

**§ 5.1.3 Limitations, if any, on a Subcontractor's overhead and profit for increases in the cost of its portion of the Work:**

« »

**§ 5.1.4** Rental rates for Contractor-owned equipment shall not exceed «One hundred» percent ( «100.00» %) of the standard rental rate paid at the place of the Project.

**§ 5.1.5 Unit prices, if any:**

*(Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)*

Item	Units and Limitations	Price Per Unit (\$0.00)
«Unit prices, if any, shall be included within the Guaranteed Maximum Price Estimate.»	See Exhibit B	See Exhibit B

**§ 5.1.6 Liquidated damages, if any:**

See Addendum No. 1, defined infra.

**§ 5.1.7 Other:**

*(Insert provisions for bonus, cost savings or other incentives, if any, that might result in a change to the Contract Sum.)*

«Early completion bonus payments shall be paid by Owner to Contractor in two installments upon Substantial Completion of ACD030 work before 6/25/2022 and Substantial Completion of the entire work denoted as Occupancy of CT Suite Phase 1 before 7/30/2022, subject to approved adjustment in Contract Time. Substantial Completion dates are based on ACD030 being approved by HCAI no later than 4/20/2022. Shall HCAI approval occur after 4/20/2022, Owner and Contractor shall reconcile the dates of Substantial Completion without penalty to, or forfeiture of, performance incentives. Each installment shall amount to SEVEN THOUSAND TWO HUNDRED dollars and ZERO cents (\$7,200.00). Use of Contractor Contingency shall be at Contractor's discretion to ensure the Guaranteed Maximum Price Estimate amount. Any Contractor Contingency savings shall be shared with the Owner at a rate of 50% to each party of the remaining amount upon completion of final accounting, as an incentive to save contingency funds for the benefit of both parties. 100% of unused allowances shall be returned to Owner and shall not be directed towards contractor contingency.

**§ 5.2 Guaranteed Maximum Price**

**§ 5.2.1** The Contract Sum is guaranteed by the Contractor not to exceed «FOUR HUNDRED FOURTEEN THOUSAND FIVE HUNDRED SEVENTY-NINE dollars and ZERO cents» (\$ «414,579.00» ), subject to additions and deductions by Change Order as provided in the Contract Documents. This maximum sum is referred to in the Contract Documents as the Guaranteed Maximum Price. Costs which would cause the Guaranteed Maximum Price to be exceeded shall be paid by the Contractor without reimbursement by the Owner.



Item	Price
«None»	Zero (\$0.00)

§ 5.2.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

Item	Price	Conditions for Acceptance
«CT Suite Remodel Phase 2 Including Corridors and TDR #1308»	To be determined.	To be determined.

§ 5.2.3 Allowances, if any, included in the Guaranteed Maximum Price: (Identify each allowance.)

Item	Price
«Allowances, if any, shall be provided with the Guaranteed Maximum Price Estimate.»	See Exhibit B

§ 5.2.4 Assumptions, if any, upon which the Guaranteed Maximum Price is based: (Identify each assumption.)

«Assumptions, if any, shall be provided with the Guaranteed Maximum Price Estimate.»

§ 5.2.5 To the extent that the Contract Documents are anticipated to require further development, the Guaranteed Maximum Price includes the costs attributable to such further development consistent with the Contract Documents and reasonably inferable therefrom. Such further development does not include changes in scope, systems, kinds and quality of materials, finishes or equipment, all of which, if required, shall be incorporated by Change Order.

§ 5.2.6 The Owner shall authorize preparation of revisions to the Contract Documents that incorporate the agreed-upon assumptions contained in Section 5.2.4. The Owner shall promptly furnish such revised Contract Documents to the Contractor. The Contractor shall notify the Owner and Architect of any inconsistencies between the agreed-upon assumptions contained in Section 5.2.4 and the revised Contract Documents.

## ARTICLE 6 CHANGES IN THE WORK

§ 6.1 Adjustments to the Guaranteed Maximum Price on account of changes in the Work may be determined by any of the methods listed in Article 7 of AIA Document A201™-2017, General Conditions of the Contract for Construction.

§ 6.2 Adjustments to subcontracts awarded on the basis of a stipulated sum shall be determined in accordance with Article 7 of A201-2017, as they refer to “cost” and “fee,” and not by Articles 5, 7 and 8 of this Agreement. Adjustments to subcontracts awarded with the Owner’s prior written consent on the basis of cost plus a fee shall be calculated in accordance with the terms of those subcontracts.

§ 6.3 In calculating adjustments to the Guaranteed Maximum Price, the terms “cost” and “costs” as used in Article 7 of AIA Document A201-2017 shall mean the Cost of the Work as defined in Article 7 of this Agreement and the term “fee” shall mean the Contractor’s Fee as defined in Section 5.1.1 of this Agreement.

§ 6.4 If no specific provision is made in Article 5 for adjustment of the Contractor’s Fee in the case of changes in the Work, or if the extent of such changes is such, in the aggregate, that application of the adjustment provisions of Article 5 will cause substantial inequity to the Owner or Contractor, the Contractor’s Fee shall be equitably adjusted on the same basis that was used to establish the Fee for the original Work, and the Guaranteed Maximum Price shall be adjusted accordingly.



## **ARTICLE 7 COSTS TO BE REIMBURSED**

### **§ 7.1 Cost of the Work**

§ 7.1.1 The term Cost of the Work shall mean costs necessarily incurred by the Contractor in the proper performance of the Work. The Cost of the Work shall include only the items set forth in this Article 7.

§ 7.1.2 Where, pursuant to the Contract Documents, any cost is subject to the Owner's prior approval, the Contractor shall obtain such approval in writing prior to incurring the cost.

§ 7.1.3 Costs shall be at rates not higher than the standard paid at the place of the Project, except with prior approval of the Owner.

### **§ 7.2 Labor Costs**

§ 7.2.1 Wages or salaries of construction workers directly employed by the Contractor to perform the construction of the Work at the site or, with the Owner's prior approval, at off-site workshops.

§ 7.2.2 Wages or salaries of the Contractor's supervisory and administrative personnel when stationed at the site and performing Work, with the Owner's prior approval.

§ 7.2.2.1 Wages or salaries of the Contractor's supervisory and administrative personnel when performing Work and stationed at a location other than the site, but only for that portion of time required for the Work, and limited to the personnel and activities listed below:

*(Identify the personnel, type of activity and, if applicable, any agreed upon percentage of time to be devoted to the Work.)*

« »

§ 7.2.3 Wages or salaries of the Contractor's supervisory or administrative personnel engaged at factories, workshops or while traveling, in expediting the production or transportation of materials or equipment required for the Work, but only for that portion of their time required for the Work.

§ 7.2.4 Costs paid or incurred by the Contractor, as required by law or collective bargaining agreements, for taxes, insurance, contributions, assessments, and benefits and, for personnel not covered by collective bargaining agreements, customary benefits such as sick leave, medical and health benefits, holidays, vacations and pensions, provided such costs are based on wages and salaries included in the Cost of the Work under Sections 7.2.1 through 7.2.3.

§ 7.2.5 If agreed rates for labor costs, in lieu of actual costs, are provided in this Agreement, the rates shall remain unchanged throughout the duration of this Agreement, unless the parties execute a Modification.

### **§ 7.3 Subcontract Costs**

Payments made by the Contractor to Subcontractors in accordance with the requirements of the subcontracts and this Agreement.

### **§ 7.4 Costs of Materials and Equipment Incorporated in the Completed Construction**

§ 7.4.1 Costs, including transportation and storage at the site, of materials and equipment incorporated, or to be incorporated, in the completed construction.

§ 7.4.2 Costs of materials described in the preceding Section 7.4.1 in excess of those actually installed to allow for reasonable waste and spoilage. Unused excess materials, if any, shall become the Owner's property at the completion of the Work or, at the Owner's option, shall be sold by the Contractor. Any amounts realized from such sales shall be credited to the Owner as a deduction from the Cost of the Work.

### **§ 7.5 Costs of Other Materials and Equipment, Temporary Facilities and Related Items**

§ 7.5.1 Costs of transportation, storage, installation, dismantling, maintenance, and removal of materials, supplies, temporary facilities, machinery, equipment and hand tools not customarily owned by construction workers that are provided by the Contractor at the site and fully consumed in the performance of the Work. Costs of materials, supplies, temporary facilities, machinery, equipment, and tools, that are not fully consumed, shall be based on the

cost or value of the item at the time it is first used on the Project site less the value of the item when it is no longer used at the Project site. Costs for items not fully consumed by the Contractor shall mean fair market value.

§ 7.5.2 Rental charges for temporary facilities, machinery, equipment, and hand tools not customarily owned by construction workers that are provided by the Contractor at the site, and the costs of transportation, installation, dismantling, minor repairs, and removal of such temporary facilities, machinery, equipment, and hand tools. Rates and quantities of equipment owned by the Contractor, or a related party as defined in Section 7.8, shall be subject to the Owner's prior approval. The total rental cost of any such equipment may not exceed the purchase price of any comparable item.

§ 7.5.3 Costs of removal of debris from the site of the Work and its proper and legal disposal.

§ 7.5.4 Costs of the Contractor's site office, including general office equipment and supplies.

§ 7.5.5 Costs of materials and equipment suitably stored off the site at a mutually acceptable location, subject to the Owner's prior approval.

### § 7.6 Miscellaneous Costs

§ 7.6.1 Premiums for that portion of insurance and bonds required by the Contract Documents that can be directly attributed to this Contract.

§ 7.6.1.1 Costs for self-insurance, for either full or partial amounts of the coverages required by the Contract Documents, with the Owner's prior approval.

§ 7.6.1.2 Costs for insurance through a captive insurer owned or controlled by the Contractor, with the Owner's prior approval.

§ 7.6.2 Sales, use, or similar taxes, imposed by a governmental authority, that are related to the Work and for which the Contractor is liable.

§ 7.6.3 Fees and assessments for the building permit, and for other permits, licenses, and inspections, for which the Contractor is required by the Contract Documents to pay.

§ 7.6.4 Fees of laboratories for tests required by the Contract Documents; except those related to defective or nonconforming Work for which reimbursement is excluded under Article 13 of AIA Document A201-2017 or by other provisions of the Contract Documents, and which do not fall within the scope of Section 7.7.3.

§ 7.6.5 Royalties and license fees paid for the use of a particular design, process, or product, required by the Contract Documents.

§ 7.6.5.1 The cost of defending suits or claims for infringement of patent rights arising from requirements of the Contract Documents, payments made in accordance with legal judgments against the Contractor resulting from such suits or claims, and payments of settlements made with the Owner's consent, unless the Contractor had reason to believe that the required design, process or product was an infringement of a copyright or a patent, and the Contractor failed to promptly furnish such information to the Architect as required by Article 3 of AIA Document A201-2017. The costs of legal defenses, judgments, and settlements, shall not be included in the Cost of the Work used to calculate the Contractor's Fee or subject to the Guaranteed Maximum Price.

§ 7.6.6 Costs for communications services, electronic equipment, and software, directly related to the Work and located at the site, with the Owner's prior approval.

§ 7.6.7 Costs of document reproductions and delivery charges.

§ 7.6.8 Deposits lost for causes other than the Contractor's negligence or failure to fulfill a specific responsibility in the Contract Documents.



§ 7.6.9 Legal, mediation and arbitration costs, including attorneys' fees, other than those arising from disputes between the Owner and Contractor, reasonably incurred by the Contractor after the execution of this Agreement in the performance of the Work and with the Owner's prior approval, which shall not be unreasonably withheld.

§ 7.6.10 Expenses incurred in accordance with the Contractor's standard written personnel policy for relocation and temporary living allowances of the Contractor's personnel required for the Work, with the Owner's prior approval.

§ 7.6.11 That portion of the reasonable expenses of the Contractor's supervisory or administrative personnel incurred while traveling in discharge of duties connected with the Work.

#### § 7.7 Other Costs and Emergencies

§ 7.7.1 Other costs incurred in the performance of the Work, with the Owner's prior approval.

§ 7.7.2 Costs incurred in taking action to prevent threatened damage, injury, or loss, in case of an emergency affecting the safety of persons and property, as provided in Article 10 of AIA Document A201-2017.

§ 7.7.3 Costs of repairing or correcting damaged or nonconforming Work executed by the Contractor, Subcontractors, or suppliers, provided that such damaged or nonconforming Work was not caused by the negligence of, or failure to fulfill a specific responsibility by, the Contractor, and only to the extent that the cost of repair or correction is not recovered by the Contractor from insurance, sureties, Subcontractors, suppliers, or others.

#### § 7.8 Related Party Transactions

§ 7.8.1 For purposes of this Section 7.8, the term "related party" shall mean (1) a parent, subsidiary, affiliate, or other entity having common ownership of, or sharing common management with, the Contractor; (2) any entity in which any stockholder in, or management employee of, the Contractor holds an equity interest in excess of ten percent in the aggregate; (3) any entity which has the right to control the business or affairs of the Contractor; or (4) any person, or any member of the immediate family of any person, who has the right to control the business or affairs of the Contractor.

§ 7.8.2 If any of the costs to be reimbursed arise from a transaction between the Contractor and a related party, the Contractor shall notify the Owner of the specific nature of the contemplated transaction, including the identity of the related party and the anticipated cost to be incurred, before any such transaction is consummated or cost incurred. If the Owner, after such notification, authorizes the proposed transaction in writing, then the cost incurred shall be included as a cost to be reimbursed, and the Contractor shall procure the Work, equipment, goods, or service, from the related party, as a Subcontractor, according to the terms of Article 10. If the Owner fails to authorize the transaction in writing, the Contractor shall procure the Work, equipment, goods, or service from some person or entity other than a related party according to the terms of Article 10.

### ARTICLE 8 COSTS NOT TO BE REIMBURSED

§ 8.1 The Cost of the Work shall not include the items listed below:

- .1 Salaries and other compensation of the Contractor's personnel stationed at the Contractor's principal office or offices other than the site office, except as specifically provided in Section 7.2, or as may be provided in Article 15;
- .2 Bonuses, profit sharing, incentive compensation, and any other discretionary payments, paid to anyone hired by the Contractor or paid to any Subcontractor or vendor, unless the Owner has provided prior approval;
- .3 Expenses of the Contractor's principal office and offices other than the site office;
- .4 Overhead and general expenses, except as may be expressly included in Article 7;
- .5 The Contractor's capital expenses, including interest on the Contractor's capital employed for the Work;
- .6 Except as provided in Section 7.7.3 of this Agreement, costs due to the negligence of, or failure to fulfill a specific responsibility of the Contract by, the Contractor, Subcontractors, and suppliers, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable;
- .7 Any cost not specifically and expressly described in Article 7; and
- .8 Costs, other than costs included in Change Orders approved by the Owner, that would cause the Guaranteed Maximum Price to be exceeded.



## **ARTICLE 9 DISCOUNTS, REBATES AND REFUNDS**

§ 9.1 Cash discounts obtained on payments made by the Contractor shall accrue to the Owner if (1) before making the payment, the Contractor included the amount to be paid, less such discount, in an Application for Payment and received payment from the Owner, or (2) the Owner has deposited funds with the Contractor with which to make payments; otherwise, cash discounts shall accrue to the Contractor. Trade discounts, rebates, refunds, and amounts received from sales of surplus materials and equipment shall accrue to the Owner, and the Contractor shall make provisions so that they can be obtained.

§ 9.2 Amounts that accrue to the Owner in accordance with the provisions of Section 9.1 shall be credited to the Owner as a deduction from the Cost of the Work.

## **ARTICLE 10 SUBCONTRACTS AND OTHER AGREEMENTS**

§ 10.1 Those portions of the Work that the Contractor does not customarily perform with the Contractor's own personnel shall be performed under subcontracts or other appropriate agreements with the Contractor. The Owner may designate specific persons from whom, or entities from which, the Contractor shall obtain bids. The Contractor shall obtain bids from Subcontractors, and from suppliers of materials or equipment fabricated especially for the Work, who are qualified to perform that portion of the Work in accordance with the requirements of the Contract Documents. The Contractor shall deliver such bids to the Architect and Owner with an indication as to which bids the Contractor intends to accept. The Owner then has the right to review the Contractor's list of proposed subcontractors and suppliers in consultation with the Architect and, subject to Section 10.1.1, to object to any subcontractor or supplier. Any advice of the Architect, or approval or objection by the Owner, shall not relieve the Contractor of its responsibility to perform the Work in accordance with the Contract Documents. The Contractor shall not be required to contract with anyone to whom the Contractor has reasonable objection.

§ 10.1.1 When a specific subcontractor or supplier (1) is recommended to the Owner by the Contractor; (2) is qualified to perform that portion of the Work; and (3) has submitted a bid that conforms to the requirements of the Contract Documents without reservations or exceptions, but the Owner requires that another bid be accepted, then the Contractor may require that a Change Order be issued to adjust the Guaranteed Maximum Price by the difference between the bid of the person or entity recommended to the Owner by the Contractor and the amount of the subcontract or other agreement actually signed with the person or entity designated by the Owner.

§ 10.2 Subcontracts or other agreements shall conform to the applicable payment provisions of this Agreement, and shall not be awarded on the basis of cost plus a fee without the Owner's prior written approval. If a subcontract is awarded on the basis of cost plus a fee, the Contractor shall provide in the subcontract for the Owner to receive the same audit rights with regard to the Subcontractor as the Owner receives with regard to the Contractor in Article 11.

## **ARTICLE 11 ACCOUNTING RECORDS**

The Contractor shall keep full and detailed records and accounts related to the Cost of the Work, and exercise such controls, as may be necessary for proper financial management under this Contract and to substantiate all costs incurred. The accounting and control systems shall be satisfactory to the Owner. The Owner and the Owner's auditors shall, during regular business hours and upon reasonable notice, be afforded access to, and shall be permitted to audit and copy, the Contractor's records and accounts, including complete documentation supporting accounting entries, books, job cost reports, correspondence, instructions, drawings, receipts, subcontracts, Subcontractor's proposals, Subcontractor's invoices, purchase orders, vouchers, memoranda, and other data relating to this Contract. The Contractor shall preserve these records for a period of three years after final payment, or for such longer period as may be required by law.

## **ARTICLE 12 PAYMENTS**

### **§ 12.1 Progress Payments**

§ 12.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor, and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum, to the Contractor, as provided below and elsewhere in the Contract Documents.

§ 12.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

« »





§ 12.1.3 Provided that an Application for Payment is received by the Architect not later than the «30th» day of a month, the Owner shall make payment of the amount certified to the Contractor not later than the «30th» day of the «following» month. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than «Thirty» ( «30» ) days after the Architect receives the Application for Payment.

*(Federal, state or local laws may require payment within a certain period of time.)*

§ 12.1.4 With each Application for Payment, the Contractor shall submit payrolls, petty cash accounts, receipted invoices or invoices with check vouchers attached, and any other evidence required by the Owner or Architect to demonstrate that payments already made by the Contractor on account of the Cost of the Work equal or exceed progress payments already received by the Contractor plus payrolls for the period covered by the present Application for Payment, less that portion of the progress payments attributable to the Contractor's Fee.

§ 12.1.5 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Guaranteed Maximum Price among: (1) the various portions of the Work; (2) any contingency for costs that are included in the Guaranteed Maximum Price but not otherwise allocated to another line item or included in a Change Order; and (3) the Contractor's Fee.

§ 12.1.5.1 The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. The schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 12.1.5.2 The allocation of the Guaranteed Maximum Price under this Section 12.1.5 shall not constitute a separate guaranteed maximum price for the Cost of the Work of each individual line item in the schedule of values.

§ 12.1.5.3 When the Contractor allocates costs from a contingency to another line item in the schedule of values, the Contractor shall submit supporting documentation to the Architect.

§ 12.1.6 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment. The percentage of completion shall be the lesser of (1) the percentage of that portion of the Work which has actually been completed; or (2) the percentage obtained by dividing (a) the expense that has actually been incurred by the Contractor on account of that portion of the Work and for which the Contractor has made payment or intends to make payment prior to the next Application for Payment, by (b) the share of the Guaranteed Maximum Price allocated to that portion of the Work in the schedule of values.

§ 12.1.7 In accordance with AIA Document A201-2017 and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 12.1.7.1 The amount of each progress payment shall first include:

- .1 That portion of the Guaranteed Maximum Price properly allocable to completed Work as determined by multiplying the percentage of completion of each portion of the Work by the share of the Guaranteed Maximum Price allocated to that portion of the Work in the most recent schedule of values;
- .2 That portion of the Guaranteed Maximum Price properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction or, if approved in writing in advance by the Owner, suitably stored off the site at a location agreed upon in writing;
- .3 That portion of Construction Change Directives that the Architect determines, in the Architect's professional judgment, to be reasonably justified; and
- .4 The Contractor's Fee, computed upon the Cost of the Work described in the preceding Sections 12.1.7.1.1 and 12.1.7.1.2 at the rate stated in Section 5.1.1 or, if the Contractor's Fee is stated as a fixed sum in that Section, an amount that bears the same ratio to that fixed-sum fee as the Cost of the Work included in Sections 12.1.7.1.1 and 12.1.7.1.2 bears to a reasonable estimate of the probable Cost of the Work upon its completion.

§ 12.1.7.2 The amount of each progress payment shall then be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;

- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017;
- .5 The shortfall, if any, indicated by the Contractor in the documentation required by Section 12.1.4 to substantiate prior Applications for Payment, or resulting from errors subsequently discovered by the Owner’s auditors in such documentation; and
- .6 Retainage withheld pursuant to Section 12.1.8.

**§ 12.1.8 Retainage**

§ 12.1.8.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:

*(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)*

«FIVE percent (5.0%)»

§ 12.1.8.1.1 The following items are not subject to retainage:

*(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)*

«Insurance and Bonds.»

§ 12.1.8.2 Reduction or limitation of retainage, if any, shall be as follows:

*(If the retainage established in Section 12.1.8.1 is to be modified prior to Substantial Completion of the entire Work, insert provisions for such modification.)*

§ 12.1.8.3 Except as set forth in this Section 12.1.8.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 12.1.8. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:

*(Insert any other conditions for release of retainage, such as upon completion of the Owner’s audit and reconciliation, upon Substantial Completion.)*

« »

§ 12.1.9 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

§ 12.1.10 Except with the Owner’s prior written approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and suitably stored at the site.

§ 12.1.11 The Owner and the Contractor shall agree upon a mutually acceptable procedure for review and approval of payments to Subcontractors, and the percentage of retainage held on Subcontracts, and the Contractor shall execute subcontracts in accordance with those agreements.

§ 12.1.12 In taking action on the Contractor’s Applications for Payment the Architect shall be entitled to rely on the accuracy and completeness of the information furnished by the Contractor, and such action shall not be deemed to be a representation that (1) the Architect has made a detailed examination, audit, or arithmetic verification, of the documentation submitted in accordance with Section 12.1.4 or other supporting data; (2) that the Architect has made exhaustive or continuous on-site inspections; or (3) that the Architect has made examinations to ascertain how or for what purposes the Contractor has used amounts previously paid on account of the Contract. Such examinations, audits, and verifications, if required by the Owner, will be performed by the Owner’s auditors acting in the sole interest of the Owner.



**§ 12.2 Final Payment**

**§ 12.2.1** Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract, except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201-2017, and to satisfy other requirements, if any, which extend beyond final payment;
- .2 the Contractor has submitted a final accounting for the Cost of the Work and a final Application for Payment; and
- .3 a final Certificate for Payment has been issued by the Architect in accordance with Section 12.2.2.

**§ 12.2.2** Within 30 days of the Owner's receipt of the Contractor's final accounting for the Cost of the Work, the Owner may conduct an audit of the Cost of the Work or notify the Architect that it will not conduct an audit.

**§ 12.2.2.1** If the Owner conducts an audit of the Cost of the Work, the Owner shall, within 10 days after completion of the audit, submit a written report based upon the auditors' findings to the Architect.

**§ 12.2.2.2** Within seven days after receipt of the written report described in Section 12.2.2.1, or receipt of notice that the Owner will not conduct an audit, and provided that the other conditions of Section 12.2.1 have been met, the Architect will either issue to the Owner a final Certificate for Payment with a copy to the Contractor, or notify the Contractor and Owner in writing of the Architect's reasons for withholding a certificate as provided in Article 9 of AIA Document A201-2017. The time periods stated in this Section 12.2.2 supersede those stated in Article 9 of AIA Document A201-2017. The Architect is not responsible for verifying the accuracy of the Contractor's final accounting.

**§ 12.2.2.3** If the Owner's auditors' report concludes that the Cost of the Work, as substantiated by the Contractor's final accounting, is less than claimed by the Contractor, the Contractor shall be entitled to request mediation of the disputed amount without seeking an initial decision pursuant to Article 15 of AIA Document A201-2017. A request for mediation shall be made by the Contractor within 30 days after the Contractor's receipt of a copy of the Architect's final Certificate for Payment. Failure to request mediation within this 30-day period shall result in the substantiated amount reported by the Owner's auditors becoming binding on the Contractor. Pending a final resolution of the disputed amount, the Owner shall pay the Contractor the amount certified in the Architect's final Certificate for Payment.

**§ 12.2.3** The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

« »

**§ 12.2.4** If, subsequent to final payment, and at the Owner's request, the Contractor incurs costs, described in Article 7 and not excluded by Article 8, to correct defective or nonconforming Work, the Owner shall reimburse the Contractor for such costs, and the Contractor's Fee applicable thereto, on the same basis as if such costs had been incurred prior to final payment, but not in excess of the Guaranteed Maximum Price. If adjustments to the Contract Sum are provided for in Section 5.1.7, the amount of those adjustments shall be recalculated, taking into account any reimbursements made pursuant to this Section 12.2.4 in determining the net amount to be paid by the Owner to the Contractor.

**§ 12.3 Interest**

Payments due and unpaid under the Contract shall bear interest from the date payment is due at the rate stated below, or in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.

*(Insert rate of interest agreed upon, if any.)*

TEN percent (10.0%) per annum

**ARTICLE 13 DISPUTE RESOLUTION**

See Addendum No. 1

**ARTICLE 14 TERMINATION OR SUSPENSION**

**§ 14.1 Termination**

See Addendum No. 1

**§ 14.1.2 Termination by the Owner for Cause**

See Addendum No. 1

**§ 14.1.3 Termination by the Owner for Convenience**

See Addendum No. 1

**§ 14.2 Suspension**

See Addendum No. 1

**ARTICLE 15 MISCELLANEOUS PROVISIONS**

**§ 15.1** Where reference is made in this Agreement to a provision of AIA Document A201-2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

**§ 15.2** The Owner's representative:

*(Name, address, email address and other information)*

«Kimberly Drummond»  
«347 Andrieux Street  
Sonoma, CA 95476»  
«Telephone Number: (707) 935-5165»  
« »  
« »  
« »

**§ 15.3** The Contractor's representative:

*(Name, address, email address and other information)*

«Seth Maze»  
«19150 Sonoma Hwy  
Sonoma, CA 95476»  
«Telephone Number: 707-757-5050»  
« »  
« »  
« »

**§ 15.4** Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

**§ 15.5 Insurance and Bonds**

See Addendum No. 1.

**§ 15.6 INTENTIONALLY DELETED**

**§ 15.7** Other provisions:

To the extent that any provision in the Contract Documents not set forth in the attached Addendum No. 1 Sonoma Valley Hospital - CT Phase 1 Completion and Phase 2 document ("Addendum No. 1") would impair, limit, compromise, or otherwise be inconsistent with the application of any provision in Addendum No. 1, the provision set forth in Addendum No. 1 shall control and that other provision in the Contract Documents not set forth in Addendum No. 1 shall be of no force and effect to the extent of such inconsistency.



**ARTICLE 16 ENUMERATION OF CONTRACT DOCUMENTS**

§ 16.1 This Agreement is comprised of the following documents:

- .1 AIA Document A102™-2017, Standard Form of Agreement Between Owner and Contractor
- .2 AIA Document A102™-2017, Exhibit A, Insurance and Bonds
- .3 AIA Document A201™-2017, General Conditions of the Contract for Construction
- .4 AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:  
2/4/2022

« »

- .5 Drawings

Number	Title	Date
«Exhibit C»	List of Contract Documents Through ACD030 and ASI025	4/8/2022

- .6 Specifications

Section	Title	Date	Pages
«Exhibit C»	List of Contract Documents Through ACD030 and ASI025	4/8/2022	956

- .7 Addenda, if any:

Number	Date	Pages
«Addendum No. 1»	2/2/2022	29

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 16.

- .9 Other documents, if any, listed below:

*(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201-2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor's bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)*

«Exhibit B - Guaranteed Maximum Price Estimate & Milestone Schedule  
Addendum No. 1 Sonoma Valley Hospital CT Suite Replacement 3<sup>rd</sup> Party Assessment»

This Agreement entered into as of the day and year first written above.

« »

**OWNER** *(Signature)*

«John Hennelly»«, President and CEO»

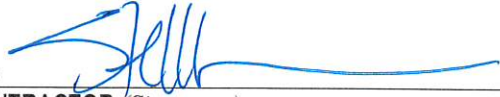
*(Printed name and title)*

« »

**CONTRACTOR** *(Signature)*

«Seth Maze»«, President»

*(Printed name and title)*



# AIA<sup>®</sup> Document E203<sup>™</sup> - 2013

## *Building Information Modeling and Digital Data Exhibit*

This Exhibit dated the « Fourth » day of « February » in the year « Twenty-Two » is incorporated into the agreement (the "Agreement") between the Parties for the following Project:

Construction Administration and Closeout of Sonoma CT Suite Remodel  
Sonoma Valley Hospital  
347 Andrieux Street  
Sonoma, CA 95476

### TABLE OF ARTICLES

- 1 GENERAL PROVISIONS
- 2 TRANSMISSION AND OWNERSHIP OF DIGITAL DATA
- 3 DIGITAL DATA PROTOCOLS
- 4 BUILDING INFORMATION MODELING PROTOCOLS
- 5 OTHER TERMS AND CONDITIONS

### ARTICLE 1 GENERAL PROVISIONS

§ 1.1 This Exhibit provides for the establishment of protocols for the development, use, transmission, and exchange of Digital Data for the Project. If Building Information Modeling will be utilized, this Exhibit also provides for the establishment of the protocols necessary to implement the use of Building Information Modeling on the Project, including protocols that establish the expected Level of Development for Model Elements at various milestones of the Project, and the associated Authorized Uses of the Building Information Models.

§ 1.2 The Parties agree to incorporate this Exhibit into their agreements with any other Project Participants that may develop or make use of Digital Data on the Project. Prior to transmitting or allowing access to Digital Data, a Party may require any Project Participant to provide reasonable evidence that it has incorporated this Exhibit into its agreement for the Project.

§ 1.2.1 The Parties agree that each of the Project Participants utilizing Digital Data on the Project is an intended third party beneficiary of the Section 1.2 obligation to incorporate this Exhibit into agreements with other Project Participants, and any rights and defenses associated with the enforcement of that obligation. This Exhibit does not create any third-party beneficiary rights other than those expressly identified in this Section 1.2.1.

#### § 1.3 Adjustments to the Agreement

§ 1.3.1 If a Party believes that protocols established pursuant to Sections 3.2 or 4.5 will result in a change in the Party's scope of work or services warranting an adjustment in compensation, contract sum, schedule or contract time, the Party shall notify the other Party. Failure to provide notice as required in this Section 1.3 shall result in a Party's waiver of any claims for adjustments in compensation, contract sum, schedule or contract time as a result of the established protocols.

§ 1.3.2 Upon such notice, the Parties shall discuss and negotiate revisions to the protocols or discuss and negotiate any adjustments in compensation, contract sum, schedule or contract time in accordance with the terms of the Agreement.

§ 1.3.3 Notice required under this Section 1.3 shall be provided within thirty days of receipt of the protocols, unless otherwise indicated below:





**§ 1.4 Definitions**

**§ 1.4.1 Building Information Model.** A Building Information Model is a digital representation of the Project, or a portion of the Project, and is referred to in this Exhibit as the “Model,” which term may be used herein to describe a Model Element, a single model or multiple models used in the aggregate, as well as other data sets identified in AIA Document G202–2013, Project Building Information Modeling Protocol Form.

**§ 1.4.2 Building Information Modeling.** Building Information Modeling or Modeling means the process used to create the Model.

**§ 1.4.3 Model Element.** A Model Element is a portion of the Model representing a component, system or assembly within a building or building site.

**§ 1.4.4 Level of Development.** The Level of Development (LOD) describes the minimum dimensional, spatial, quantitative, qualitative, and other data included in a Model Element to support the Authorized Uses associated with such LOD.

**§ 1.4.5 Authorized Uses.** The term “Authorized Uses” refers to the permitted uses of Digital Data authorized in the Digital Data and/or Building Information Modeling protocols established pursuant to the terms of this Exhibit.

**§ 1.4.6 Model Element Author.** The Model Element Author is the entity (or individual) responsible for managing and coordinating the development of a specific Model Element to the LOD required for an identified Project milestone, regardless of who is responsible for providing the content in the Model Element. Model Element Authors are to be identified in Section 3.3, Model Element Table, of AIA Document G202–2013.

**§ 1.4.7 Digital Data.** Digital Data is information, including communications, drawings, specifications and designs, created or stored for the Project in digital form. Unless otherwise stated, the term Digital Data includes the Model.

**§ 1.4.8 Confidential Digital Data.** Confidential Digital Data is Digital Data containing confidential or business proprietary information that the transmitting party designates and clearly marks as “confidential.”

**§ 1.4.9 Written or In Writing.** In addition to any definition in the Agreement to which this Exhibit is attached, for purposes of this Exhibit and the Agreement, “written” or “in writing” shall mean any communication prepared and sent using a transmission method set forth in this Exhibit, or the protocols developed pursuant to this Exhibit, that permits the recipient to print the communication.

**§ 1.4.10 Written Notice.** In addition to any terms in the Agreement to which this Exhibit is attached, for purposes of this Exhibit and the Agreement, “written notice” shall be deemed to have been duly served if transmitted electronically to an address provided in this Exhibit or the Agreement using a transmission method set forth in this Exhibit that permits the recipient to print the communication.

**§ 1.4.11 Party and Parties.** The terms “Party” and “Parties” refer to the signing parties to the Agreement.

**§ 1.4.12 Project Participant.** A Project Participant is an entity (or individual) providing services, work, equipment or materials on the Project and includes the Parties.

**ARTICLE 2 TRANSMISSION AND OWNERSHIP OF DIGITAL DATA**

**§ 2.1** The transmission of Digital Data constitutes a warranty by the Party transmitting Digital Data to the Party receiving Digital Data that the transmitting Party is the copyright owner of the Digital Data, or otherwise has permission to transmit the Digital Data for its use on the Project in accordance with the Authorized Uses of Digital Data established pursuant to the terms of this Exhibit.

**§ 2.2** If a Party transmits Confidential Digital Data, the transmission of such Confidential Digital Data constitutes a warranty to the Party receiving such Confidential Digital Data that the transmitting Party is authorized to transmit



the Confidential Digital Data. If a Party receives Confidential Digital Data, the receiving Party shall keep the Confidential Digital Data strictly confidential and shall not disclose it to any other person or entity except as set forth in Section 2.2.1.

§ 2.2.1 The receiving Party may disclose Confidential Digital Data as required by law or court order, including a subpoena or other form of compulsory legal process issued by a court or governmental entity. The receiving Party may also disclose the Confidential Digital Data to its employees, consultants or contractors in order to perform services or work solely and exclusively for the Project, provided those employees, consultants and contractors are subject to the restrictions on the disclosure and use of Confidential Digital Data as set forth in this Exhibit.

§ 2.3 By transmitting Digital Data, the transmitting Party does not convey any ownership right in the Digital Data or in the software used to generate the Digital Data. Unless otherwise granted in a separate license, the receiving Party's right to use, modify, or further transmit Digital Data is specifically limited to designing, constructing, using, maintaining, altering and adding to the Project consistent with the terms of this Exhibit, and nothing contained in this Exhibit conveys any other right to use the Digital Data.

§ 2.4 Where a provision in this Article 2 conflicts with a provision in the Agreement into which this Exhibit is incorporated, the provision in this Article 2 shall prevail.

**ARTICLE 3 DIGITAL DATA PROTOCOLS**

§ 3.1 **Anticipated Types of Digital Data.** The anticipated types of Digital Data to be used on the Project are as follows:

<b>Anticipated Digital Data</b>	<b>Applicability to the Project</b> <i>(Indicate Applicable or Not Applicable)</i>	<b>Location of Detailed Description</b> <i>(Section 3.1.1 below or in an attachment to this exhibit and identified below)</i>
<b>Project Agreements and Modifications</b>	<b>APPLICABLE</b>	
<b>Project communications</b>	<b>APPLICABLE</b>	
<b>Architect's pre-construction submittals</b>	<b>APPLICABLE</b>	
<b>Contract Documents</b>	<b>APPLICABLE</b>	
<b>Contractor's submittals</b>	<b>APPLICABLE</b>	
<b>Subcontractor's submittals</b>	<b>APPLICABLE</b>	
<b>Modifications</b>	<b>APPLICABLE</b>	
<b>Project payment documents</b>	<b>APPLICABLE</b>	
<b>Notices and claims</b>	<b>APPLICABLE</b>	
<b>Building Information Modeling</b>	<b>APPLICABLE</b>	

§ 3.2 As soon as practical following execution of the Agreement, the Parties shall further describe the uses of Digital Data, and establish necessary protocols governing the transmission and Authorized Uses of Digital Data, in consultation with the other Project Participants that are expected to utilize Digital Data on the Project.



§ 3.2.1 Unless another Project Participant is identified below, the Architect shall prepare and distribute to the other Project Participants Digital Data protocols for review, revision and approval.

§ 3.2.2 The Parties, together with the other Project Participants, shall review and, if necessary, revise the Digital Data protocols at appropriate intervals as required by the conditions of the Project.

**§ 3.4 Unauthorized Use**

**§ 3.4.1 Prior to Establishment of Digital Data Protocols**

Any use of, or reliance on, Digital Data is at that Party's sole risk and without liability to the other Party and its contractors, consultants, agents and employees.

**§ 3.4.2 Following Establishment of Digital Data Protocols**

Each Party's uses of Digital Data shall be at the sole risk of the Party using the Digital Data.

**§ 3.5 Digital Data Management**

§ 3.5.1 Centralized electronic document management system use on the Project shall be:

[  ] The Parties intend to use a centralized electronic document management system on the Project.

[  ] The Parties do not intend to use a centralized electronic document management system on the Project.

§ 3.5.2 If the Project Participants intend to utilize a centralized electronic document management system on the Project, the Project Participants identified in Section 3.5.3 shall be responsible for managing and maintaining such system. The Project Participants responsible for managing and maintaining the centralized electronic document management system shall facilitate the establishment of protocols for transmission, use, storage and archiving of the centralized Digital Data and assist the Project Participants identified in Section 3.2.1 above in preparing Digital Data protocols.

§ 3.5.3 Unless responsibility is assigned to another Project Participant, the Architect shall be responsible for managing and maintaining the centralized electronic document management system. If the responsibility for management and maintenance will be assigned to another Project Participant at an identified Project milestone, indicate below the Project Participant who shall assume that responsibility, and the Project milestone.

**ARTICLE 4 BUILDING INFORMATION MODELING PROTOCOLS**

§ 4.1 If the Parties indicate in Section 3.1 that Building Information Modeling will be used on the Project, specify below the extent to which the Parties intend to utilize Building Information Modeling and identify the provisions of this Article 4 governing such use:

[  ] The Parties shall utilize Building Information Modeling on the Project for the sole purpose of fulfilling the obligations set forth in the Agreement without an expectation that the Model will be relied upon by the other Project Participants. Unless otherwise agreed in writing, any use of, transmission of, or reliance on the Model is at the receiving Party's sole risk. The remaining sections of this Article 4 shall have no force or effect.

[  ] The Parties shall develop, share, use and rely upon the Model in accordance with Sections 4.2 through 4.10 of this Exhibit.

§ 4.2 Not Used

§ 4.3 Not Used

§ 4.4 Not Used

§ 4.5 Not Used

§ 4.6 Not Used

§ 4.7 Not Used

§ 4.8 Not Used

§ 4.9 Not Used

§ 4.10 Not Used

**ARTICLE 5 OTHER TERMS AND CONDITIONS**

Other terms and conditions related to the transmission and use of Digital Data are as follows:

« NONE »





**Penthouse Duct Repair Guaranteed Maximum Price**

<b>PROJECT</b> SVH - CT Scan Ph1 (Penthouse Duct Repair)	<b>GROSS SF</b> 1,500
<b>LOCATION</b> 347 Andrieux Street Sonoma, CA 95476	<b>DATE</b> 4/1/2022
<b>OWNER</b> Sonoma Valley Hospital	
<b>ARCHITECT</b> SMITH-KARNG ARCHITECTURE	

COST SUMMARY	QTY	UNIT COST	COST	DIVISION AMOUNT
<b>DIVISION 1: GENERAL REQUIREMENTS</b>				
		\$ 3.00 /SF		\$ 4,500
01 10 00 Discovery & Investigation Services				
Project Estimator	NIC			
Temp Power (Excluded, Owner to provide required power - 240v)	NIC			
01 50 00 Temp Power & Lighting				
Temp Water	BY OWNER			
Utility Consumption (Power and Water)	BY OWNER			
01 90 00 Clean-up Allowance (sweeping of stairwell & sticky-mats/should ILSM allow)	1 ALLOW	\$ 4,500	\$ 4,500	
Escort through Hospital, General Clean-up	in above			
Infection Control	NIC			
<b>DIVISION 2: EXISTING CONDITIONS</b>				
		\$ 28.83 /SF		\$ 43,240
02 22 20 Select Demolition				
Offhaul and Disposal - Remove Loose Rooftop Debris	120 hrs	\$ 87	\$ 10,440	
Debris Box	1 ALLOW	\$ 5,000	\$ 5,000	
Crane Rigging & Hoisting (For debris removal & Duct Placement)	1 ALLOW	\$ 20,000	\$ 20,000	
City Lane Closure Approval	1 ALLOW	\$ 5,000	\$ 5,000	
02 30 00 Hazardous Material				
Demo & Abatement of Penthouse Roofing (12x6 duct supports)	1 ALLOW	\$ 2,800	\$ 2,800	
<b>DIVISION 7: THERMAL &amp; MOISTURE PROTECTION</b>				
		\$ 15.33 /SF		\$ 23,000
07 40 00 Patch Roof at Penthouse Duct stands	1 ALLOW	\$ 14,000	\$ 14,000	
07 70 00 Architectural Flashings	1 ALLOW	\$ 6,000	\$ 6,000	
07 92 00 Joint Sealants	1 ALLOW	\$ 3,000	\$ 3,000	
<b>DIVISION 8: DOORS &amp; WINDOWS</b>				
		\$ 3.33 /SF		\$ 5,000
08 10 00 Hollow Metal Door, Frame & Hardware	1 ALLOW	\$ 5,000	\$ 5,000	
<b>DIVISION 9: FINISHES</b>				
		\$ 17.33 /SF		\$ 26,000
09 20 00 Cement Plastering for (N) Access Door & Penthouse Wall Patch	1 ALLOW	\$ 12,500	\$ 12,500	
09 29 00 Framing & Drywall				
Framing & Patching at Penthouse Walls (metal framing & sheathing)	1 ALLOW	\$ 10,000	\$ 10,000	
Header & Framing for New Door				
09 90 00 Exterior Painting - Plaster Patch	1 ALLOW	\$ 3,500	\$ 3,500	
<b>DIVISION 21: FIRE SUPPRESSION</b>				
		\$ - /SF		\$ -
21 00 00 Fire Sprinklers	NIC			
<b>DIVISION 22: PLUMBING</b>				
		\$ - /SF		\$ -
22 00 00 Plumbing	NIC			







**Penthouse Duct Repair Guaranteed Maximum Price**

<b>PROJECT</b> SVH - CT Scan Ph1 (Penthouse Duct Repair)	<b>GROSS SF</b> 1,500
<b>LOCATION</b> 347 Andrieux Street Sonoma, CA 95476	<b>DATE</b> 4/1/2022
<b>OWNER</b> Sonoma Valley Hospital	
<b>ARCHITECT</b> SMITH-KARNG ARCHITECTURE	

COST SUMMARY		QTY	UNIT COST	COST	DIVISION AMOUNT
<b>DIVISION 23: HVAC</b>			<b>\$ 66.52 /SF</b>		<b>\$ 99,787</b>
23 00 00	HVAC	1 ALLOW	\$ 49,787	\$ 49,787	
	F&I 30' of supply (Hot & Cold Deck) duct at penthouse to shaft. Demo of existing duct. New duct supports with intermediate Unistrut to hang from existing penthouse red iron. Relocation of 12"x6" duct to floor on durablocks. Layout and Fabrication Work to be completed during off-hours (weekends) w/ three (3) separate mobilizations Duct Stand Modification to become seismic braces on straight time after shutdown Unistrut Supports for 12x6 Duct including anchors				
		1 ALLOW	\$ 4,500	\$ 4,500	
		1 ALLOW	\$ 6,500	\$ 6,500	
		1 ALLOW	\$ 9,000	\$ 9,000	
	TEST & BALANCE	1 ALLOW	\$ 30,000	\$ 30,000	
	\$15,000 to revert to Pre-Construction Values \$15,000 for Final Phase 1 balance				
<b>DIVISION 26: ELECTRICAL</b>			<b>\$ 32.21 /SF</b>	<b>12%</b>	<b>\$ 48,314</b>
26 00 00	Electrical & Fire Alarm	1 ALLOW	\$ 23,314	\$ 23,314	
	Reroute Existing Fire Alarm Conduit Reroute Existing 110v outlet conduit Reroute 3" conduit to panel AMPE Reroute load conduits from panel AMPE Fire Alarm Shop Drawing Resubmittal, ACD & Coordination				
		1 ALLOW	\$ 7,500	\$ 7,500	
		1 ALLOW	\$ 6,000	\$ 6,000	
		1 ALLOW	\$ 5,500	\$ 5,500	
		1 ALLOW	\$ 6,000	\$ 6,000	
	<b>SUBTOTAL DIRECTS</b>				<b>\$ 249,841</b>
	CONTINGENCY			10%	\$ 24,984
	GENERAL CONDITIONS				\$ 99,994
	FEE (OH&P)			5.5%	\$ 20,615
	LIABILITY INSURANCE			1.2%	\$ 4,745
	G/C PAYMENT & PERFORMANCE BOND				EXCLUDED
	BUILDERS RISK INSURANCE				BY OWNER
	PERFORMANCE INCENTIVE MILESTONE 1 (DUCT COMPLETION)				\$ 7,200
	PERFORMANCE INCENTIVE MILESTONE 2 (CERTIFICATE OF OCCUPANCY)				\$ 7,200
<b>TOTAL</b>			<b>\$ 276 /SF</b>		<b>\$ 414,579</b>

\*See Next Page for Qualifications



Penthouse Duct Repair Guaranteed Maximum Price

<b>PROJECT</b> SVH - CT Scan Ph1 (Penthouse Duct Repair)	<b>GROSS SF</b> 1,500
<b>LOCATION</b> 347 Andrieux Street Sonoma, CA 95476	<b>DATE</b> 4/1/2022
<b>OWNER</b> Sonoma Valley Hospital	
<b>ARCHITECT</b> SMITH-KARNG ARCHITECTURE	

COST SUMMARY	QTY	UNIT COST	COST	DIVISION AMOUNT
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QUALIFICATIONS

- 1 The scope of this project is based on the discussions held with Owner's Representative and 3/10/2022 field investigation with Vertran, Blakeslee Electric, Peterson Mechanical & GMH Builders. The discovery and investigative services provided herein shall not be construed as expertise in the capacity of a design professional. The services provided herein are in the capacity of a licensed contractor with specific experience in healthcare construction process and work methods required. In consideration that the extent of the work required by this investigation is undefined, it is understood by both parties that all General Conditions costs and Direct costs will be reconciled by way of Change Orders either progressively through the course of the project and/or at the completion of the project based on actualized costs. Preliminary pricing included in this proposal is based on preliminary discussions with future ACD #030, #031. Final pricing is subject to HCAI approval, final design development and will be submitted as a change order to the contract. This scope of work excludes all previous ASI's and ACD's.
- 2 Performance Based Fee with two (2) payment of \$7,200 each for achieving the mutually agreed upon Milestone of (1) Penthouse Duct Completion and (2) HCAI Occupancy. Final Milestone Date's to be determined following ACD #030, 031 & any other AHJ approvals.
- 3 Architectural, Structural, MEPS, RF Shielding or Civil Design Consultant Fees are not included.
- 4 Items listed as "BY OWNER" are assumed to be covered by Owner and its direct subcontractors.
- 5 Items listed as "ALLOW" are allowance costs that are to be designed and refined towards that amount, or adjusted upon deriving the actual cost. Amounts will be finalized when scope is defined and/or accepted through future ACD to HCAI.
- 6 Permits, fees, inspections and special inspection fees are not included.
- 7 Builders Risk Insurance is NOT included.
- 8 Payment and Performance Bonds are not included.
- 9 Utility company (PG&E, AT&T, etc.) consumption for construction use and connection fees are not included.
- 10 Abatement of asbestos, lead, mold, or other hazardous materials are not included. A hazardous materials report shall be provided by Owner prior to starting work. Unless specifically listed within the GMH proposal.
- 11 Unforeseen conditions are excluded.
- 12 Infection control is not included including additional PPE requirements (i.e. Booties, Isolation gowns, etc.).
- 13 Any plumbing work of any kind is not included.
- 14 Additional demo of penthouse wall and/or roof framing for duct install is not included.
- 15 Due to the volatile labor and materials market within our locality, this proposal is subject to final confirmation of price prior to execution of contract and again at material buyout following submittal approvals and releases. Generally subcontractor and supplier bids are valid for (30) days, however many are noting prices are only held for (7) days or some as little as same day. We have included an Allowance for this market condition.
- 16 Fire watch if required is not included. We assume the Owner will provide this service.
- 17 All work assumed to be regular time, Monday through Friday, 7:00AM to 4:00PM with the exception that the Penthouse Ductwork will be completed in three (3) consecutive weekends with Balance Reports being produced but not necessarily approved prior to each shutdown.
- 18 Items listed as "NIC" are Not In Contract and no work will be performed that is related to these items. Any work completed as the request of the owner will be issued as a Change Order to the contract.
- 19 Work to be completed June 2022. Work beyond June 2022 is subject to increased labor rates and to be submitted for reimbursement.
- 20 Work for the CT Ph. 1 within the hospital is not included. Air Balance only.
- 21 Temp. Nurse Call Ph.2 area activation is excluded.
- 22 General Conditions are based on 2-months of construction with limited hours allotted to MOP development as MOP's were developed from the previous project. Substantial changes or re-development of MOP's will result in additional General Conditions and are to reimbursed through Change Orders to the Contract.
- 23 ACD #030 Approval from HCAI is assumed to be 14 calendar days from 4/6/2022 Submission. Delays in submission and/or approval are subject to additional Compensable General Conditions and adjustments to Performance Milestone #1 & #2 Incentive Dates.
- 24 GMH Builders assumes HCAI will approve work completed during the weekend on the following weekday (i.e. Monday) for work to proceed the subsequent weekend. No work to be completed during select days between 5/30/2022 & 6/24/2022. Weekend Time-off to be observed during normal business week days.





Exhibit "B"

**Penthouse Duct Repair Guaranteed Maximum Price**

<b>PROJECT</b> SVH - CT Scan Ph1 (Penthouse Duct Repair)	<b>GROSS SF</b> 1,500
<b>LOCATION</b> 347 Andrieux Street Sonoma, CA 95476	<b>DATE</b> 4/1/2022
<b>OWNER</b> Sonoma Valley Hospital	
<b>ARCHITECT</b> SMITH-KARNG ARCHITECTURE	

<b>COST SUMMARY</b>	<b>QTY</b>	<b>UNIT COST</b>	<b>COST</b>	<b>DIVISION AMOUNT</b>
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25 GMH Builders assumes continuous onsite operation from date of mobilization as presented in project schedule. Delay costs as a result of any owner defined delays are to be fully compensable at the defined daily rate.

26 Contingency is intended for Contractor use to Guaranteed the Maximum Price amount. The GMP amount is intended to cover costs of the work not covered by a particular line item in the estimate. We assume Owner will carry a separate contingency fund for any changes in the work and for additional scope if desired. Construction Contingency subject to 50/50 split (50% to GMH & 50% back to owner).





**Penthouse Duct Repair Guaranteed Maximum Price**

<b>PROJECT</b> SVH - CT Scan Ph1 (Penthouse Duct Repair) <b>LOCATION</b> 347 Andrieux Street Sonoma, CA 95476 <b>OWNER</b> Sonoma Valley Hospital <b>ARCHITECT</b> SMITH-KARNG ARCHITECTURE	<b>GROSS SF</b> 1,500 <b>DATE</b> 4/1/2022
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LINE	COST SUMMARY	QTY	UNIT	UNIT COST	COST	DIVISION AMOUNT
<b>GENERAL CONDITIONS</b>		<b>2.0</b>	<b>MO</b>	<b>\$ 49,997 /MO</b>		<b>\$ 99,994</b>
	Project Manager (1/2 Time)	173	HRS	\$ 129.00	\$ 22,343	
	Superintendent (Full Time)	346	HRS	\$ 141.00	\$ 48,842	
	Project Engineer (3/4 Time)	260	HRS	\$ 108.00	\$ 28,058	
	Supt Pickup Truck				In Rates	
	Fuel/Oil/Grease				In Rates	
	Postage & Supplies				In Rates	
	Copy Machine/Fax/Printer				In Rates	
	Computer - Laptops				In Rates	
	Reproduction - Construction Documents	1	LS	\$ 750.00	\$ 750	

SVH - CT Ph. 1 Suite Renovation  
Preliminary Milestone Schedule  
04-01-2022

ID	Task Name	Start	Finish
1	<b>Sonoma Valley Hospital - CT Suite Milestone Schedule</b>	<b>Tue 3/8/22</b>	<b>Fri 7/29/22</b>
2	Receipt of Scoping Document - Design Team to GMH	Tue 3/8/22	Tue 3/8/22
3	GMH Review & Allowance Update	Tue 3/8/22	Fri 3/18/22
4	Preliminary Pricing Submitted to Vertran	Fri 3/18/22	Fri 3/18/22
5	Vertran Preliminary Pricing Review	Mon 3/21/22	Tue 3/22/22
6	SVH - Finance Committee Mtg. Budget Review	Tue 3/22/22	Tue 3/22/22
7	Finalize Contract with SVH	Wed 3/23/22	Fri 4/8/22
8	ACD #030 Submission to HCAI	Wed 4/6/22	Wed 4/6/22
9	ACD #030 Approval from HCAI	Wed 4/6/22	Wed 4/20/22
10	Finalize Budget on approved ACD	Wed 4/6/22	Tue 4/26/22
11	Finalize Budget and Contract with SVH	Tue 4/26/22	Tue 4/26/22
12	Start of Onsite Activities	Wed 4/27/22	Wed 4/27/22
13	Preparation of Onsite Activities/Submittals/MOP	Wed 4/27/22	Thu 6/2/22
14	Submission of MOP to CDPH	Thu 5/12/22	Thu 5/12/22
15	CDPH Approval of MOP	Thu 5/26/22	Thu 5/26/22
16	Notification of HVAC Impacts to HCAI	Fri 5/27/22	Fri 5/27/22
17	Penthouse Duct Reroute Mobilization #1	Fri 6/3/22	Mon 6/6/22
18	Penthouse Duct Reroute Mobilization #2	Fri 6/10/22	Mon 6/13/22
19	Penthouse Duct Reroute Mobilization #3	Fri 6/17/22	Mon 6/20/22
20	ACD #030 Ducting Work Completion	Fri 6/24/22	Fri 6/24/22
21	HCAI Sign-Offs/VCR's	Fri 6/24/22	Fri 7/29/22
22	FLSO Sign-Off	Fri 7/8/22	Fri 7/8/22
23	DSE Sign-Off	Fri 7/15/22	Fri 7/15/22
24	ACO Sign-Off	Fri 7/22/22	Fri 7/22/22
25	Phase 1 - Occupancy of CT Suite *CDPH Licensing to Occur after Occupancy	Fri 7/29/22	Fri 7/29/22

Phase 1 - Occupancy of CT Suite \*CDPH Licensing to Occur after Occupancy ♦ 7/29

DSE Sign-Off ♦ 7/15

FLSO Sign-Off ♦ 7/8

7/2

ACD #030 Ducting Work Completion ♦ 6/24

Penthouse Duct Reroute Mobilization #3 █ 6/20

Penthouse Duct Reroute Mobilization #2 █ 6/13

Penthouse Duct Reroute Mobilization #1 █ 6/6

Notification of HVAC Impacts to HCAI ♦ 5/27

CDPH Approval of MOP ♦ 5/26

Submission of MOP to CDPH ♦ 5/12

Preparation of Onsite Activities/Submittals/MOP █ 6/2

Start of Onsite Activities ♦ 4/27

Finalize Budget and Contract with SVH ♦ 4/26

Finalize Budget on approved ACD █ 4/26

ACD #030 Approval from HCAI █ 4/20

ACD #030 Submission to HCAI ♦ 4/6

Finalize Contract with SVH █ 4/8

SVH - Finance Committee Mtg. Budget Review ♦ 3/22

Vertran Preliminary Pricing Review █ 3/22

Preliminary Pricing Submitted to Vertran ♦ 3/18

GMH Review & Allowance Update █ 3/18

Receipt of Scoping Document - Design Team to GMH ♦ 3/8



Exhibit C  
List of Contract Documents Through ACD030 and ASI025

Change Log			
Document Count	Materially Altering Changes	Design Professional of Record	Notes
1	ACD001	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
2	ACD002	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
3	ACD003	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
4	ACD004	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
5	ACD005	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
6	ACD006	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
7	ACD007	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
8	ACD008	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
9	ACD009	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
10	ACD010	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
11	ACD011	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
12	ACD012	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
13	ACD013	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
14	ACD014	Matt Johnson, Taylor Design	Approved with Comment
15	ACD015	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
16	ACD016	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
17	ACD017	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
18	ACD018	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
19	ACD019	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
20	ACD020	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
21	ACD021	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
22	ACD022	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
23	ACD023	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
24	ACD024	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
25	ACD025	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
26	ACD026	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
27	ACD027	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
28	ACD028	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
29	ACD029	Madelyn McClellan, Smith-Karng Architecture	Deferred Approval #DSI 029 Coordination Study
30	ACD030	Madelyn McClellan, Smith-Karng Architecture	Penthouse C Hot/Cold Deck Ducting Re-Work



Exhibit C

List of Contract Documents Through ACD030 and ASI025

Change Log			
Document Count	Materially Altering Changes	Design Professional of Record	Notes
Document Count	Non-Materially Altering Changes	Design Professional of Record	Notes
1	ASI001	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
2	ASI002	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
3	ASI003	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
4	ASI004	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
5	ASI005	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
6	ASI006	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
7	ASI007	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
8	ASI008	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
9	ASI009	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
10	ASI010	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
11	ASI011	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
12	ASI012	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
13	ASI013	Matt Johnson, Taylor Design	TIO Update - No Drawings Issued
14	ASI014	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
15	ASI015	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
16	ASI016	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
17	ASI017	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
18	ASI018	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
19	ASI019	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
20	ASI020	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
21	ASI021	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
22	ASI022	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
23	ASI023	Matt Johnson, Taylor Design	See subsequent pages for specific drawing updates.
24	ASI024	Matt Johnson, Taylor Design	TIO Update - No Drawings Issued
25	ASI025	Madelyn McClellan, Smith-Karng Architecture	TIO Update - No Drawings Issued















Exhibit C

List of Contract Documents Through ACD030 and ASI025

Specification Log			
Document Count	Specification Section	Specification Title	Notes
1	00 00 01	Title Page	Refer to OSHPD Approved Specification Stamped 12/23/2019
2	00 00 03	Project Directory	Refer to OSHPD Approved Specification Stamped 12/23/2019
3	00 00 07	Signature Page	Refer to OSHPD Approved Specification Stamped 12/23/2019
4	00 01 00	Table of Contents	Refer to OSHPD Approved Specification Stamped 12/23/2019
5	01 11 00	Summary of Work	Refer to OSHPD Approved Specification Stamped 12/23/2019
6	01 11 15	Requests for Information	Refer to OSHPD Approved Specification Stamped 12/23/2019
7	01 11 17	Change Order Procedures	Refer to OSHPD Approved Specification Stamped 12/23/2019
8	01 23 00	Alternates	Refer to OSHPD Approved Specification Stamped 12/23/2019
9	01 29 00	Application for Payment	Refer to OSHPD Approved Specification Stamped 12/23/2019
10	01 31 20	Project Meetings	Refer to OSHPD Approved Specification Stamped 12/23/2019
11	01 32 50	Progress Schedules and Reports	Refer to OSHPD Approved Specification Stamped 12/23/2019
12	01 33 40	Shop Drawings, Product Data, and Samples	Refer to OSHPD Approved Specification Stamped 12/23/2019
13	01 42 20	Reference Standards	Refer to OSHPD Approved Specification Stamped 12/23/2019
14	01 45 70	Testing and Inspections	Refer to OSHPD Approved Specification Stamped 12/23/2019
15	01 50 00	Temporary Facilities and Controls	Refer to OSHPD Approved Specification Stamped 12/23/2019
16	01 63 00	Product Substitution Procedures	Refer to OSHPD Approved Specification Stamped 12/23/2019
17	01 70 00	Execution Requirements	Refer to OSHPD Approved Specification Stamped 12/23/2019
18	01 73 60	Selective Demolition	Refer to OSHPD Approved Specification Stamped 12/23/2019
19	01 74 00	Cleaning	Refer to OSHPD Approved Specification Stamped 12/23/2019
20	01 77 00	Closeout Submittals and Procedures	Refer to OSHPD Approved Specification Stamped 12/23/2019
21	01 78 90	Project Record Documents	Refer to OSHPD Approved Specification Stamped 12/23/2019
22	02 22 20	Demolition for Remodeling	Refer to OSHPD Approved Specification Stamped 12/23/2019
23	03 30 10	Cast-In-Place Concrete (Limited Applications)	Refer to OSHPD Approved Specification Stamped 12/23/2019
24	05 50 00	Metal Fabrications	Refer to OSHPD Approved Specification Stamped 12/23/2019
25	06 41 20	Laminate-Clad Wood Cabinets	Refer to OSHPD Approved Specification Stamped 12/23/2019
26	07 21 00	Building Insulation	Refer to OSHPD Approved Specification Stamped 12/23/2019
27	07 57 00	Spray-Applied Polyurethane Foam Roofing System	Refer to OSHPD Approved Specification Stamped 12/23/2019
28	07 84 00	Firestopping	Refer to OSHPD Approved Specification Stamped 12/23/2019
29	07 90 00	Joint Sealers	Refer to OSHPD Approved Specification Stamped 12/23/2019
30	08 11 00	Steel Door Frames	Refer to OSHPD Approved Specification Stamped 12/23/2019
31	08 17 20	Integrated Steel Door Assembly	Refer to OSHPD Approved Specification Stamped 12/23/2019
32	08 21 30	Plastic-Laminate Faced Doors	Refer to OSHPD Approved Specification Stamped 12/23/2019
33	08 31 20	Access Panels	Refer to OSHPD Approved Specification Stamped 12/23/2019
34	08 71 00	Door Hardware	Refer to OSHPD Approved Specification Stamped 12/23/2019
35	08 80 00	Glazing	Refer to OSHPD Approved Specification Stamped 12/23/2019
36	09 10 00	Metal Support Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
37	09 25 00	Gypsum Board	Refer to OSHPD Approved Specification Stamped 12/23/2019
38	09 31 00	Ceramic Tile	Refer to OSHPD Approved Specification Stamped 12/23/2019
39	09 51 00	Acoustical Ceilings	Refer to OSHPD Approved Specification Stamped 12/23/2019
40	09 65 00	Resilient Flooring	Refer to OSHPD Approved Specification Stamped 12/23/2019
41	09 90 00	Paints and Coatings	Refer to OSHPD Approved Specification Stamped 12/23/2019
42	10 26 00	Wall and Corner Guards	Refer to OSHPD Approved Specification Stamped 12/23/2019
43	10 40 00	Identification Devices	Refer to OSHPD Approved Specification Stamped 12/23/2019
44	10 52 30	Fire Extinguishers and Cabinets	Refer to OSHPD Approved Specification Stamped 12/23/2019
45	10 81 00	Toilet Accessories	Refer to OSHPD Approved Specification Stamped 12/23/2019
46	11 70 00	Medical Equipment Schedule Legend	Refer to OSHPD Approved Specification Stamped 12/23/2019
47	12 67 00	Fabric Covered Benches	Refer to OSHPD Approved Specification Stamped 12/23/2019
48	13 09 00	Radiation Protection	Refer to OSHPD Approved Specification Stamped 12/23/2019
49	22 01 00	General Requirements	Refer to OSHPD Approved Specification Stamped 12/23/2019
50	22 05 00	Basic Materials and Methods	Refer to OSHPD Approved Specification Stamped 12/23/2019
51	22 05 19	Instrumentation	Refer to OSHPD Approved Specification Stamped 12/23/2019
52	22 05 23	Valves	Refer to OSHPD Approved Specification Stamped 12/23/2019
53	22 05 29	Support and Anchors	Refer to OSHPD Approved Specification Stamped 12/23/2019
54	22 05 48	Noise, Vibration, and Seismic Control	Refer to OSHPD Approved Specification Stamped 12/23/2019
55	22 05 93	Tests and Balancing	Refer to OSHPD Approved Specification Stamped 12/23/2019
56	22 07 00	Insulation	Refer to OSHPD Approved Specification Stamped 12/23/2019
57	22 11 00	Plumbing Pipe and Pipe Fittings	Refer to OSHPD Approved Specification Stamped 12/23/2019
58	22 30 00	Plumbing Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
59	22 60 00	Special Piping Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
60	23 01 00	General Requirements	Refer to OSHPD Approved Specification Stamped 12/23/2019
61	23 05 00	Basic Materials and Methods	Refer to OSHPD Approved Specification Stamped 12/23/2019





**Exhibit C**  
List of Contract Documents Through ACD030 and ASI025

Specification Log			
Document Count	Specification Section	Specification Title	Notes
62	23 05 13	Motor and Motor Controllers	Refer to OSHPD Approved Specification Stamped 12/23/2019
63	23 05 19	Instrumentation	Refer to OSHPD Approved Specification Stamped 12/23/2019
64	23 05 23	Valves	Refer to OSHPD Approved Specification Stamped 12/23/2019
65	23 05 29	Support and Anchors	Refer to OSHPD Approved Specification Stamped 12/23/2019
66	23 05 48	Noise, Vibration, and Seismic Control	Refer to OSHPD Approved Specification Stamped 12/23/2019
67	23 05 93	Tests and Balancing	Refer to OSHPD Approved Specification Stamped 12/23/2019
68	23 07 00	Insulation	Refer to OSHPD Approved Specification Stamped 12/23/2019
69	23 21 13	HVAC Pipe and Pipe Fittings	Refer to OSHPD Approved Specification Stamped 12/23/2019
70	23 25 00	Water Treatment	Refer to OSHPD Approved Specification Stamped 12/23/2019
71	23 31 00	Ductwork	Refer to OSHPD Approved Specification Stamped 12/23/2019
72	23 40 00	Air Filters	Refer to OSHPD Approved Specification Stamped 12/23/2019
73	23 72 01	Custom Made Air Handling Units	Refer to OSHPD Approved Specification Stamped 12/23/2019
74	23 81 75	Variable Refrigerant Volume System	Refer to OSHPD Approved Specification Stamped 12/23/2019
75	25 00 00	Controls – General Conditions	Refer to OSHPD Approved Specification Stamped 12/23/2019
76	25 10 00	Building Management System (BMS)	Refer to OSHPD Approved Specification Stamped 12/23/2019
77	25 30 00	Building Management System – Field Devices	Refer to OSHPD Approved Specification Stamped 12/23/2019
78	25 90 00	Sequence of Operations	Refer to OSHPD Approved Specification Stamped 12/23/2019
79	26 01 00	Electrical General Requirements	Refer to OSHPD Approved Specification Stamped 12/23/2019
80	26 05 19	Low-Voltage Electrical Power Conductors and Cables	Refer to OSHPD Approved Specification Stamped 12/23/2019
81	26 05 26	Grounding and Bonding for Electrical Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
82	26 05 29	Supports and Anchors	Refer to OSHPD Approved Specification Stamped 12/23/2019
83	26 05 33	Conduit Raceway and Boxes for Electrical Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
84	26 05 73	Overcurrent Protective Device Coordination Study	Refer to OSHPD Approved Specification Stamped 12/23/2019
85	26 09 23	Lighting Control Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
86	26 22 00	Low-Voltage Transformers	Refer to OSHPD Approved Specification Stamped 12/23/2019
87	26 24 16	Panelboards	Refer to OSHPD Approved Specification Stamped 12/23/2019
88	26 27 19	Multi-Outlet Assemblies	Refer to OSHPD Approved Specification Stamped 12/23/2019
89	26 50 00	Luminaires and Accessories	Refer to OSHPD Approved Specification Stamped 12/23/2019
90	27 05 00	Communications Common Work Results	Refer to OSHPD Approved Specification Stamped 12/23/2019
91	27 05 29	Communications Hangers and Supports	Refer to OSHPD Approved Specification Stamped 12/23/2019
92	27 05 33	Communications Conduits and Back Boxes	Refer to OSHPD Approved Specification Stamped 12/23/2019
93	27 05 36	Communications Grounding and Bonding	Refer to OSHPD Approved Specification Stamped 12/23/2019
94	27 05 53	Identification for Communications	Refer to OSHPD Approved Specification Stamped 12/23/2019
95	27 10 00	Structural Cabling, Basic Materials and Methods	Refer to OSHPD Approved Specification Stamped 12/23/2019
96	27 11 19	Communications Termination Blocks and Patching	Refer to OSHPD Approved Specification Stamped 12/23/2019
97	27 11 23	Communications Cable Management	Refer to OSHPD Approved Specification Stamped 12/23/2019
98	27 15 00	Communications Horizontal Cabling	Refer to OSHPD Approved Specification Stamped 12/23/2019
99	27 41 16	Public Address System	Refer to OSHPD Approved Specification Stamped 12/23/2019
100	27 52 23	Nurse Call Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
101	28 05 00	Security General Requirements Field Hardware	Refer to OSHPD Approved Specification Stamped 12/23/2019
102	28 05 16	Security Wire and Cable	Refer to OSHPD Approved Specification Stamped 12/23/2019
103	28 05 28	Security Basic Materials and Methods	Refer to OSHPD Approved Specification Stamped 12/23/2019
104	28 13 00	Access Control and Alarm Monitoring System	Refer to OSHPD Approved Specification Stamped 12/23/2019
105	28 23 00	Security Video Surveillance Systems	Refer to OSHPD Approved Specification Stamped 12/23/2019
106	28 31 00	Fire Detection and Alarm System	Refer to OSHPD Approved Specification Stamped 12/23/2019



















Exhibit C  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
1	Insulation of Outdoor Temp Roof Ductwork	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	01/07/2020	Rachard Duggan	01/10/2020	01/14/20			No		TBD
2	(E) Mixing Box - MB 4-1	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	01/07/2020	Rachard Duggan	01/10/2020	01/14/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	No		Yes (Unknown)
3	Duct Work Cleaning	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Duggan, Rachard (Tayl...)	01/10/2020	Rachard Duggan	01/13/2020	01/10/20			TBD		TBD
4	Change Aluminum to Hollow Metal	Closed	Dome Construction...	Duggan, Rachard (Dome Construction Corporation)	Rice, Mandi (Tayl...)	01/31/2020	Rachard Duggan	02/07/2020	02/10/20					
5	Casework Locking	Closed	Mission Bell Mann...	Romina, Mikey (Mission Bell Manufacturing, Inc.)	Rice, Mandi (Tayl...)	01/31/2020	Rachard Duggan	02/07/2020	02/10/20					Yes (Unknown)
6	Existing Vac - Cut and Cap	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/03/2020	Rachard Duggan	02/06/2020	02/10/20					
7	Kronos Timeclock	Closed	Blakeslee Electr...	Bosen, Harold (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	02/03/2020	Rachard Duggan	02/11/2020	02/18/20					
8	Panel PENN & Panel PEN	Closed	Blakeslee Electr...	Bosen, Harold (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	02/03/2020	Rachard Duggan	02/11/2020	02/18/20					
9	Deleting Temp Door 1299A	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/03/2020	Rachard Duggan	02/07/2020	02/10/20					
10	(E) Concrete Curbs Demo	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/10/2020	Rachard Duggan	02/13/2020	02/18/20					
11	Use of Lockring for Med Gas Safe Off	Closed	Peterson Mechanical	Spadoni - WET, Mark (Peterson Mechanical)	Rice, Mandi (Tayl...)	02/19/2020	Rachard Duggan	03/04/2020	04/13/20					
12	Gift Shop Temp Barriers	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/20/2020	Rachard Duggan	03/05/2020	02/24/20					
13	RM 1304 Furred Wall for Toilet Carrier	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/20/2020	Rachard Duggan	03/05/2020	02/24/20					
14	Feature Wall @ Main Lobby Detail	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/20/2020	Rachard Duggan	03/05/2020	02/24/20					

Exhibit C  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From Corporation)	Assignee (Tayl...	Date Initiated	RFI Manager	Due Date	Closed Date	Ball in Court	Location	Schedule Impact	Cost Code	Cost Impact
15	Temperature Controls - Mixing Boxes	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	03/16/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15-000 - General	TBD
16	Countertop and Sink	Closed	Mission Bell Manu...	Romina, Mikey (Mission Bell Manufacturing, Inc.)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	02/26/20					
17	Temperature Controls - IP Address for Penthouse Mech Room	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	03/16/20			TBD	15 - Mechanical	TBD
18	Back Check #2 Med Gas	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Duggan, Rachard (...)	02/21/2020	Rachard Dugan	02/24/2020	02/26/20					
19	In-Wall Brackets (Casework)	Closed	Mission Bell Manu...	Romina, Mikey (Mission Bell Manufacturing, Inc.)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	02/26/20					
20	Temperature Controls - Pathway/ROUTING Riser to Penthouse	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Murray - DRY, Brandon (Peterson Mechanical)	02/21/2020	Rachard Dugan	03/06/2020	05/04/20			TBD	15 - Mechanical	TBD
21	Temperature Controls - Contact Info for Controls Tech w/ Frank Booth	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Duggan, Rachard (...)	02/21/2020	Rachard Dugan	02/28/2020	04/13/20			TBD		TBD
22	ASBuilts	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Duggan, Rachard (...)	02/21/2020	Rachard Dugan	02/28/2020	05/04/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD		TBD
23	Casework Hinges	Closed	Mission Bell Manu...	Romina, Mikey (Mission Bell Manufacturing, Inc.)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	03/17/20					
24	Hilti Drop-in Anchors	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	02/26/20			TBD	15-000 - General	TBD
25	Voltage at WAH-05	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Duggan, Rachard (...)	02/21/2020	Rachard Dugan	02/28/2020	03/16/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15 - Mechanical	TBD
26	Temperature Controls - Air Flow Station	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/06/2020	03/09/20			TBD	15 - Mechanical	TBD
27	Duct Supports at Temp Ductwork	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...	02/21/2020	Rachard Dugan	03/16/2020	03/30/20		Roof		15-000 - General	No
28	Thy Curbs at Piping, Ductwork & Equipment Supports	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Klare-Dry, Ashlei...	02/21/2020	Rachard Dugan	02/28/2020	03/30/20		Roof	No	15 - Mechanical	Yes (Unknown)



Exhibit C

List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
29	Work outside "work area" Protocol	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Duggan, Rachard	02/21/2020	Rachard Duggan	02/24/2020	04/13/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15 - Mechanical	TBD
30	Monitoring of Fire Smoke Dampers	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Klare-Dry, Ashlei...	02/21/2020	Rachard Duggan	02/28/2020	05/04/20			TBD	15 - Mechanical	TBD
31	Interim Balancing Requirements	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi	02/21/2020	Rachard Duggan	03/06/2020	03/02/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15 - Mechanical	TBD
38	Clarification for Demo on M-021A Access Dressing Rm #1299	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Klare-Dry, Ashlei...	02/21/2020	Rachard Duggan	02/24/2020	03/16/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15 - Mechanical	TBD
39	Existing Ductwork serving Ef-7 POC	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi	02/27/2020	Rachard Duggan	03/16/2020	03/16/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
40	Abandoned Steam Piping CT Suite	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	03/03/2020	Rachard Duggan	03/20/2020	03/16/20					
41	P-021A, Note 5, Fire Hose Cabinet Removal	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	03/03/2020	Rachard Duggan	03/20/2020	04/06/20					
42	P021A, Note 5 - Floor Infill Detail	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	03/03/2020	Rachard Duggan	03/20/2020	03/16/20					
43	A9/A500 Wall Furring at Column Line A	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	03/03/2020	Rachard Duggan	03/20/2020	04/13/20					
44	Backing Location per Detail A7/A-550	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	03/03/2020	Rachard Duggan	03/20/2020	03/16/20					
45	Clarification for Demo on M-021A Access Dressing Rm #1299	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi	03/03/2020	Rachard Duggan	03/20/2020	03/16/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
46	Waiting Room Existing HVAC System	Closed	Dome Construction...	Skellenger, Marlena (Dome Construction Corporation)	Rice, Mandi	03/09/2020	Rachard Duggan	03/12/2020	03/25/20			Yes (Unknown)		Yes (Unknown)
47	Code Requirements for Temp Duct	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi	03/09/2020	Rachard Duggan	03/26/2020	04/06/20					
48	CT Suite - Waste Line Modifications	Closed	Peterson Mechanical	Fontes - WET, Margaria (Peterson Mechanical)	Rice, Mandi	03/10/2020	Rachard Duggan	03/24/2020	04/06/20					
49	Existing Pipe Failure Repair	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	03/10/2020	Rachard Duggan	03/24/2020	04/17/20					Yes (Unknown)

# Exhibit C

## List of Contract Documents Through ACD030 and ASI025

### RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
50	Existing Sink Waste Lines	Closed		None	Rice, Mandi (Tayl...)	03/10/2020	Rachard Duggan	03/20/2020	03/16/20				Yes (Unknown)	
51	TDR Room 1308 Door Framing	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/10/2020	Rachard Duggan	03/17/2020	04/08/20					
52	Top and Bottom Track Anchor Placement	Closed	Dome Construction...	None	Rice, Mandi (Tayl...)	03/10/2020	Rachard Duggan	03/17/2020	04/17/20					
53	Thy Curbs at Piping, Ductwork & Equipment Supports	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/10/2020	Rachard Duggan	03/24/2020	03/30/20		Roof	TBD	15-000 - General	TBD
54	Fluoroscopy - Lead Lined Drywall	Closed	Nelco	None	Rice, Mandi (Tayl...)	03/11/2020	Rachard Duggan	03/20/2020	03/24/20		Room 1299			
55	OFF/CI Shop Drawings - Backing	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/11/2020	Rachard Duggan	03/25/2020	05/11/20					
56	Room 1299 - Directives for Demo	Closed	Peterson Mechanical	Klare-Dry, Ashleigh (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/12/2020	Rachard Duggan	03/26/2020	03/25/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD		TBD
57	Room 1306 - Med Gas - POC	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/12/2020	Rachard Duggan	03/26/2020	03/23/20					
58	Room 1306 - Med Gas - Pipe Size & Designation	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/12/2020	Rachard Duggan	03/26/2020	03/23/20					
59	Room 1306 - Med Gas - Outlets	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/12/2020	Rachard Duggan	03/26/2020	03/20/20					
60	WAH4 - Electrical Feeder Size & Load Clarification	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	03/13/2020	Rachard Duggan	03/27/2020	04/07/20					
61	Room 1299 - Dressing Room Phasing	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/16/2020	Rachard Duggan	03/27/2020	03/25/20		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC Ph1	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
62	Penthouse Ductwork - Waterproofing Detail	Closed	Peterson Mechanical	Klare-Dry, Ashleigh (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/25/2020	Rachard Duggan	04/13/2020	04/07/20		Penthouse	N/A		N/A
63	Phase 1 - Existing FDRS Converted to FSDRS	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/25/2020	Rachard Duggan	04/22/2020	04/24/20		CT Area	TBD	15 - Mechanical	TBD



Exhibit C  
List of Contract Documents Through ACD030 and ASI025  
RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Bail In Court	Location	Schedule Impact	Cost Code	Cost Impact
64	Detail 4/M403 and 12/S-300 - Sleepers / Lags	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/30/2020	Rachard Duggan	04/13/2020	04/07/20					
65	AHU Sleeper Attachment and Waterproofing	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/30/2020	Rachard Duggan	04/13/2020	04/17/20					
66	Above Ceiling Access within CT Suite	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/30/2020	Rachard Duggan	04/13/2020	04/06/20					
67	Office Door 1248 - Existing Conduit Obstructions	Closed		None	Sarmiento, Luis (Rice, Mandi (Tayl...))	03/30/2020	Scott Smith	04/13/2020	05/14/20					
68	Radiation Protection - Adhesive	Closed	Nelco	Grau, Roberto (Nelco)	Rice, Mandi (Tayl...)	04/06/2020	Rachard Duggan	04/20/2020	04/07/20		CT Area	TBD		TBD
69	RM 1249 - Conduit / Communication Piping	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	04/06/2020	Rachard Duggan	04/20/2020	04/07/20					
70	1302 and C001c - Existing Frames	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	04/09/2020	Rachard Duggan	04/22/2020	04/17/20					
70-1	Door C001c - Existing Push Button	Closed		None	Rice, Mandi (Tayl...)	12/02/2020	Rachard Duggan	12/16/2020	12/07/20					
71	Lead Discs & Tabs/Covering Penetrating Screws	Closed	Nelco	Grau, Roberto (Nelco)	Rice, Mandi (Tayl...)	04/13/2020	Rachard Duggan	04/27/2020	04/17/20		CT Area	TBD	01-700 - Execution Requirements	TBD
72	Roof Equipment Sleepers / Slope	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	04/13/2020	Rachard Duggan	04/27/2020	05/14/20					
73	Roof - Pipe Supports At An Angle	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	04/13/2020	Rachard Duggan	04/27/2020	05/14/20					
74	Slotted Top Track A-529D/ ST7.01	Closed		None	Duggan, Rachard (Tayl...)	04/13/2020	Rachard Duggan	04/27/2020	04/13/20					
75	Radiation Shielding	Closed	Dome Construction...	Skellenger, Marlina (Dome Construction Corporation)	Grau, Roberto (Nelco)	04/13/2020	Rachard Duggan	04/27/2020	04/13/20		CT Area	TBD		TBD
76	Lighting Controls	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric,	Rice, Mandi	04/13/2020	Rachard Duggan	04/27/2020	04/17/20		CT Area			

**Exhibit C**  
**List of Contract Documents Through ACD030 and ASI025**

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
77	Room 1300 - MEP Routing Conflict, FSD's	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/15/2020	Rachard Duggan	04/29/2020	05/26/20		CT Area	4 days		TBD
78	High Side/MB Duct Sizes Confirmation	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/20/2020	Rachard Duggan	05/04/2020	05/04/20		CT Area	TBD	15 - Mechanical	TBD
79	1304&1306 - Duct Size to Exhaust Register	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/20/2020	Rachard Duggan	05/04/2020	05/04/20		CT Area	TBD		TBD
80	Register Low-Side/Exhaust Ductwork Sizes	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/20/2020	Rachard Duggan	04/29/2020	04/24/20		CT Area	TBD	15 - Mechanical	TBD
81	Room 1307 - Equipment FS-1 and HB-1 Relocation	Closed	None	None	Smith, Scott (Dom....)	04/24/2020	Rachard Duggan	05/08/2020	05/04/20					
82	Med Gas Sensors	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/24/2020	Rachard Duggan	05/07/2020	05/07/20					
83	Med Gas POC's	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/24/2020	Rachard Duggan	05/07/2020	05/07/20					
84	Roof - Existing Vent Locations	Closed	None	None	Rice, Mandi (Tayl....)	04/28/2020	Rachard Duggan	05/12/2020	05/18/20		Roof			TBD
85	Existing Condensing Unit Obstruction	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/28/2020	Rachard Duggan	05/12/2020	05/19/20		Roof			TBD
86	Ceiling Register Locations	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	04/28/2020	Rachard Duggan	05/12/2020	05/04/20		CT Area			TBD
87	Penthouse - Jamp Ductwork Penetration Obstructions	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	05/01/2020	Rachard Duggan	05/14/2020	05/26/20		Penthouse			TBD
88	Mixing Box/MB-CT-02 Obstructions	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	05/04/2020	Rachard Duggan	05/18/2020	06/09/20		CT Area			TBD
89	Ductwork Hanging Detail	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	05/04/2020	Rachard Duggan	05/18/2020	05/19/20		CT Area			TBD
90	Waiting Room - Mixing Box (MB 4-1) Inlet Size Discrepancy	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl....)	05/05/2020	Rachard Duggan	05/18/2020	06/09/20		CT Area			TBD



**Exhibit C**  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
91	Corridor Fire Smoke Damper Issue/Obstructions	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi Tayl... Sarmiento, Luis (...)	05/05/2020	Rachard Duggan	05/19/2020	02/23/21		Level 1 - Enlarged Demo Floor Plan - Segment A HVAC PH1	Yes (Unknown)	15-700 - Heating, Venting and Air Conditioning	TBD
92	Room 1306 - Sk-1 Sink Waste Relocation	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi Tayl...	05/05/2020	Rachard Duggan	05/19/2020	06/01/20					
93	CT Placement Relocation	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	05/05/2020	Rachard Duggan	05/12/2020	08/10/20					
94	Panel mounting detail	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	05/05/2020	Rachard Duggan	05/19/2020	05/27/20					
95	Existing Vending Wall Condition	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	05/05/2020	Rachard Duggan	05/19/2020	06/01/20					
96	Med Gas Zone Valve Box - Wall Rating	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	05/08/2020	Rachard Duggan	05/22/2020	05/26/20					
97	Room 1307 Floor Sink	Closed	Peterson Mechanical	None	Rice, Mandi Tayl...	05/08/2020	Rachard Duggan	05/22/2020	05/18/20					
98	Sleeper - Fire Treatment Method	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	05/13/2020	Rachard Duggan	05/27/2020	05/19/20		Roof	TBD		TBD
99	Pipe Support Anchors	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi Tayl...	05/14/2020	Rachard Duggan	05/27/2020	06/02/20					
100	Sleeper Wood Material Change	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	05/14/2020	Rachard Duggan	05/27/2020	05/19/20					
101	Head of Wall Detail	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	05/14/2020	Rachard Duggan	05/28/2020	05/18/20					
102	Hot & Cold Ducts are reversed on Drawings	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi Tayl...	05/19/2020	Rachard Duggan	06/02/2020	06/09/20		Penthouse	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
103	Fan Coil TAG: FC-W-01 Location	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi Tayl...	05/19/2020	Rachard Duggan	05/29/2020	06/09/20		CT Area	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
104	New FSD Serving EF-7	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi Tayl...	05/19/2020	Rachard Duggan	05/29/2020	06/09/20		CT Area	Yes (Unknown)		TBD

Exhibit C  
List of Contract Documents Through ACD030 and ASI025

RFI Log

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105	Waiting Room 1301 Fire Damper	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	05/19/2020	Rachard Duggan	05/29/2020	06/09/20		CT Area	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
106	Room 1304 Ceiling	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	05/19/2020	Rachard Duggan	06/02/2020	05/26/20					
107	AHU Sleeper Lengths	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	05/20/2020	Rachard Duggan	06/03/2020	05/21/20					
108	Electrical details for seismic break between buildings	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	05/21/2020	Rachard Duggan	06/02/2020	06/09/20					
109	CT Room - Lighting, Ceiling Scene	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	05/27/2020	Rachard Duggan	06/09/2020	05/28/20		CT Area			
110	Cooling Water & Refer Flexes	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Duggan, Rachard (Tayl...)	05/29/2020	Rachard Duggan	06/05/2020	06/29/20					
111	Roof - Existing Metal Straps	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	06/01/2020	Rachard Duggan	06/15/2020	10/19/20		Penthouse			
112	TDR - Existing Wall Condition	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	06/01/2020	Rachard Duggan	06/15/2020	06/29/20		CT Area			
113	TDR - Existing Walls Demo	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Luis (Sarmiento, Rice, Mandi (Tayl...))	06/01/2020	Rachard Duggan	06/15/2020	09/15/20					
113-1	ACD 13 - IT Conduit Changes	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	10/23/2020	Rachard Duggan	11/06/2020	11/19/20					
114	Gift Shop - Demo - (E) MB/2-6 FD	Closed	None	None	Rice, Mandi (Tayl...)	06/01/2020	Rachard Duggan	06/15/2020	06/09/20					
115	Control Room - Outlets Below Window	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	06/01/2020	Rachard Duggan	06/08/2020	06/12/20		CT Area	TBD		TBD
116	Waiting Room - MB4-1 Existing Conduits	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Skellenger, Marie...	06/08/2020	Rachard Duggan	06/16/2020	06/08/20		CT Area	TBD	15-810 - Ducts and Diffusers	TBD
117	Wall Rating Detail Confirmation	Closed	Dome Construction...	Smith, Scott (Dome Construction)	Rice, Mandi	06/09/2020	Rachard Duggan	06/23/2020	06/09/20					



**Exhibit C**  
**List of Contract Documents Through ACD030 and ASI025**

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
118	A500/E1 - UL Listing Clarifications	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tbayl...)	06/09/2020	Rachard Duggan	06/23/2020	06/11/20					
119	Ceiling Height Vending Room 1309	Closed	Northgate Acousti...	None	Rice, Mandi (Tbayl...)	06/10/2020	Rachard Duggan	06/24/2020	06/29/20					
120	Feature Wall Main Lobby Light A9/A550 Obstruction	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tbayl...)	06/12/2020	Rachard Duggan	06/26/2020	10/28/20		Vending Area			
121	Waiting Room: Lighting Clearance Issue	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tbayl...)	06/12/2020	Rachard Duggan	06/26/2020	07/24/20		Vending Area		TBD	TBD
121-1	Waiting Room Ceiling Height	Closed	Dome Construction...	Skellenger, Marlana (Dome Construction Corporation)	Rice, Mandi (Tbayl...)	10/15/2020	Rachard Duggan	10/29/2020	10/27/20					
122	MSB Reconfiguration	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tbayl...)	06/12/2020	Rachard Duggan	06/19/2020	06/29/20		Electrical Room			
123	Room 1249 - Electrical Device Placement Conflict	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tbayl...)	06/15/2020	Rachard Duggan	06/29/2020	06/29/20		Electrical Room			
124	Vending Room - Existing Pipe Thru Wall Penetration	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tbayl...)	06/16/2020	Rachard Duggan	06/30/2020	06/17/20					
125	IP Address need for Fan Coil Unit FCU W-01 Serv TDR Rim 1308	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Sarmiento, Luis (...)	06/29/2020	Rachard Duggan	07/13/2020	09/15/20		TDR Room 1308		TBD	15-700 - Heating, Venting and Air Conditioning
126	X-Ray Warning Light	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tbayl...)	06/29/2020	Marlena Skellenger	07/13/2020	07/17/20					
127	Feeder Breaker for Panel PENN	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tbayl...)	06/29/2020	Rachard Duggan	07/13/2020	07/14/20					
128	M-401 OPM Discrepancies	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Duggan, Rachard (...)	06/29/2020	Rachard Duggan	06/16/2020	06/29/20		CT Area		TBD	15-700 - Heating, Venting and Air Conditioning
129	RFI 65- Plywood/Framing AHU Sleepers	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tbayl...)	06/29/2020	Scott Smith	07/13/2020	07/09/20					
130	Room 1306/1307 - Fire Sprinkler Raise	Closed	Potter Fire Prote...	Downing, John (Potter Fire Protection Inc.)	Rice, Mandi (Tbayl...)	06/29/2020	Rachard Duggan	07/13/2020	06/30/20		CT Area			

Exhibit C  
List of Contract Documents Through ACD030 and ASI025  
RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
131	Vending Room - Fire Dampers	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	06/30/2020	Rachard Duggan	07/14/2020	07/23/20		Vending Area			
132	Existing Piping Infill Method	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	07/08/2020	Rachard Duggan	07/22/2020	07/22/20					
133	Conflict Med Gas Panel with Panel H1E	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	07/13/2020	Rachard Duggan	07/24/2020	07/20/20		CT Area			
134	1304 Wall Location	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	07/13/2020	Rachard Duggan	07/27/2020	07/20/20		CT Area			
135	Trial Locations Serving Mixing Boxes & Fan Coil	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	07/14/2020	Rachard Duggan	07/15/2020	07/20/20		CT Area	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
136	F/A Controls - Doors C001c, 1303 per FA-102	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	07/14/2020	Rachard Duggan	07/23/2020	07/20/20					
137	Sheet Metal Gap at WAH-05 added per DCC RFI #65 (ASI #06)	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	07/20/2020	Rachard Duggan	08/03/2020	07/29/20		Roof	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
139	Shot In Conduit Support Inspection Criteria	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	07/20/2020	Rachard Duggan	08/03/2020	07/28/20		CT Area			
140	CT Scan Ceiling Height	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	07/20/2020	Rachard Duggan	08/03/2020	07/28/20					
141	AHU Sleeper Angle Obstruction	Closed	None	None	Rice, Mandi (Tayl...)	07/21/2020	Rachard Duggan	08/04/2020	08/03/20					
142	CT Existing Terrazzo Flooring	Closed	Dome Construction...	None	Luis (...), Rice, Mandi (Tayl...)	07/21/2020	Rachard Duggan	08/04/2020	09/08/20					
143	Lower Roof Pipe Run	Closed	None	None	Rice, Mandi (Tayl...)	07/22/2020	Rachard Duggan	08/05/2020	08/11/20					
143 R1	Lower Roof Routing	Closed	None	None	Rice, Mandi (Tayl...)	09/21/2020	Rachard Duggan	10/05/2020	09/29/20					
144	Existing Condition - EF7 Rating	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	07/22/2020	Rachard Duggan	08/05/2020	07/28/20					



**Exhibit C**  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
145	Suspended Acoustical Ceilings Type AC1B	Closed	Northgate Acousti...	Martino, Nathan (Northgate Acoustics, Inc.)	Rice, Mandi (Tayl...)	07/27/2020	Marlena Skellenger	08/10/2020	07/28/20			TBD		No
146	Panel and Transformer Mounting Details	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	07/27/2020	Rachard Duggan	08/25/2020	09/08/20					
146-1	Penthouse Equipment Mounting	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/05/2020	Rachard Duggan	11/20/2020	12/22/20					
147	Existing Condition - Vending Room Duct (E)	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	07/27/2020	Rachard Duggan	08/10/2020	07/28/20					
148	Testing Waste Tie-Ins	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...)	07/30/2020	Rachard Duggan	08/13/2020	08/11/20					
149	Lower Roof Blocking	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	08/03/2020	Rachard Duggan	08/17/2020	08/17/20					
150	Temp Bench - Floor Anchor	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	08/03/2020	Rachard Duggan	08/17/2020	08/11/20					
151	Vent Extension Sleeper Angles	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	08/03/2020	Rachard Duggan	08/17/2020	09/01/20					
152	Ductwork Height Issue per DCC #102 vs Maximum Height Struct	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	08/06/2020	Rachard Duggan	08/20/2020	09/15/20		Penthouse	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
153	3rd Party Commissioning Agent (PMI RFI #46)	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Sarmiento, Luis (...)	08/06/2020	Rachard Duggan	08/20/2020	02/09/21		CT Area	TBD	15 - Mechanical	TBD
154	Roof / Notched Blocking	Closed	None	None	Rice, Mandi (Tayl...)	08/10/2020	Rachard Duggan	08/24/2020	08/17/20					
155	M-125, Location of Siemens Fluid Cooler, CUW-01	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	08/11/2020	Scott Smith	08/25/2020	09/22/20					
156	Added Duct Supports in Penthouse per ASI 05	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	08/11/2020	Rachard Duggan	08/25/2020	08/17/20		Roof	TBD	15-810 - Ducts and Diffusers	Yes (Unknown)
157	Response to DCC RFI #137 Response ACME Seam	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	08/11/2020	Rachard Duggan	08/18/2020	08/11/20		Roof	TBD		TBD



Exhibit C

List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
158	Isolators serving Existing/ New Heat Pump Condensing Units	Closed	Peterson Mechanical	Murray - DRV, Brandon (Peterson Mechanical)	Rice, Mandi Tayl...	08/11/2020	Rachard Duggan	08/18/2020	09/01/20		Roof	TBD	15-410 - Plumbing Fixtures	TBD
159	Med Gas Box & Outlet Locations	Closed	Peterson Mechanical	Spadoni - WET, Mark (Peterson Mechanical)	Rice, Mandi Tayl...	08/11/2020	Rachard Duggan	08/25/2020	08/11/20					
160	Room 1306 Elevation D4/ A200 Clarification	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	08/17/2020	Rachard Duggan	08/31/2020	08/25/20		CT Area			Yes (Unknown)
161	CT Breaker in panel HDPE	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	08/17/2020	Rachard Duggan	08/31/2020	08/25/20					Yes (Unknown)
162	Roof conduit support	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	08/17/2020	Rachard Duggan	08/31/2020	10/05/20					Yes (Unknown)
163	Interm CT breaker in MSB	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	08/17/2020	Rachard Duggan	08/31/2020	08/24/20					Yes (Unknown)
164	Siemens S-102, Verify Locations Ceiling Mounted Equipment	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	08/17/2020	Rachard Duggan	08/31/2020	09/22/20					
165	Med Gas Branch Valves	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi Tayl...	08/18/2020	Rachard Duggan	08/25/2020	09/01/20					
166	Exhaust Fan Controls	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	08/18/2020	Rachard Duggan	09/01/2020	09/08/20		Penthouse	Yes (Unknown)		Yes (Unknown)
167	Conduit Supports	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	08/25/2020	Rachard Duggan	09/08/2020	09/15/20					
168	Penthouse Piping Supports	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi Tayl...	08/25/2020	Rachard Duggan	09/08/2020	09/15/20					
169	CT Room - Electrical Outlet Revision	Closed	Blakeslee Electri...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi Tayl...	08/25/2020	Rachard Duggan	09/08/2020	09/01/20		CT Area			
170	Room 1304 - Furred Wall Drywall	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi Tayl...	08/25/2020	Rachard Duggan	09/08/2020	08/31/20					
171	Penthouse - Chilled & Heating Lines POCs	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi Tayl...	08/31/2020	Rachard Duggan	09/14/2020	09/29/20					
172	Interim CT Feeder Match with	Closed	Blakeslee	Hansen, Adam	Rice, Mandi Tayl...	09/01/2020	Rachard	09/15/2020	09/14/20					

**Exhibit C**  
**List of Contract Documents Through ACD030 and ASI025**

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
173	Grout Joint Size	Closed	Tile West Inc.	Garcia, Flavio (Tile West Inc.)	Rice, Mandi (Tayl...)	09/02/2020	Rachard Duggan	09/16/2020	09/03/20					
174	Existing Exhaust Duct Tethering	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	09/02/2020	Rachard Duggan	09/16/2020	10/08/20					
175	Control Valve at WAH-05	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	09/03/2020	Rachard Duggan	09/18/2020	09/22/20		CT Area	TBD	15-700 - Heating, Venting and Air Conditioning	TBD
176	Flashing at Temp Duct	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	09/03/2020	Rachard Duggan	09/17/2020	09/22/20					
177	Fire Damper & Fire Smoke Damper Inspection Procedures	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Sarmiento, Luis (...)	09/08/2020	Rachard Duggan	09/22/2020	10/06/20		CT Area	TBD		TBD
178	Disconnect Support	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	09/08/2020	Rachard Duggan	09/22/2020	10/01/20					
178 R1	Disconnect Supports	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/01/2020	Rachard Duggan	10/15/2020	10/27/20					
179	Room 1307 - H81 Location	Closed	Peterson Mechanical	Spadoni - WET, Mark (Peterson Mechanical)	Rice, Mandi (Tayl...)	09/08/2020	Rachard Duggan	09/22/2020	09/29/20					
180	Carrier Bolt Location	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	09/08/2020	Rachard Duggan	09/22/2020	09/22/20					
181	TDR Room - E1 - Existing Conduits	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	09/10/2020	Rachard Duggan	09/24/2020	09/15/20		TDR Room 1308			
182	Roof Top Disconnect mounting Detail	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	09/10/2020	Rachard Duggan	09/24/2020	09/22/20		Roof			TBD
183	Penthouse Walkway Clearance	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	09/10/2020	Rachard Duggan	09/24/2020	10/07/20					
184	Room 1308 Water Lines	Closed	Peterson Mechanical	Spadoni - WET, Mark (Peterson Mechanical)	Rice, Mandi (Tayl...)	09/15/2020	Rachard Duggan	09/29/2020	09/22/20					
185	ACD10 lighting at TDR and ATM alcove	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Skellenger, Marie...	09/21/2020	Rachard Duggan	10/05/2020	09/22/20		CT Area			



Exhibit C  
List of Contract Documents Through ACD030 and ASI025  
RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
186	Unistrut Manufacturer	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	09/22/2020	Rachard Duggan	10/06/2020	09/29/20					
187	Telecom Rack Anchors	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	10/05/2020	Rachard Duggan	10/29/2020	10/19/20					
188	Routing of Ductwork Serving MB 4-1	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	10/08/2020	Rachard Duggan	10/22/2020	10/21/20		CT Area	TBD		TBD
189	TDR Room - Low Wall Dimensions	Closed	Dome Construction...	Skellenger, Marlena (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/09/2020	Rachard Duggan	10/23/2020	10/27/20		TDR Room 1308			
190	Control Room 1305- 2 hour wall Alignment with Furring	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/11/2020	Rachard Duggan	10/25/2020	10/19/20					
190-1	Control Room - Furred Wall	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/12/2020	Rachard Duggan	10/28/2020	10/27/20					
191	Firecalking Detail at HVAC Ductwork	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Marle... Smith, Scott (Dom...)	10/12/2020	Rachard Duggan	10/26/2020	10/19/20		CT Area	No		TBD
192	CMU Wall - Rebar Cut	Closed	None	None	Rice, Mandi (Tayl...)	10/12/2020	Rachard Duggan	10/26/2020	10/16/20					
192-1	CMU Wall - Rebar Cut	Closed	None	None	Rice, Mandi (Tayl...)	10/16/2020	Rachard Duggan	10/30/2020	02/23/21					
193	Siemens Camera Mount Square Plate	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/12/2020	Rachard Duggan	10/26/2020	10/27/20					
194	TDR Room - Wall Capacity	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/12/2020	Rachard Duggan	10/27/2020	11/13/20					
195	Interfirm CT Breaker Change for CT	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	10/13/2020	Rachard Duggan	10/27/2020	10/27/20					Yes (Unknown)
196	Receptacle in Control Room 1305	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	10/13/2020	Rachard Duggan	10/27/2020	10/19/20		CT Area			No
197	CT Room - Circuit for auto	Closed	Blakeslee	Hansen, Adam	Rice, Mandi (Tayl...)	10/16/2020	Rachard Duggan	10/30/2020	11/10/20		CT Area			



**Exhibit C**  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
	door		Electri...	(Blakeslee Electric, Inc.)	Mandi (Tayl...)		Duggan							
197-1	Response to RFI 197	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	11/17/2020	Rachard Duggan	12/01/2020	11/19/20					
198	Conduit size for Siemens PDU Cabinet	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	10/16/2020	Rachard Duggan	10/30/2020	11/09/20					
199	Control Room Countertop Radius Corner	Closed	Mission Bell Mannu...	None	Luis (...), Rice, Mandi (Tayl...)	10/16/2020	Rachard Duggan	10/30/2020	11/03/20					
200	Control Room - Pyxis location	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/21/2020	Rachard Duggan	11/04/2020	01/12/21					
201	Vending Equipment Anchorage	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/22/2020	Rachard Duggan	11/05/2020	12/04/20					
202	Electrical Fixture Mounting Detail	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	10/22/2020	Rachard Duggan	11/05/2020	02/02/21		Electrical Room			
203	Ceiling Register Location Changes	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	10/22/2020	Rachard Duggan	11/05/2020	11/13/20					
204	Casework Interior Shelve Finish	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	10/23/2020	Rachard Duggan	11/06/2020	11/10/20					
205	EQ Room - HEC Cabinet Anchorage	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/26/2020	Rachard Duggan	11/09/2020	01/12/21		CT Area			
206	UL Details	Closed		None	Rice, Mandi (Tayl...)	10/26/2020	Rachard Duggan	11/09/2020	11/09/20					
207	Chlorination Required for Tie Ins & Testing Duration	Closed		None	Rice, Mandi (Tayl...)	10/27/2020	Rachard Duggan	11/10/2020	12/01/20					
208	Ceiling Casework Attachment	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	10/27/2020	Rachard Duggan	11/10/2020	11/13/20					
209	Med Gas Racks	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Skellenger, Marie...	10/27/2020	Rachard Duggan	11/10/2020	10/27/20					

**Exhibit C**  
**List of Contract Documents Through ACD030 and ASI025**

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
210	Stand Off Braces for Riser Pipes at AHU	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi	10/27/2020	Rachard Duggan	11/10/2020	01/05/21					
210-1	Piping Supports at AHU	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi	01/04/2021	Rachard Duggan	01/18/2021	01/05/21					
211	Electrical Trim Color	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi	10/27/2020	Rachard Duggan	11/10/2020	11/09/20					
212	Waiting Room - Existing Conditions	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	10/29/2020	Rachard Duggan	11/12/2020	12/01/20					
212-1	Waiting Room - Existing Conditions	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	12/01/2020	Rachard Duggan	12/15/2020	12/07/20					
213	TDR Room Ladder Rack Support	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi	10/29/2020	Rachard Duggan	11/12/2020	11/09/20					
214	Security Panel Circuit	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi	10/29/2020	Rachard Duggan	11/12/2020	11/09/20		Electrical Room			
215	Nurse Call Annunciator Panel Type / Location	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi	10/30/2020	Rachard Duggan	11/13/2020	01/05/21					
216	Swab Test for Connection Points & Valves	Closed	Peterson Mechanical	Spadoni - WET, Mark (Peterson Mechanical)	Rice, Mandi	11/04/2020	Rachard Duggan	11/18/2020	11/23/20					
216-1	Swab Test For Connection Points & Valves	Closed	Dome Construction...	Duggan, Rachard (Dome Construction Corporation)	Rice, Mandi	11/23/2020	Rachard Duggan	12/07/2020	12/01/20					
217	Roof Vent Stand Off Support Detail	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi	11/04/2020	Rachard Duggan	11/18/2020	12/22/20					
218	Rooftop Cooling Unit Pipe Support Detail	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi	11/05/2020	Rachard Duggan	11/19/2020	12/01/20					
218-1	Rooftop Cooling Unit Pipe Support Detail	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	12/01/2020	Rachard Duggan	12/15/2020	12/22/20					
219	Lobby/Corridor - Access Doors	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi	11/05/2020	Rachard Duggan	11/20/2020	11/30/20					
220	Light Fixture Attachment	Closed	Blakeslee	Hansen, Adam	Rice, Mandi	11/05/2020	Martina	11/20/2020	11/23/20		CT Area			

Exhibit C  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
221	CT Scan Office Wall Seismic	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...)	11/05/2020	Rachard Duggan	11/20/2020	12/22/20					
222	Building Separation, Conduit and Data chase penetrations	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	11/06/2020	Marlena Skellenger	11/20/2020	12/22/20		CT Area			
223	Existing Expansion Joint / Building Separation	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/06/2020	Marlena Skellenger	11/20/2020	12/22/20					
224	Existing Doorway Location / Building Separation	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/06/2020	Rachard Duggan	11/20/2020	12/22/20					
225	Grabber Self Drilling Screws	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/06/2020	Rachard Duggan	11/20/2020	11/13/20					
225-1	Grabber Self Drilling Screws, New Request	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/23/2020	Rachard Duggan	12/07/2020	12/01/20					
226	Telecom Ground Bar Detail	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	11/12/2020	Rachard Duggan	11/26/2020	11/19/20		TDR Room 1308			TBD
227	Kernal Fixture Mounting	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	11/12/2020	Rachard Duggan	11/26/2020	11/23/20		CT Area			
228	TDR Room - Fan Coil Mounting	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	11/13/2020	Rachard Duggan	11/27/2020	12/01/20					
229	TDR 1308 FCU Condensate/ Ladder Rack Conflict	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/13/2020	Rachard Duggan	11/27/2020	12/14/20					
230	Door 1304 Swing & Door Stop	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/17/2020	Rachard Duggan	12/01/2020	12/01/20					
231	Covered Light Fixtures - Existing Condition	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/18/2020	Rachard Duggan	12/02/2020	12/14/20					
232	TDR Room - Anchor Blow Out Fix	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/19/2020	Marlena Skellenger	12/03/2020	12/22/20		TDR Room 1308			
233	RM 1304 - Restroom ADA Bench - Seal to Floor &	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	11/23/2020	Rachard Duggan	12/07/2020	12/14/20					





**Exhibit C**  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball in Court	Location	Schedule Impact	Cost Code	Cost Impact
	Column Base			Corporation)	(Tayl...									
234	Data Rack Mounting	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...	11/25/2020	Rachard Duggan	12/09/2020	01/26/21		TDR Room 1308			
235	Byte Unit Bracing Detail/ Anchorage	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...	12/01/2020	Rachard Duggan	12/15/2020	01/12/21					
236	Corridor Existing Rating	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...	12/03/2020	Rachard Duggan	12/17/2020	02/09/21					
237	Byte Unit Wheel Orientation	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...	12/03/2020	Rachard Duggan	12/17/2020	12/10/20					
238	Waiting Room Detail A7/ A-550	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...	12/14/2020	Scott Smith	12/28/2020	01/05/21					
239	Circuit For Time Clock	Closed	Blakeslee Electr...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...	01/04/2021	Rachard Duggan	01/18/2021	02/02/21					
240	Structural Core Break	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...	01/04/2021	Rachard Duggan	01/18/2021	02/23/21					
241	Feature Wall Stud Size/ Waiting Room	Closed		None	Rice, Mandi (Tayl...	01/04/2021	Rachard Duggan	01/18/2021	01/05/21					
242	Electrical Temp Back Feed for CT Install	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...	01/06/2021	Rachard Duggan	01/20/2021	01/12/21					
243	Faucet In Anteroom 1303	Closed		None	Duggan, Rachard (Tayl...	01/11/2021	Rachard Duggan	01/25/2021	01/11/21					
244	Annular Space for Electrical Conduit Sizes	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...	01/15/2021	Rachard Duggan	01/29/2021	01/26/21					
245	Seismic Bracing Fluoroscopy, Waiting Room, and Corridor	Closed	Dome Construction...	Engstrom, Adam (Dome Construction Corporation)	Lucidi, Scott (Ma...	01/15/2021	Rachard Duggan	01/29/2021	02/02/21		Fluoroscopy	TBD		TBD
246	Strut Installation Between Horizontal Struts	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...	01/15/2021	Rachard Duggan	01/29/2021	01/26/21					
247	Pull Can Support, Mason West Detail	Closed	Dome Construction...	Engstrom, Adam (Dome Construction Corporation)	Lucidi, Scott (Ma...	01/15/2021	Rachard Duggan	01/29/2021	02/02/21					

Exhibit C  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
248	Existing Receptacles in Waiting and Fluoroscopy	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	01/15/2021	Rachard Duggan	01/29/2021	02/24/21					
249	Shaft at Dressing Room Corridor	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	01/19/2021	Rachard Duggan	02/03/2021	01/26/21		Dressing room area	TBD		
250	RM 1299 Light Switch / Locker Conflict	Closed	Dome Construction,...	Engstrom, Adam (Dome Construction Corporation)	Rice, Mandi (Tayl...)	01/19/2021	Rachard Duggan	02/03/2021	01/26/21		Dressing room area	TBD		TBD
251	Interim Test and Balance Requirements for CT Suite Activation	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	01/22/2021	Rachard Duggan	02/05/2021	02/09/21					
252	Seismic Bracing of Pull Box at Vending 1309	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	01/22/2021	Rachard Duggan	02/05/2021	02/02/21					
253	Kenall fixture vs. T-bar support wires	Closed	Dome Construction,...	Engstrom, Adam (Dome Construction Corporation)	Rice, Mandi (Tayl...)	01/27/2021	Rachard Duggan	02/10/2021	02/02/21					
254	Pyxis Machine Anchorage/ EASE Detail	Closed	Dome Construction,...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	02/18/2021	Rachard Duggan	03/04/2021	03/02/21					
255	Room 1304 - PC Location	Closed	Blakeslee Electric,...	None	Rice, Mandi (Tayl...)	02/19/2021	Rachard Duggan	03/05/2021	02/24/21					
256	Code Blue / Staff Assist Buttons	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	02/22/2021	Rachard Duggan	02/26/2021	03/02/21					
257	Flex Connections Between Buildings	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	02/23/2021	Rachard Duggan	03/10/2021	03/02/21					
258	Vending Room Doors/Egress	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	02/23/2021	Rachard Duggan	03/10/2021	03/02/21					
259	Vending Rm Equipment/TV	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	02/23/2021	Rachard Duggan	03/10/2021	03/02/21					
260	CT Feeder / Siemens IEC Cabinet	Closed	Blakeslee Electric,...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	03/02/2021	Rachard Duggan	03/16/2021	03/23/21		Basement			
261	Blanket Warmer Change	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	03/02/2021	Rachard Duggan	03/16/2021	03/09/21					
262	Vending Machine Layout	Closed	Dome	Smith, Scott (Dome)	Rice, Mandi (Tayl...)	03/02/2021	Rachard Duggan	03/16/2021	03/09/21					



Exhibit C  
List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
263	Temp Keypad @ Doors 1306A & 1303	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Sarmiento, Luis (...)	03/04/2021	Rachard Duggan	03/18/2021	03/16/21					
264	Phase 1: Speaker Layout	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	03/04/2021	Rachard Duggan	03/18/2021	03/16/21					
265	Water Closet Flushometer	Closed	Peterson Mechanical	Fontes - WET, Margarita (Peterson Mechanical)	Rice, Mandi (Tayl...)	03/05/2021	Rachard Duggan	03/19/2021	03/17/21					
266	Model Number for Eaton Transformer	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/05/2021	Rachard Duggan	03/19/2021	03/16/21					
267	Door 1306A Auto Closer	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/09/2021	Rachard Duggan	03/23/2021	03/23/21					
268	Opening 1303 Power Supply in TDR	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/09/2021	Rachard Duggan	03/23/2021	03/23/21					
269	Mason West/Screw Orientation - For Record	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/09/2021	Rachard Duggan	03/23/2021	03/16/21					
270	Ceiling Mounted Speaker	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/09/2021	Rachard Duggan	03/23/2021	03/16/21					
271	LB Pendant Light	Closed	Blakeslee Electric...	Hansen, Adam (Blakeslee Electric, Inc.)	Rice, Mandi (Tayl...)	03/15/2021	Rachard Duggan	03/29/2021	03/16/21		Waiting Area			
272	E-501A- (E) Panel DPA Tie-In to "MSB" not "MSB"	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/17/2021	Rachard Duggan	03/31/2021	03/30/21					
273	Smoke Rating of Door 1309/ Door Sweep	Closed	Dome Construction...	Smith, Scott (Dome Construction Corporation)	Rice, Mandi (Tayl...)	03/17/2021	Rachard Duggan	03/31/2021	03/23/21					
274	Rebar Correction Findings	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	03/23/2021	Rachard Duggan	04/06/2021	04/06/21					
275	Mixing Box Minimum Heating Design Criteria Missing	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	04/01/2021	Rachard Duggan	04/15/2021	04/07/21		HVAC Equipment Schedule		TBD	TBD
276	Mixing Box MB 6-1 Low Air Flow	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Skellenger, Marie...	04/07/2021	Rachard Duggan	04/21/2021	04/07/21		Corridor		TBD	TBD



### Exhibit C

#### List of Contract Documents Through ACD030 and ASI025

RFI Log

#	Subject	Status	Responsible Contractor	Received From	Assignee	Date Initiated	RFI Manager	Due Date	Closed Date	Ball In Court	Location	Schedule Impact	Cost Code	Cost Impact
277	Phase 1 Final Balancing Issues	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	04/08/2021	Rachard Duggan	04/22/2021	04/13/21		Phase 1 & 1A	TBD		TBD
278	Refrigeration Line Roof Penetration	Closed		None	Rice, Mandi (Tayl...)	04/19/2021	Marlena Skellenger	05/03/2021	05/21/21					
279	Existing Static Pressure	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	05/11/2021	Marlena Skellenger	05/25/2021	06/01/21					
280	ACD 26 EF-7 and OSP-0503	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	05/13/2021	Marlena Skellenger	05/27/2021	06/07/21		Penthouse	Yes (Unknown)		Yes (Unknown)
280 R1	ACD 26 EF-7 and OSP-0503	Closed	Peterson Mechanical	Murray - DRY, Brandon (Peterson Mechanical)	Rice, Mandi (Tayl...)	06/07/2021	Marlena Skellenger	06/21/2021	06/09/21					
281	AHU Pipe Support Clarifications	Closed		None	Rice, Mandi (Tayl...)	05/14/2021	Marlena Skellenger	05/28/2021	06/01/21					
282	AHU Fire Alarm Emergency Wiring	Closed	Peterson Mechanical	None	Rice, Mandi (Tayl...)	07/06/2021	Marlena Skellenger	07/20/2021	07/12/21		Roof			
283	PA Speakers	Closed	Vertran Associates	Sarmiento, Luis (Vertran Associates)	Rice, Mandi (Tayl...)	07/06/2021	Marlena Skellenger	07/20/2021	07/07/21					



**To:** Board of Directors, Sonoma Valley Hospital  
**From:** John Hennelly, President, and CEO  
**Date:** April 7, 2022  
**Subject:** Quote for New Anesthesia Systems

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The hospital intends to purchase new anesthesia systems for the operating rooms using funding provided by the Sonoma Valley Hospital Foundation. The current systems are at end of life and, with the new EMR pending, are in need of replacement. In concert with the Department of Anesthesia, the hospital administrative team selected the best product based upon cost and ease of use given the partnering systems.

Sincerely,

John Hennelly

FY: 2021	Dept # 7420	Dept Name: Surgery	C/A# FY2021-		
Submitted By: Dana Fry		Date: 4/4/22		<b>BUDGETED AND ACTUAL AREAS TO BE COMPLETED BY MATERIALS MANAGEMENT</b>	
Qty	Description	BUDGET PRICE		ACTUAL PRICE	
		Item Cost	Ext. Cost	Item Cost	Ext. Cost
3	Anesthesia Machines				\$235,034.87
Vendor: GE Healthcare		Sub-Total		Sub-Total 235,034.87	
Contact: Michael Arguello		Tax 9.0%		Tax 9.0% 21,153.13	
Telephone: 414-217-5952		Freight		Freight N/c	
		Other		Other	
		TOTAL		TOTAL \$256,188	
Justification: (Return on Investment if > \$25,000) <u>Attach Justification</u>			<b>Priority</b> 1. Safety 2. Business 3. Obsolete 4. Patient Regulatory Development Equipment Satisfaction		
Equipment: <input checked="" type="checkbox"/> New <input type="checkbox"/> Replacement			What happens if we don't acquire this item?		
Number of Units in Use: Expected Usage: Age of Current Equipment:			Equipment Service History:		
Financing Option <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Rent <input type="checkbox"/> Lease <input type="checkbox"/> Other			Purchase Additional Service Now? --Yes --No		
Funding Sources: <u>Foundation Donation</u> <input type="checkbox"/> Budgeted <input type="checkbox"/> Non-Budgeted			Warranty Ends:-- 1-year from Equipment Provider----- Acceptance Cost:----- Start Date: -----		
Finance Only: Capital: <input type="checkbox"/> Yes <input type="checkbox"/> No CIP: -			<b>Approved by:</b> _____ <b>Date:</b> _____ M/M _____ Sr. Mgmt <u>Kob</u> _____ 4/4/22 CEO _____		

Authorization – Please Initial for your Department Dept. Mgr. \_\_\_\_\_ Plant Ops. \_\_\_\_\_ IS Mgr. \_\_\_\_\_







GE Healthcare

Date: 04-01-2022  
Quote #: PR6-C145148  
Version #: 6  
Q-Exp-Date: 04-30-2022

Sonoma Valley Hospital  
347 Andrieux St  
Sonoma CA 95476-6811

Attn: MR James Dugger  
PO BOX 600 SONOMA  
CA 95476

Date: 04-01-2022

**Quote Summary**

QTY	DESCRIPTION	Ext Contract Price	Discount	Ext Sell Price
	<b>Aisys CS2 V12</b>			
3	Aisys CS2 USA	\$313,826.25	26.96%	\$229,220.84
	<b>Spare Gas Module</b>			
1	ANESTHESIA OPTIONS - STANDALONE	\$10,114.03	39.55%	\$6,114.03
	<b>Periop Training and Services</b>			
4	Periop Training and Services	\$7,200.00	0.00%	\$7,200.00
	<b>Quote Summary:</b>			
	<b>Total Contract List Price:</b>			<b>\$331,140.28</b>
	<b>Total Quote Discount (26.76%)</b>			<b>(\$88,605.40)</b>
	<b>Aestiva Trade In</b>			<b>(\$7,500.00)</b>
	<b>Total Quote Net Selling Price:</b>			<b>\$235,034.87</b>

The following proposal contains a listing of the specific components comprising each system, as well as prices, warranty and terms. If this Quotation has demo/refurbished equipment on it, the quote is valid for 7 days only and is subject to availability.

Upon acceptance, please return this quotation, together with your purchase order, to GE Healthcare Customer Relationship Center (CRC) at the address below.

In the event of a conflict between the terms of the foregoing summary and the terms of the full Quotation, the terms of the full Quotation shall control.



GE Healthcare

Date: 04-01-2022  
Quote #: PR6-C145148  
Version #: 6  
Q-Exp-Date: 04-30-2022

**Issued By:**  
Datex-Ohmeda, Inc  
FEIN: 22-3029570

**Customer Address:**  
Sonoma Valley Hospital  
347 Andrieux St  
Sonoma CA 95476-6811

**Attention:**  
MR James Dugger  
PO BOX 600 SONOMA  
CA 95476

This Agreement (as defined below) is by and between the Customer and the GE Healthcare business ("GE Healthcare"), each as identified herein. "Agreement" is defined as this Quotation and the terms and conditions set forth in either (i) the Governing Agreement identified below or (ii) if no Governing Agreement is identified, the following documents:

- 1) This Quotation that identifies the Product offerings purchased or licensed by Customer;
- 2) The following documents, as applicable, if attached to this Quotation: (i) GE Healthcare Warranty(ies); (ii) GE Healthcare Additional Terms and Conditions; (iii) GE Healthcare Product Terms and Conditions; and (iv) GE Healthcare General Terms and Conditions. In the event of conflict among the foregoing items, the order of precedence is as listed above.

This Quotation is subject to withdrawal by GE Healthcare at any time before acceptance. Customer accepts by signing and returning this Quotation or by otherwise providing evidence of acceptance satisfactory to GE Healthcare. Upon acceptance, this Quotation and the related terms and conditions listed above (or the Governing Agreement, if any) shall constitute the complete and final agreement of the parties relating to the Products identified in this Quotation.

No agreement or understanding, oral or written, in any way purporting to modify this Agreement, whether contained in Customer's purchase order or shipping release forms, or elsewhere, shall be binding unless hereafter agreed to in writing by authorized representatives of both parties.

<b>Governing Agreement:</b>	Premier - Mount Sinai PMDF - Anesthesia
<b>Customer Number:</b>	1-23TI6V
<b>Terms of Delivery:</b>	FOB DESTINATION
<b>Billing Terms:</b>	80% Delivery / 20% Installation
<b>Payment Terms:</b>	Net Due in 45 Days
<b>Total Quote Net Selling Price:</b>	\$235,034.87
<b>Sales And Use Tax Status:</b>	No Exemption Certificate on File

\*\* The following ship to states do not impose a sales/use tax (AK, DE, MT, NH, OR). No exemption certificate required.

<b>IMPORTANT CUSTOMER ACTIONS:</b>		
Please select your planned source of funds. Source of funds is assumed to be cash unless you chose another option. Once equipment has been shipped, source of funds changes cannot be allowed.		
<input type="checkbox"/> Cash		
<input type="checkbox"/> GE HFS Loan	<input type="checkbox"/> GE HFS Lease	
<input type="checkbox"/> Other Financing Loan	<input type="checkbox"/> Other Financing Lease	Provide Finance Company Name _____

By signing below, each party certifies that it (i) has received a complete copy of this Quotation, including the GE Healthcare terms, conditions and warranties, and (ii) has not made any handwritten or electronic modifications. Manual changes or mark-ups on this Agreement (except signatures in the signature blocks and an indication in the form of payment section below) will be void.

Each party has caused this agreement to be executed by its duty authorized representative as of the date set forth below.

CUSTOMER \_\_\_\_\_

Authorized Customer Signature \_\_\_\_\_ Date \_\_\_\_\_

Print Name \_\_\_\_\_ Print Title \_\_\_\_\_

Purchase Order Number (if applicable) \_\_\_\_\_

Datex-Ohmeda, Inc., a GE Healthcare business  
 Michael Arguello \_\_\_\_\_ 04-01-2022  
 Signature \_\_\_\_\_ Date \_\_\_\_\_

Product Sales Specialist, PeriOp  
 Email: Michael.Arguello1@ge.com  
 Mobile: +14142175952





Date: 04-01-2022  
 Quote #: PR6-C145148  
 Version #: 6  
 Q-Exp-Date: 04-30-2022

<b>Total Quote Selling Price</b>	<b>\$242,534.87</b>
Trade-In and Other Credits	\$7,500.00
	-----
<b>Total Quote Net Selling Price</b>	<b>\$235,034.87</b>

**To Accept this Quotation**  
 Please sign and return this Quotation together with your Purchase Order To:  
**Michael Arguello**  
 Mobile: +14142175952  
 Email: Michael.Arguello1@ge.com

**Payment Instructions**  
 Please Remit Payment for invoices associated with this quotation to:  
**Datex-Ohmeda, Inc.**  
**PO Box 641936**  
**Pittsburgh, PA 15264-1936**

**To Accept This Quotation**

- Please sign the quote and any included attachments (where requested).
- If requested, please indicate, your form of payment.
- If you include the purchase order, please make sure it references the following information
  - The correct Quote number and version number above
  - The correct Remit To information as indicated in **"Payment Instructions"** above
  - The correct SHIP TO site name and address
  - The correct BILL TO site name and address
  - The correct Total Quote Net Selling Price as indicated above

"Upon submission of a purchase order in response to this quotation, GE Healthcare requests the following to evidence agreement to contract terms. Signature page on quote filled out with signature and P.O. number.

\*\*\*\*\*OR\*\*\*\*\*

Verbiage on the purchase order must state one of the following: (i) Per the terms of Quotation # \_\_\_\_\_; (ii) Per the terms of GPO# \_\_\_\_\_; (iii) Per the terms of MPA # \_\_\_\_\_; or (iv) Per the terms of SAA # \_\_\_\_\_. Include the applicable quote/agreement number with the reference on the purchase order.

In addition, source of funds (choice of: Cash/Third Party Loan or GE HEF Lease or GE HEF Loan or Third Party Lease through \_\_\_\_\_), must be indicated, which may be done on the quote signature page (for signed quotes), on the purchase order (where quotes are not signed) or via a separate written source of funds statement (if provided by GE Healthcare)."



GE Healthcare

Date: 04-01-2022  
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Line #	QTY	Item #	DESCRIPTION	Contract Price	Sell Price	Ext Sell Price
1	3	1011-9050-000	<b>AISYS CS2</b>			
	3	2065401-001	Frame Trolley, 4 Drawer, No Monitor Rack, Single-width Gas Module Slot	\$55,975.00	\$42,541.00	\$127,623.00
	3	5829035-USA	MANUALS AND SOFTWARE AISYS CS2 12.X ENGLISH FOR USA	\$7,210.00	\$5,479.60	\$16,438.80
	3	2065381-001	DISS Pipeline Fittings, ANSI Colors O2 Right, O2 Drive	\$155.00	\$117.80	\$353.40
	3	2065359-001	N20 Pipeline DISS Fitting	\$515.00	\$391.40	\$1,174.20
	3	2064584-001	Pressure Control Modes Suite	\$2,200.00	\$1,672.00	\$5,016.00
	3	2064585-001	Spontaneous Breathing Modes Suite	\$2,000.00	\$1,520.00	\$4,560.00
	3	2064588-001	ecoFLOW Software Option	\$5,000.00	\$3,800.00	\$11,400.00
	3	2065380-001	Patient Monitor Mount, Vertical, B650/B450	\$400.00	\$304.00	\$912.00
	3	1009-8159-000	Bag support arm	\$349.50	\$265.62	\$796.86
	3	5814440	Active Adjustable Flow AGSS. High Vacuum, variable flow with bag indicator (includes scavenging bag)	\$400.00	\$304.00	\$912.00
	3	1011-8289-000	100-120v NEMA 4 socket, individual circuit breakers	\$1,545.00	\$1,174.20	\$3,522.60
	3	1011-8072-000	Non-circle (switched) SCGO	\$620.00	\$471.20	\$1,413.60
	3	1011-8040-000	O2 cylinder connection, pin indexed yoke, Inboard	\$700.00	\$532.00	\$1,596.00
	3	1011-8050-000	Air cylinder connection, pin indexed yoke, Inboard	\$700.00	\$532.00	\$1,596.00
	3	1011-8058-000	N20 cylinder connection, pin indexed yoke, Outboard	\$700.00	\$532.00	\$1,596.00
	3	1011-8074-000	O2 gas power outlet DISS	\$105.00	\$79.80	\$239.40
	3	1011-8320-000	Folding Side Shelf	\$525.00	\$399.00	\$1,197.00



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Line #QTY	Item #	DESCRIPTION	Contract Price	Sell Price	Ext Sell Price
3	1011-8336-000	Cable management arm with post	\$725.00	\$551.00	\$1,653.00
3	2065395-001	Suction Regulator, 3 Mode, DISS Pipeline Fitting	\$275.00	\$209.00	\$627.00
3	1009-8164-000	Suction bottle mounting arm	\$96.61	\$73.42	\$220.26
3	1009-5935-000	Remote on/standby switch-AM to Aisys, DisVent extension cable	\$30.00	\$22.80	\$68.40
3	2099043-001	Sample Gas Return for Spectrolite Configuration	Incl.	Incl.	Incl.
3	2106803-001	Network Connectivity Supported	\$1,500.00	\$1,140.00	\$3,420.00
2 3	2083083-002	ARC INSTALLATION CHARGE	\$2,001.24	\$2,001.24	\$6,003.72
3 3	M1199109	CARESCAPE Respiratory Module, E-sCAiO	\$10,000.00	\$6,000.00	\$18,000.00
4 3	M1182629	D-fend Pro, Water Trap, Anesthesia, Multi Patient Disposable (QTY 10) Dark Blue Steel	\$95.00	\$72.20	\$216.60
5 3	CF-8004463	Exhaust line with coulter fitting, 18cm/7in	\$19.03	\$14.46	\$43.38
6 3	1100-9030-000	Aladin 2 Cassette for Sevoflurane	\$3,400.00	\$1,700.00	\$5,100.00
7 3	1100-9025-000	Aladin 2 Cassette for Suprane (Desflurane)	\$4,200.00	\$2,100.00	\$6,300.00
8 3	M1135098	Cassette Filler Guard	\$106.00	\$80.56	\$241.68
9 3	1407-7021-000	EZ Change Module	\$530.00	\$402.80	\$1,208.40
10 3	2105488-024	ABS Start-up Kit with Inteliflo Patient Circuit	\$23.68	\$18.00	\$54.00
11 3	2096534-003	GE Patient Hytre! Tube, Adult Reusable, 0.9M	\$28.22	\$21.45	\$64.35
12 3	2105488-025	ABS Bag Arm Connector, Reusable,	\$21.00	\$15.96	\$47.88





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Line #	QTY	Item #	DESCRIPTION	Contract Price	Sell Price	Ext Sell Price
			1ea			
13	6	2089610-001-S	Anesthesia Flow Sensor, ABS	\$200.00	\$152.00	\$912.00
14	3	1407-7022-000	Flow sensor module	\$239.00	\$181.64	\$544.92
15	3	0231-1018-816	Evac hose assemblies Diamond EVAC, 4.6 m/15ft	\$397.00	\$301.72	\$905.16
16	3	1006-8082-000	Suction Mounting Post	\$516.00	\$392.16	\$1,176.48
17	3	1503-3857-000	Plug O2 Port Kit	\$22.47	\$17.08	\$51.24
18	3	0231-1018-810	DISS, H-I-T, Diamond, O2 hose assembly, 4.6 m/15 ft	\$212.00	\$161.12	\$483.36
19	3	0231-1018-811	DISS, H-I-T, Diamond, N2O hose assembly, 4.6 m/15 ft	\$216.00	\$164.16	\$492.48
20	3	0231-1018-812	DISS, H-I-T, Diamond, Vac hose assembly, 4.6 m/15 ft	\$227.00	\$172.52	\$517.56
21	3	0231-1018-813	DISS, H-I-T, Diamond, Air hose assembly, 4.6 m/15 ft	\$229.00	\$174.04	\$522.12
22	1	M1199109	CARESCAPE Respiratory Module, E-sCAiO	\$10,000.00	\$6,000.00	\$6,000.00
23	1	M1182629	D-fend Pro, Water Trap, Anesthesia, Multi Patient Disposable (QTY 10) Dark Blue Steel	\$95.00	\$95.00	\$95.00
24	1	CF-8004463	Exhaust line with couler fitting, 18cm/7in	\$19.03	\$19.03	\$19.03
25		PROMO-207	Gas Module Promo (Expires December 31, 2022)	Incl.		
26	4	M1109160	User Training (onsite) - training of clinical & non-clinical staff on equipment	\$1,800.00	\$1,800.00	\$7,200.00



GE Healthcare

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Line #QTY	Item #	DESCRIPTION	Contract Price	Sell Price	Ext Sell Price
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**Quote Summary:**

<b>Total Contract List Price:</b>	<b>\$331,140.28</b>
<b>Total Quote Discount (26.76%)</b>	<b>(\$88,605.40)</b>
<b>Aestiva Trade In</b>	<b>(\$7,500.00)</b>
<b>Total Quote Net Selling Price:</b>	<b>\$235,034.87</b>

(Quoted prices do not reflect state and local taxes if applicable)

Estimated Tax Rate: 9.00%

Estimated Total Taxes: \$21,153.13

Estimated Total Quote Net Selling Price: \$256,188.00

Delivery date set at time of order.

If this Quotation contains a trade-in, such trade-in shall be governed by the terms and conditions set forth on the Trade-In Addendum to GE Healthcare Quotation attached to or provided with this Quotation.

Service Option invoicing will be separate from the equipment.

If this Quotation has demo/refurbished equipment on it, the quote is valid for 7 days only and is subject to availability.

All GE Healthcare pricing is confidential and proprietary. Any reporting requires GEHC's consent..

If applicable, for more information on this device's operating system, please visit GE Healthcare's product security portal at: <https://securityupdate.gehealthcare.com/en/products>



1. **Definitions.** As identified in this Agreement, "Equipment" is hardware and embedded software that is licensed with the purchase of the hardware delivered to Customer in GE Healthcare's packaging and with its labeling; "Software" is software developed by GE Healthcare and/or delivered to Customer in GE Healthcare's packaging and with its labeling, and Documentation associated with the software; "Third Party Software" and "Third Party Equipment" are respectively software developed by a third party, and hardware and embedded software that is licensed with the purchase of the hardware, that is delivered to Customer in the third party's packaging and with its labeling (collectively, "Third Party Product"); "Product" is Equipment, Software and Third Party Product; "Services" are Product support or professional services; "Subscription" is a limited-term, non-transferable license to access and use a Product (except Healthcare Digital Products), including any associated support Services; "Healthcare Digital Products" are: (i) Software identified in the Quotation as "Centricity"; (ii) Third Party Software licensed for use in connection with Centricity Software; (iii) hardware used to operate Centricity or Third Party Software; (iv) Services provided for implementation, installation or support and maintenance of Centricity or Third Party Software licensed for use in connection with Centricity Software; and/or (v) any Product or Service that is identified in a Healthcare Digital Quotation. "Specifications" are GE Healthcare's written specifications and manuals as of the date the Equipment shipped; and "Documentation" is the online help functions, user instructions and manuals regarding the installation and operation of the Product as made available by GE Healthcare to Customer.

2. **Term and Termination.** Software licenses, Services and/or Subscriptions will have individual term lengths identified in the Quotation. If there is a material breach of this Agreement and/or the Quotation that is not cured by the breaching party within 60 days from receipt of written notice, the non-breaching party can terminate the respective Agreement or Quotation. Other than as set forth in this Agreement, neither party can unilaterally terminate this Agreement or a Quotation. Any remaining undisputed, unpaid fees become immediately due and payable on expiration or termination. Expiration or termination of this Agreement will have no effect on Quotations executed prior to the date of expiration or termination.

3. **Software License.** Other than as identified in a Quotation, GE Healthcare grants Customer a non-exclusive, non-transferable, non-sublicensable, perpetual license to use the Software for Customer's internal business purposes only in the United States consistent with the terms of this Agreement. Customer's independent contractors (except GE Healthcare competitors) may use the Software, but Customer is responsible for their compliance with this license, and additional license fees may apply. Customer cannot modify, reverse engineer, copy or create derivative works of the Software, except for making 1 backup copy, and cannot remove or modify labels or notices of proprietary rights of the Software or Documentation. If GE Healthcare provides Third Party Software, Customer will comply with third party license terms, and licensors are third-party beneficiaries of this Agreement.

#### 4. Commercial Logistics

##### 4.1 Order Cancellation and Modifications.

4.1.1 **Cancellation.** If Customer cancels an order prior to shipment without GE Healthcare's written consent, Customer will be responsible for all third-party expenses incurred by GE Healthcare prior to Customer's order cancellation and GE Healthcare may charge: (i) a fee of up to 10% of the Product price; and (ii) a fee for site evaluations performed prior to cancellation. GE Healthcare will retain, as a credit, payments received up to the amount of the cancellation charge. Customer must pay applicable progress payments (other than final payment) prior to final calibration, and GE Healthcare may delay calibration until those payments are received. If Customer does not schedule a delivery date within 6 months after order entry, GE Healthcare may cancel on written notice. This Section does not apply to Software or Subscriptions, Third Party Products and/or related professional or installation services; those orders are non-cancellable.

4.1.2 **Used Equipment.** Equipment identified as pre-owned, refurbished, remanufactured or demonstration Equipment is not new and may have received reconditioning to meet Specifications ("Used Equipment"). Sale of Used Equipment is subject to availability. If it is no longer available, GE Healthcare will attempt to identify other Used Equipment in its inventory that meets Customer's needs, and if substitute Used Equipment is not acceptable, GE Healthcare will cancel the order and refund any deposit Customer paid for the Used Equipment.

4.2 **Site Preparation.** Customer is responsible for network and site preparation, including costs, in compliance with GE Healthcare's written requirements and applicable laws. GE Healthcare may refuse to deliver or install if the site has not been properly prepared or there are other impediments.

4.3 **Transportation, Title and Risk of Loss.** Unless otherwise identified in the Quotation, shipping terms are FOB Destination. Title and risk of loss to Equipment and Third-Party Equipment passes to Customer on delivery to Customer's designated delivery location.

4.4 **Delivery, Returns and Installation.** Delivery dates are approximate. Products may be delivered in installments. GE Healthcare may invoice multiple installment deliveries on a consolidated basis, but this does not release Customer's obligation to pay for each installment delivery. Delivery occurs: (i) for Product, on electronic or physical delivery to Customer; and (ii) for Services, on performance.

Products cannot be returned for refund or credit if they match the Quotation.

Delivery and installations will be performed from 8am to 5pm local time, Monday-Friday, excluding GE Healthcare holidays, and outside those

hours for an additional fee. Customer will: (i) install cable and assemble products not provided by GE Healthcare; (ii) enable connectivity and interoperability with products not provided by GE Healthcare; (iii) pay for construction and rigging costs; and (iv) obtain all licenses, permits and approvals for installation, use and disposal of Products. For upgrades and revisions to non-Healthcare Digital Products, Customer must return replaced components to GE Healthcare at no charge.

4.5 Information Technology Professional Services ("ITPS"). ITPS must be completed within 12 months of the later of the ITPS order date or Product delivery. If not done within this time period, other than because of GE Healthcare's failure to perform, ITPS performance obligations expire without refund. ITPS includes project management, HL7/HIS system integration, database conversion, network design and integration and separately cataloged software installations. This Section does not apply to Healthcare Digital Products.

#### 4.6 Acceptance.

4.6.1 Equipment Acceptance. Beginning on completion of installation (not to exceed 30 days from shipment) or delivery (if installation is not required), Customer will have 5 days to determine if the Equipment operates substantially in accordance with Specifications ("Equipment Test Period"). If the Equipment fails to perform accordingly, Customer will provide to GE Healthcare: (i) written notice; (ii) access to the Equipment; and (iii) a reasonable time to bring the Equipment into compliance. After correction by GE Healthcare, Customer will have the remainder of the Equipment Test Period or 3 days, whichever is greater, to continue testing. Equipment is accepted on the earlier of expiration of the Equipment Test Period or the date the Equipment is first used for non-acceptance testing purposes.

4.6.2 Software Acceptance. Beginning on completion of Software implementation, Customer will have 30 days to determine if the Software operates substantially in accordance with the Documentation ("Software Test Period"). If the Software fails to perform accordingly, Customer will provide to GE Healthcare: (i) written notice; (ii) access to the Software; and (iii) a reasonable time to bring the Software into compliance. After correction by GE Healthcare, Customer will have the remainder of the Software Test Period or 5 days, whichever is greater, to continue testing. Software is accepted on the first to occur of: (a) expiration of the Software Test Period; (b) the date Software is first used to process actual data; or (c) the "Go-Live Date" as defined in the Quotation.

4.6.3 Third Party Product Acceptance. Third Party Products are accepted 5 days after delivery.

4.6.4 Subscription Acceptance. Products provided pursuant to a Subscription are accepted 5 days after GE Healthcare provides Customer access to the Products.

4.7 Third Party Products and Services. If GE Healthcare provides Third Party Products and/or Services, then (i) GE Healthcare is acquiring them on Customer's behalf as its agent and not as a supplier; (ii) GE Healthcare provides no warranties or indemnification, express or implied; and (iii) Customer is responsible for all claims resulting from or related to their acquisition or use.

4.8 Mobile Equipment. GE Healthcare will assemble Equipment it has approved for mobile use at the vehicle location identified by Customer. Customer will comply with the vehicle manufacturer's planning requirements and arrange for delivery of the vehicle. Equipment placed in a mobile environment must be used for medical, billing, or other non-entertainment use by bona fide medical professionals authorized to use and prescribe such use.

4.9 Audit. GE Healthcare may audit Customer's use of Software, Subscription and Healthcare Digital Products to verify Customer's compliance with this Agreement up to 12 months following termination or expiration of the applicable Quotation. Customer will provide reasonable assistance and unrestricted access to the information. Customer must pay underpaid or unpaid fees discovered during the audit, and GE Healthcare's reasonable audit costs, within 30 days of written notification of the amounts owed. If Customer does not pay, or the audit reveals that Customer is not in compliance, GE Healthcare may terminate Customer's Software license, Subscription or use of the Healthcare Digital Product.

4.10 Product Inflation. For GE Healthcare imaging Products only (to exclude ultrasound and life care solutions Products), due to the potential long cycle time from Product order to Product delivery, GE Healthcare may increase Product Total Quote Net Selling Price by an amount equal to the increase in the U.S. Bureau of Labor Statistics Consumer Price Index ("CPI") from the date of Product order to the date of notice prior to Product delivery, by providing at least 4 weeks prior notice from the requested delivery date.

#### 5. Security Interest and Payment.

5.1 Security Interest. Customer grants GE Healthcare a purchase money security interest in all Products in the Quotation until full payment is received, and Customer will perform all acts and execute all documents necessary to perfect GE Healthcare's security interest.

5.2 Failure to Pay. If, after Product delivery, Customer is more than 45 days past due on undisputed payments, GE Healthcare may, on 10 days' prior written notice, disable and/or remove the Products.

5.3 Lease. If Customer leases a Product, Customer continues to be responsible for payment obligations under this Agreement.

6. Trade-In Equipment. Trade-in equipment identified in a Quotation will be subject to separate trade-in terms and conditions.



7. Subscriptions. The following terms apply to all Subscriptions (excluding Healthcare Digital Products).

7.1 Commencement. Unless otherwise indicated in this Agreement or the Quotation, the Subscription commences on the date GE Healthcare provides Customer access to the Products.

7.2 Renewal / Non-Renewal. The Subscription term renews automatically for the same duration as the initial term of the Subscription unless otherwise identified in the Quotation. Except as otherwise identified in this Agreement or a Quotation, GE Healthcare may increase prices annually by no more than the Consumer Price Index for All Urban Consumers (U.S. City Average, December to December) plus 2%, upon 90 days' prior written notice. Subscriptions are not cancellable; however, either party may opt to not renew the Subscription after the initial Subscription term or any subsequent renewal term by providing at least 60 days' prior written notice to the other party prior to renewal.

7.3 Subscription Equipment. Title to Equipment and Third-Party Equipment provided via Subscription ("Subscription Equipment") remains with GE Healthcare. Customer will not place, or permit the placement of, liens, security interests, or other encumbrances on Subscription Equipment. Customer shall not repair or service Subscription Equipment, or allow others to do so, without the prior written consent of GE Healthcare.

7.4 Support Services. Unless otherwise noted in the Quotation, GE Healthcare will provide support Services as described in the Subscription Products and ViewPoint Software Maintenance Terms and Conditions.

7.5 Upgrades. Included in the Subscription fees if Customer does not owe any undisputed payments, GE Healthcare will provide upgrades if and when they become available and to the extent they are provided to all GE Healthcare customers with a Subscription for the Products, at mutually agreed upon delivery and installation dates. Upgrades do not include: (i) any optional or separately licensable features; (ii) any Products not covered by the Subscription; or (iii) any virtual environment required to host an upgraded Product. GE Healthcare shall have no obligation to provide upgrades if Products are not maintained within the current major release version or the immediately prior major release version.

7.6 Access Controls. Customer must: (i) ensure users maintain individually-assigned confidential user credentials and control mechanisms to access the Subscription; and (ii) take reasonable steps to prevent unauthorized access to Products.

7.7 Post-Termination. Upon termination or expiration of the Subscription: (i) Customer must immediately discontinue use of the Products and return Subscription Equipment to GE Healthcare in proper operating condition; (ii) Customer must destroy its copies of Software and Documentation; (iii) Customer must remove its data from Subscription Equipment; (iv) GE Healthcare is not responsible for and may destroy Customer-provided information, images or data; and (v) GE Healthcare will remove Customer's access.

7.8 Professional Services. For Services not covered under this Agreement or required due to Customer not meeting its responsibilities under the Agreement, applicable additional professional Services and fees will be required: (i) identified in the Quotation; and (ii) subject to GE Healthcare's then-current pricing.

8. General Terms.

8.1. Confidentiality. Each party will treat this Agreement and the other party's proprietary information as confidential, meaning it will not use or disclose the information to third parties unless permitted in this Agreement or required by law. Customers are not prohibited from discussing patient safety issues in appropriate venues.

8.2. Governing Law. The law of the state where the Product is installed, Service is provided, or Subscription is accessed will govern this Agreement.

8.3. Force Majeure. Performance time for non-monetary obligations will be reasonably extended for delays beyond a party's control.

8.4. Assignment; Use of Subcontractors. Neither party may assign this Agreement or any rights, interests or obligations provided by this Agreement without the prior written consent of the other party; provided, however, that either party may assign this Agreement and any or all rights and obligations under this Agreement to any of its affiliates upon prior written notice to the other party; provided, further, that no such assignment shall release either party from any liability under this Agreement. Notwithstanding anything to the contrary in this Agreement, GE Healthcare may assign this Agreement and all of its rights, interests and obligations under this Agreement to a GE Healthcare Subsidiary (as defined below), subject to the GE Healthcare Subsidiary agreeing to be bound by all of the terms and conditions of this Agreement and assuming all of the rights, interests and obligations of GE Healthcare under this Agreement. Immediately upon such assignment and assumption, automatically and without the requirement of any further action by any person or entity, (i) all references in this Agreement to GE Healthcare shall instead apply to GE Healthcare Subsidiary unless the context otherwise requires and (ii) GE Healthcare shall be unconditionally and irrevocably released and discharged from any and all liabilities and obligations under or in connection with this Agreement. "GE Healthcare Subsidiary" means a majority owned direct or indirect subsidiary of GE Healthcare Parent. "GE Healthcare Parent" means an entity that (A) has at the time of such assignment and assumption (or concurrently therewith) an investment-grade unsecured corporate credit rating issued by each of Standard & Poor's Ratings Services, a Standard & Poor's Financial Services LLC business (or any successor thereto), and Moody's Investors Service, Inc. (or any successor thereto), and (B) has succeeded to ownership, directly or indirectly, of substantially all of the assets formerly owned by the GE Healthcare business of the General Electric group of companies. Notwithstanding anything to the contrary in this Agreement, in the event of any change of direct or indirect ownership of GE Healthcare in connection with the previously-announced separation of the General Electric group of companies, regardless of the form such separation takes, the other party hereby acknowledges and consents to the change of ownership of GE Healthcare as part of such separation. GE Healthcare may hire subcontractors to perform work under this Agreement but will remain responsible for its obligations.

8.5. Waiver; Survival. If any provision of this Agreement is not enforced, it is not a waiver of that provision or of a party's right to later enforce it. Terms in this Agreement related to intellectual property, compliance, data rights and terms that by their nature are intended to survive will survive the Agreement's expiration or termination.

8.6. Intellectual Property. GE Healthcare owns all rights to the intellectual property in GE Healthcare's Products, Services, Documentation, Specifications, and statements of work related to a Quotation or otherwise. Customer may provide GE Healthcare with feedback related to Products, Services, and related Documentation, and GE Healthcare may use it in an unrestricted manner.

## 9. Compliance.

9.1. Generally. Each party will comply with applicable laws and regulations. Customer is only purchasing or licensing Products for its own medical, billing and/or non-entertainment use in the United States, or for the purposes of renting or leasing the Products for medical, billing and/or non-entertainment purposes through a mobile system or modular building where Customer maintains title to the Products. GE Healthcare will not deliver, install, service or train if it discovers Products have been or are intended to be used contrary to this Agreement. This Agreement is subject to GE Healthcare's ongoing credit review and approval. Customer is aware of its legal obligations for cost reporting, including 42 C.F.R. § 1001.952(g) and (h), and will request from GE Healthcare any information beyond the invoice needed to fulfill Customer's cost reporting obligations. GE Healthcare will provide safety-related updates for Equipment and Software required by applicable laws and regulations at no additional charge.

9.2. Security. GE Healthcare is not responsible for: (i) Customer's passwords or password management (ii) securing Customer's network; (iii) preventing unauthorized access to Customer's network or the Product; (iv) backup management; (v) data integrity; (vi) recovery of lost, corrupted or damaged data, images, software or equipment; (vii) third party operating systems, unless specifically provided in the Quotation; or (viii) providing or validating antivirus or related IT safeguards unless sold to Customer by GE Healthcare. NEITHER PARTY WILL BE LIABLE TO THE OTHER PARTY FOR DAMAGES CAUSED BY UNAUTHORIZED ACCESS TO THE NETWORK OR PRODUCTS REGARDLESS OF A PARTY'S COMPLIANT SECURITY MEASURES.

9.3. Environmental Health and Safety ("EHS"). GE Healthcare personnel may stop work without penalty due to safety concerns. Customer must: (i) comply with GE Healthcare's EHS requirements; (ii) provide a safe environment for GE Healthcare personnel; (iii) tell GE Healthcare about chemicals or hazardous materials that might come in contact with Products or GE Healthcare personnel; (iv) perform decommissioning or disposal at Customer facilities; (v) obtain and maintain necessary permits; (vi) thoroughly clean Products before Service; (vii) provide radioactive materials required for testing Products; and (viii) dispose of waste related to Products and installations.

9.4. Parts and Tubes. GE Healthcare: (i) recommends the use of parts it has validated for use with the Product; (ii) is not responsible for the quality of parts supplied by third parties to Customer; and (iii) cannot assure Product functionality or performance when non-validated parts are used. Certain Products are designed to recognize GE Healthcare-supplied tubes and report the presence of a non-GE Healthcare tube; GE Healthcare is not responsible for the use of, or effects from, non-GE Healthcare supplied tubes.

9.5. Training. GE Healthcare's training does not guarantee that: (i) Customer trainees are fully trained on Product use, maintenance or operation; or (ii) training will satisfy any licensure or accreditation. Customer must ensure its trainees are fully qualified in the use and operation of the Product. Unless otherwise identified in the training catalog, Customer will complete training within 12 months of: (a) the date of Product delivery for a Product purchase; (b) the respective start date for Services or Subscription for purchase of Service or Subscription; or (c) the date training is ordered for training-only purchases. If not completed within this time period, other than because of GE Healthcare's fault, training expires without refund. Training will be invoiced and payment due pursuant to the billing terms listed in the equipment Quotation. Recording of GE Healthcare training sessions is prohibited.

9.6. Medical Diagnosis and Treatment. All clinical and medical treatment, diagnostic and/or billing decisions are Customer's responsibility.

9.7. Connectivity. If a Product has remote access capability: (i) Customer will provide GE Healthcare with, and maintain, a GE Healthcare-validated remote access connection to service the Product; or (ii) GE Healthcare reserves the right to charge Customer for onsite support at GE Healthcare's then-current billing rate. This remote access and collection of machine data (e.g., temperature, helium level) will continue after the end of this Agreement unless Customer requests in writing that GE Healthcare disable it.

## 9.8. Use of Data.

9.8.1. Protected Health Information. If GE Healthcare creates, receives, maintains, transmits or otherwise has access to Protected Health Information (as defined in 45 C.F.R. § 160.103) ("PHI"), GE Healthcare may use and disclose the PHI only as permitted by law and by the Business Associate Agreement. Before returning any Product to GE Healthcare, Customer must ensure that all PHI stored in it is deleted.

9.8.2. Data Rights. GE Healthcare may collect, prepare derivatives from and otherwise use non-PHI data related to Products and/or Services for such things as training, demonstration, research, development, benchmarking, continuous improvement and facilitating the provision of its products, software and services. GE Healthcare will own all intellectual property and other rights that could result from this collection, preparation and use. The non-PHI data will not be used to identify Customer or sold by GE Healthcare without Customer's consent.

9.9. Customer Policies. GE Healthcare will use reasonable efforts to respect Customer-provided policies that apply to GE Healthcare and do not materially contradict GE Healthcare policies. Failure to respect Customer policies is not a material breach unless it is willful and adversely affects GE

Healthcare's ability to perform its obligations.

9.10. Insurance. GE Healthcare will maintain coverage in accordance with its standard certificate of insurance.

9.11. Excluded Provider. To its knowledge, neither GE Healthcare nor its employees performing Services under this Agreement have been excluded from participation in a Federal Healthcare Program. If an employee performing Services under this Agreement is excluded, GE Healthcare will replace that employee within a reasonable time; if GE Healthcare is excluded, Customer may terminate this Agreement upon written notice to GE Healthcare.

## 10. Disputes and Arbitration

10.1. Binding Arbitration. Other than collection matters and actions seeking injunctive relief to prevent or cease a violation of intellectual property rights related to Products or Services, the parties agree to submit all disputes arising under or relating to this Agreement to the American Arbitration Association ("AAA") office closest to the largest metropolitan area of the location where the Product is installed or the Service is provided for binding arbitration conducted in accordance with AAA's then-current Commercial Arbitration Rules. Costs, including arbitrator fees and expenses, will be shared equally, and each party will bear its own attorneys' fees. The arbitrator will have authority to award damages only to the extent available under this Agreement. Nothing in this Section shall allow either party to arbitrate claims of any third-party not a party to this Agreement. The parties further agree to keep confidential: (i) the fact that any arbitration occurred, (ii) the results of any arbitration, (iii) all materials used, or created for use, in the arbitration, and (iv) all other documents produced by another party in the arbitration and not otherwise in the public domain.

## 11. Liability and Indemnity.

11.1. Limitation of Liability. GE HEALTHCARE'S LIABILITY FOR DIRECT DAMAGES TO CUSTOMER UNDER THIS AGREEMENT WILL NOT EXCEED: (I) FOR PRODUCTS, THE PRICE FOR THE PRODUCT THAT IS THE BASIS FOR THE CLAIM; OR (II) FOR SERVICE OR SUBSCRIPTIONS, THE AMOUNT OF SERVICE OR SUBSCRIPTION FEES FOR THE 12 MONTHS PRECEDING THE ACTION THAT IS THE BASIS FOR THE CLAIM. THIS LIMITATION WILL NOT APPLY TO GE HEALTHCARE'S DUTIES TO INDEMNIFY CUSTOMER UNDER THIS AGREEMENT.

11.2. Exclusion of Damages. NEITHER PARTY WILL HAVE ANY OBLIGATION FOR: (I) CONSEQUENTIAL, PUNITIVE, INCIDENTAL, INDIRECT OR REPUTATIONAL DAMAGES; (II) PROFIT, DATA OR REVENUE LOSS; OR (III) CAPITAL, REPLACEMENT OR INCREASED OPERATING COSTS.

11.3. IP Indemnification. GE Healthcare will indemnify, defend and hold Customer harmless from third-party claims for infringement of United States intellectual property rights arising from Customer's use of the Equipment or Software in accordance with the Specifications, Documentation and license.

### 11.4. General Indemnification.

11.4.1. GE Healthcare will indemnify, defend and hold Customer harmless for losses which Customer becomes legally obligated to pay arising from third party claims brought against Customer for bodily injury or damage to real or tangible personal property to the extent the damage was caused by GE Healthcare's: (i) design or manufacturing defect; (ii) negligent failure to warn, negligent installation or negligent Services; or (iii) material breach of this Agreement.

11.4.2. Customer will indemnify, defend and hold GE Healthcare harmless for losses which GE Healthcare becomes legally obligated to pay arising from third party claims brought against GE Healthcare for bodily injury or damage to real or tangible personal property to the extent the damage was caused by Customer's: (i) medical diagnosis or treatment decisions; (ii) misuse or negligent use of the Product; (iii) improper storage of the Product (iv) modification of the Product; or (v) material breach of this Agreement.

11.5. Indemnification Procedure. For all indemnities under this Agreement: (i) the indemnified party must give the other party written notice before claiming indemnification; (ii) the indemnifying party will control the defense; (iii) the indemnified party may retain counsel at its own expense; and (iv) the indemnifying party is not responsible for any settlement without its written consent.

## 12. Payment and Finance.

12.1. Late Payment. Customer must raise payment disputes before the payment due date. For any undisputed late payment, GE Healthcare may: (i) suspend performance under this Agreement until all past due amounts are paid; (ii) charge interest at a rate no more than the maximum rate permitted by applicable law; and (iii) use unapplied funds due to Customer to offset any of Customer's outstanding balance. If GE Healthcare suspends performance, any downtime will not be included in the calculation of any uptime commitment. If Customer fails to pay when due: (a) GE Healthcare may revoke its credit and designate Customer to be on credit hold; and (b) all subsequent shipments and Services must be paid in full on receipt.

12.2. Taxes. Prices do not include applicable taxes, which are Customer's responsibility.

12.3. Customer Payment Obligation. If installation or acceptance is delayed more than 90 days because of any reason for which Customer or its subcontractor is responsible, GE Healthcare will provide written notice and bill the remaining balance due on the order, and Customer must pay according to the payment terms listed on the Quotation.

13. **Notices.** Notices will be in writing and considered delivered when received if sent by certified mail, postage prepaid, return receipt requested, by overnight mail, or by fax. Notice to Customer will be directed to the address on this Agreement, and notice to GE Healthcare to General Counsel, 9900 W Innovation Dr., Wauwatosa, WI 53226.

14. **Subscription Products and ViewPoint Software Maintenance Terms and Conditions.**

14.1 **Overview.** GE Healthcare will, in accordance with the terms and conditions of this section, maintain, support and update: (i) Products provided via Subscription (excluding Healthcare Digital Products); and (ii) ViewPoint Software licensed by Customer ("ViewPoint Software") and HIS interface software installed in the United States covered by a Software Maintenance Agreement ("SMA").

14.2 **Scope.**

14.2.1 **Software Support and Maintenance.** GE Healthcare will use reasonable efforts to provide Error Correction (defined below) for verifiable and reproducible Errors (defined below) within a reasonable time after: (a) Customer reports the Error to GE Healthcare; or (b) detection by GE Healthcare. Updates (defined below), if released, will be provided at no additional cost as a part of this maintenance commitment. New functionality must be purchased separately, unless otherwise agreed.

14.2.2 **Equipment Maintenance.** Preventative maintenance service may be required periodically during normal business hours of 8:00 a.m. to 5:00 p.m. (local time) on mutually agreed dates. Customer will make the Equipment available for preventative maintenance upon GE Healthcare request. Additional services to be performed, including specific additional terms thereof, shall be specified in the Quotation or alternate schedules.

14.2.3 **Definitions.** "**Error**" means any Software-related problem that: (i) materially interferes with Customer's use of the Software; and (ii) results from a failure of the Software to materially conform to the Documentation. "**Error Correction**" means: (a) modification of the Software that corrects an Error by bringing the Software into material conformity with the Documentation; or (b) a procedure that avoids the material adverse effect of the nonconformity. "**Update**" means a change that provides Error Corrections and/or enhances functionality of the Software version licensed by Customer. An Update does not involve major changes or provide significant, new functionality or applications, or changes to the software architecture or file structure. Updates retain the same license as the original Software.

14.2.4 **Hotline Support.** GE Healthcare will provide phone and email support during standard business hours, excluding GE Healthcare holidays, for problem solving, Error resolution and general help.

14.2.5 **Remote Access Support.** GE Healthcare may access Software remotely via Customer's network and GE Healthcare-supplied secure tunnelling software to monitor Software parameters to help prevent and detect Errors. Customer will reasonably cooperate with GE Healthcare to establish remote connections. Certain modules require remote access in order to obtain support.

14.2.6 **Warranty.** GE Healthcare warrants that its Services will be performed by trained individuals in a professional, workman-like manner. GE Healthcare will re-perform non-conforming Services as long as Customer provides prompt written notice to GE Healthcare. NO OTHER EXPRESS OR IMPLIED WARRANTIES, INCLUDING IMPLIED WARRANTIES OF NON-INFRINGEMENT, MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WILL APPLY. SERVICE MANUALS AND DOCUMENTATION ARE PROVIDED "AS IS". GE HEALTHCARE DOES NOT GUARANTEE PRODUCTS WILL OPERATE WITHOUT ERROR OR INTERRUPTION.

14.2.7 **Exclusions.** GE Healthcare has no obligation to Customer for: (i) use of Products in combination with software, hardware, or services not recommended in writing by GE Healthcare; (ii) use in a manner or environment for which GE Healthcare did not design or license the Products, or in violation of GE Healthcare's recommendations or instructions; (iii) interface configuration (often referred to as HIS, PACS or EMR interfaces necessary due to changing vendors or versions); (iv) reorganization of Customer data; (v) consulting or software engineering and programming; (vi) support of Products outside the scope of the foregoing maintenance commitments; (vii) failure to use or install, or permit GE Healthcare to use or install, Error Corrections or Updates; (viii) failure to maintain Products within the current major release version or the immediately prior major release version; (ix) defects in products or services not made and provided by GE Healthcare; (x) any cause external to the Products or beyond GE Healthcare's control; (xi) failure of Customer's network; (xii) replacement of disposable or consumable items; (xiii) additional equipment or upgrades in connection with Products; and (xiv) migration of Software to different hardware or operating systems.

14.2.8 **Software Maintenance Agreement Term.** The following applies to ViewPoint software and HIS interface software only: The SMA term and start date is identified in the Quotation and its related Schedule A. Either party may terminate the SMA without cause after the first anniversary by providing at least 90 days' prior written notice to the other party. SMA payments are due within 30 days after receipt of GE Healthcare's invoice.





## 1. Warranty.

- 1.1. **Equipment.** For non-customized Equipment purchased from GE Healthcare or its authorized distributors, unless otherwise identified in the Quotation, GE Healthcare warrants that Equipment will be free from defects in title, and, for 1 year from Equipment Acceptance, it will: (i) be free from defects in material and workmanship under normal use and service; and (ii) perform substantially in accordance with the Specifications. The warranty covers parts and labor and only applies to end-users that purchase Equipment from GE Healthcare or its authorized distributors.
- 1.2. **Software.** For Software licensed from GE Healthcare, GE Healthcare warrants that: (i) it has the right to license or sublicense Software to Customer; (ii) it has not inserted Disabling Code into Software; (iii) it will use efforts consistent with industry standards to remove viruses from Software before delivery; and (iv) unless otherwise identified in the Quotation, for 90 days from Software Acceptance, Software will perform substantially in accordance with the Documentation. "**Disabling Code**" is code designed to interfere with the normal operation of Software, but code that prohibits use outside of the license scope is not Disabling Code.
- 1.3. **Services.** GE Healthcare warrants that its Service will be performed by trained individuals in a professional, workman-like manner.
- 1.4. **Used Equipment.** Certain Used Equipment is provided with GE Healthcare's standard warranty for the duration identified in the Quotation, but in no event more than 1 year. If no warranty is identified, the Used Equipment is provided "AS IS" and is not warranted by GE Healthcare.
- 1.5. **Accessories and Supplies.** Warranties for accessories and supplies are at [www.gehealthcare.com/accessories](http://www.gehealthcare.com/accessories).
- 1.6. **Third Party Product.** Third Party Product is covered by the third party's warranty and not GE Healthcare's warranties.
- 1.7. **Subscription Products.** Products provided via Subscription (excluding Healthcare Digital Products) are not covered by this Warranty Statement. Instead, the Subscription Products and ViewPoint Software Maintenance Terms and Conditions apply.

**2. Remedies.** If Customer promptly notifies GE Healthcare of its claim during the warranty and makes the Product available, GE Healthcare will: (i) at its option, repair, adjust or replace the non-conforming Equipment or components; (ii) at its option, correct the non-conformity or replace the Software; and/or (iii) re-perform non-conforming Service. Warranty service will be performed from 8am to 5pm local time, Monday-Friday, excluding GE Healthcare holidays, and outside those hours at GE Healthcare's then-current service rates and subject to personnel availability. GE Healthcare may require warranty repairs to be performed via a secure, remote connection or at an authorized service center. If GE Healthcare replaces Equipment or a component, the original becomes GE Healthcare property and Customer will return the original to GE Healthcare within 5 days after the replacement is provided to Customer. Customer cannot stockpile replacement parts. Prior to returning Equipment to GE Healthcare, Customer will: (a) obtain a return to manufacturer authorization; and (b) back up and remove all information stored on the Equipment (stored data may be removed during repair). Customer is responsible for damage during shipment to GE Healthcare. The warranty for a Product or component provided to correct a warranty failure is the unexpired term of the warranty for the repaired or replaced Product.

GE Healthcare may provide a loaner unit during extended periods of Product service. If a loaner unit is provided: (i) it is for Customer's temporary use at the location identified in the Quotation; (ii) it will be returned to GE Healthcare within 5 days after the Product is returned to Customer, and if it is not, GE Healthcare may repossess it or invoice Customer for its full list price; (iii) it, and all programs and information pertaining to it, remain GE Healthcare property; (iv) risk of loss is with Customer during its possession; (v) Customer will maintain and return it in proper condition, normal wear and tear excepted, in accordance with GE Healthcare's instructions; (vi) it will not be repaired except by GE Healthcare; (vii) GE Healthcare will be given reasonable access to it; (viii) Customer is not paying for its use, and Customer will ensure charges or claims submitted to a government healthcare program or patient are submitted accordingly; and (ix) prior to returning it to GE Healthcare, Customer will delete all information, including PHI, from it and its accessories, in compliance with industry standards and instructions provided by GE Healthcare.

NO OTHER EXPRESS OR IMPLIED WARRANTIES, INCLUDING IMPLIED WARRANTIES OF NON-INFRINGEMENT, MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, WILL APPLY. SERVICE MANUALS AND DOCUMENTATION ARE PROVIDED "AS IS". GE HEALTHCARE DOES NOT GUARANTEE PRODUCTS WILL OPERATE WITHOUT ERROR OR INTERRUPTION.

**3. Limitations.** GE Healthcare has no obligation to Customer for warranty claims if Customer uses the Product: (a) for non-medical or entertainment use or outside the United States; (b) in combination with software, hardware, or services not recommended in writing by GE Healthcare; and (c) in a manner or environment for which GE Healthcare did not design or license it, or in violation of GE Healthcare's recommendations or instructions. GE Healthcare has no obligation to Customer for warranty claims for damages or deficiencies outside GE Healthcare's reasonable control.

In addition, these warranties do not cover: (i) defects or deficiencies from improper storage or handling, maintenance or use that does not conform to Specifications and/or Documentation, inadequate backup or virus protection, cyber-attacks, failure to maintain power quality, grounding, temperature, and humidity within Specifications and/or Documentation, or other misuse or abuse; (ii) repairs due to power anomalies or any cause external to the Products or beyond GE Healthcare's control; (iii) payment or reimbursement of facility costs arising from repair or replacement of the Products or parts; (iv) planned maintenance (unless applicable to Equipment), adjustment, alignment, or calibration; (v) network and antenna installations not performed by GE Healthcare or its subcontractors; (vi) lost or stolen Products; (vii) Products with serial numbers altered, defaced or removed; (viii) modification of Product not approved in writing by GE Healthcare (ix) Products immersed in liquid; (x) for Mobile Equipment, defects or deficiencies from mobile use outside of normal transportation wear and tear (excluding OEC regarding transportation wear and tear) and (xi) replacement of disposable or consumable items.

#### 4. Exceptions to Standard Warranty.

**Partial System Equipment Upgrades for CT, MR, X-Ray, IGS, PET (Scanners, Cyclotrons and Chemistry Labs) and Nuclear systems:** 6 months (only applies to the upgraded components unless the parties otherwise agree to modify the coverage of the upgraded and existing components in an existing service agreement. Optima XR240amx partial upgrades are warranted for 1 year on the wireless detector.

**Cyclotron and Radiopharmacy:** Warranty starts on the earlier of (i) 3 months after the date GE Healthcare completes mechanical installation, or (ii) the date Product testing is successfully completed

**MR Systems:** Warranty does not cover: (i) a defect or deficiency from failure of water chillers supplied or serviced by Customer, and (ii) for MR systems with LHe/LN or shield cooler configured superconducting magnets (except for MR Systems with LCC magnets), any cryogen supply, cryogenic service or service to the magnet, cryostat, coldhead, shield cooler compressor or shim coils unless the need for supply or service is caused by a defect in material or workmanship covered by this warranty.

**Proteus XR/a, Definium and Precision 500D X-Ray Systems:** Warranty does not cover collimator bulbs

**Performix 160A (MX160) Tubes:** 3 years

**X-Ray High Voltage Rectifiers and TV Camera Pick-Up Tubes:** 6 months

**X-Ray Wireless Digital Detectors:** In addition to the standard warranty, GE Healthcare will provide coverage for detector damage due to accidental dropping or mishandling. If accidental damage occurs, GE Healthcare will provide Customer with 1 replacement detector during warranty at no additional charge. If subsequent accidental damage occurs during warranty, each additional replacement will be provided for \$30,000 per replacement. This additional coverage excludes damage caused by any use that does not conform to original equipment manufacturer ("OEM") guidelines, use that causes fluid invasion, holes, deep scratches or the detector case to crack, and damage caused by abuse, theft, loss, fire, power failures or surges. If the warranty is voided by these conditions, repair or replacement is Customer's responsibility.

**Bone Mineral Densitometry:** Alpha Source, Inc. will perform installation, application support and warranty services. Direct warranty claims to Alpha Source, Inc. at 1-800-654-9845. Upgraded computer, printer and monitor components include a 1 month warranty. Customer will not be credited the value of this warranty against pre-existing warranties or service agreements.

**OEC New or Exchange Service Parts:** 120 days

**OEC Tubes and Image Intensifiers:** 1 year

**HealthNet Lan, Advantage Review — Remote Products:** 3 months

**LOGIQ e, Venue 50, Venue Go, Versana Active and related transducers purchased with them:** 5 years

**LOGIQ V1, LOGIQ V2, Vivid iq, Vscan and Vscan Extend and related transducers purchased with them:** 3 years

Except the following have a 1 year warranty:

Transducers: TEE Probes,

Carts: Venue 50 Docking Cart, Venue Go Cart, Venue Go mounting cradle, LOGIQ e Isolation Cart, LOGIQ e Docking Cart, LOGIQ V1/V2 Cart and Vivid IQ cart

Other Accessories: Batteries (internal & external), and printers and peripherals, TEE cleaning & storage system, ICECord Connector and printers

Warranty covers defective parts and components and includes: (i) repair at GE Healthcare facilities, (ii) a loaner unit or probe replacement shipped for next business day delivery for requests received by 3pm Central Time, (iii) phone support from 7am to 7pm Central Time, Monday-Friday, excluding GE Healthcare holidays. For an additional charge, GE Healthcare may provide field support/service, planned maintenance, and/or coverage for damage due to accidental dropping or mishandling.

**LOGIQ P9 R2.5 and newer and, Versana Premier, Versana Balance, Venue and related transducers purchased with them:** 5 years

**Voluson P8 BT18 and newer, Voluson SWIFT, Voluson S8 Touch and Voluson S10 Expert, LOGIQ F8 2016 and newer, LOGIQ V5, Vivid T8 and Vivid T9 and related transducers purchased with them:** 3 years

Except the following have a 1 year warranty:

Other Accessories: Batteries (internal & external) and printers and peripherals, TEE cleaning & storage system

Transducers: TEE Probes

Warranty Includes: (i) repair at Product location by a qualified service technician Monday-Friday 8am to 5pm local time, excluding GE Healthcare holidays, and (ii) phone support from 7am to 7pm Central Time, Monday-Friday, excluding GE Healthcare holidays. For an additional charge, GE Healthcare may provide planned maintenance and/or coverage for damage due to accidental dropping or mishandling.

**Ultrasound Partial System Equipment Upgrades:** 3 months (only applies to the upgraded components). Customer will not be credited the value of the warranty against pre-existing warranties or service agreements.

**Batteries:** 3 months, except for x-ray nickel cadmium or lead acid batteries and ultrasound batteries, which are warranted for 1 year

**CARESCAPE Monitors B450, B650 and B850** 3 years parts, 1 year labor (excluding displays, which are standard 1 year parts and labor)

**CARESCAPE ONE :** 3 year parts, 1 year labor (excluding displays, which are standard 1 year parts and labor)

**Micromodules:** 3 year parts, 1 year labor (i) repair services performed at GE Healthcare Repair Operations Center

**B40 Monitors:** 2 years parts, 1 year labor (excluding displays, which are standard)

**B105 B125, and B155 Patient Monitors:** 3 years with: (i) repair services performed at GE Healthcare Repair Operations Center, (ii) phone support from 7am to 5pm Central Time, Monday-Friday, excluding GE Healthcare holidays; and (iii) a loaner Product (subject to availability; shipping charges included).

**Novii Wireless Patch System- Interface and Pods:** 1 year starting 40 days after shipment with: (i) exchange services performed at GE Healthcare Repair Operations Center; and (ii) phone support from 7am to 5pm Central Time, Monday-Friday, excluding GE Healthcare holidays. Customer may elect to purchase coverage for Pod damage due to accidental dropping or mishandling. This coverage excludes patches and cables, which are considered Product accessories, and are warranted pursuant to Section 1.5 above.

**MAC 7, 2000 and 3500:** 3 years (i) repair services performed at GE Healthcare Repair Operations Center, (ii) phone support from 7am to 5pm Central Time, Monday-Friday, excluding GE Healthcare holidays

**CARESCAPE V100 and VC150 Vital Signs Monitors:** 2 years

**SEER 1000:** 2 years (i) repair services performed at GE Healthcare Repair Operations Center, (ii) phone support from 7am to 5pm Central Time, Monday-Friday, excluding GE Healthcare holidays

**Exergen:** 4 years

**Microenvironment and Phototherapy consumable components:** 1 month

**Corometrics® Fetal Monitoring:** Warranty includes: (i) warranty starting on the earlier of (a) if GE Healthcare or Customer installs, 5 days after installation or (b) 40 days after shipment; and (ii) 2 years parts, 1 year labor

**Corometrics® Nautilus Transducers:** 2 years

**Lullaby Phototherapy System:** 3 years on lamp assembly

**Blood pressure cuffs and related adaptors and air hoses:** 1 month

**Anesthesia Monitor Mounting Solutions:** If purchased directly from GE Healthcare, it will be warranted as a GE Healthcare Product

**Tec 850 Vaporizers:** 3 years

**Tec 6 Plus Vaporizers:** 2 years

**CARESCAPE Gateway:** 1 year

**CARESCAPE Bridge:** 1 year

**Vscan Air and Vscan Air Vet Warranty:** 3 years with the exception of the battery and peripherals which are covered for 1 year. Warranty covers defective parts and components and includes: (i) a replacement unit, and (ii) phone support from 7am to 7pm Central Time, Monday-Friday, excluding GE Healthcare holidays. For an additional charge, GE Healthcare may provide additional battery and/or coverage for damage due to accidental dropping or mishandling

RESOLUTION 365 OF SONOMA VALLEY HEALTH CARE DISTRICT AUTHORIZING  
EXECUTION AND DELIVERY OF A LOAN AND SECURITY AGREEMENT,  
PROMISSORY NOTE, AND CERTAIN ACTIONS IN CONNECTION THEREWITH FOR  
THE CALIFORNIA HEALTH FACILITIES FINANCING AUTHORITY  
NONDESIGNATED PUBLIC HOSPITAL BRIDGE LOAN PROGRAM

**Nondesignated Public Hospital Bridge Loan Program**

WHEREAS, Sonoma Valley Health Care District (the “Borrower”) is a nondesignated public hospital as defined in Welfare and Institutions Code Section 14165.55, subdivision (1), excluding those affiliated with county health systems pursuant to Chapter 240, Statutes of 2021 (SB 170), Section 25; and

WHEREAS, Borrower has determined that it is in its best interest to borrow an aggregate amount not to exceed **\$300,487.45** from the California Health Facilities Financing Authority (the “Lender”), such loan to be funded with the proceeds of the Lender's Nondesignated Public Hospital Bridge Loan Program; and

WHEREAS, the Borrower intends to use the funds solely to fund its working capital needs to support its operations;

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors of the Borrower as follows:

Section 1. The Board of Directors of Borrower hereby ratifies the submission of the application for a loan from the Nondesignated Public Hospital Bridge Loan Program.

Section 2. John Hennelly, President and Chief Executive Officer is an “Authorized Officer” and is hereby authorized and directed, for and on behalf of the Borrower, to do any and all things and to execute and deliver any and all documents that the Authorized Officer deem(s) necessary or advisable in order to consummate the borrowing of moneys from the Lender and otherwise to effectuate the purposes of this Resolution and the transactions contemplated hereby.

Section 3. The proposed form of Loan and Security Agreement (the “Agreement”), which contains the terms of the loan is hereby approved. The loan shall be in a principal amount not to exceed **\$300,487.45**, shall not bear interest, and shall mature 24 months from the date of the executed Loan and Security Agreement between the Borrower and the Lender. The Authorized Officers is hereby authorized and directed, for and on behalf of the Borrower, to execute the Agreement in substantially said form that includes the redirection of up to 20% of Medi-Cal reimbursements (checkwrite payments) to Lender in the event of default, with such changes therein as the Authorized Officer may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof.



Section 4. The proposed form of Promissory Note (the “Note”) as evidence of the Borrower's obligation to repay the loan is hereby approved. The Authorized Officer is hereby authorized and directed, for and on behalf of the Borrower, to execute the Note in substantially said form, with such changes therein as the Authorized Officer may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof.

Date of Adoption: \_\_\_\_\_

## SECRETARY'S CERTIFICATE

I, \_\_\_\_\_, Secretary of SONOMA VALLEY HEALTH CARE DISTRICT, hereby certify that the foregoing is a full, true and correct copy of a resolution duly adopted at a regular meeting of the Board of Directors of SONOMA VALLEY HEALTH CARE DISTRICT duly and regularly held at the regular meeting place thereof on the \_\_\_\_ day of \_\_\_\_\_, 20\_\_, of which meeting all of the members of said Board of Directors had due notice and at which the required quorum was present and voting and the required majority approved said resolution by the following vote at said meeting:

**Ayes:**

**Noes:**

**Absent:**

I further certify that I have carefully compared the same with the original minutes of said meeting on file and of record in my office; that said resolution is a full, true and correct copy of the original resolution adopted at said meeting and entered in said minutes; and that said resolution has not been amended, modified or rescinded since the date of its adoption, and is now in full force and effect.

\_\_\_\_\_  
Secretary

Date: \_\_\_\_\_

**Sonoma Valley Hospital**  
**Payer Mix for the month of February 28, 2022**

ATTACHMENT A

	Month				Year-to-Date			
	Actual	Budget	Variance	% Variance	Actual	Budget	Variance	% Variance
<b>Gross Revenue:</b>								
Medicare	7,739,315	8,890,307	-1,150,992	-12.9%	69,650,302	69,251,839	398,463	0.6%
Medicare Managed Care	4,492,715	3,474,661	1,018,054	29.3%	32,955,754	26,937,786	6,017,968	22.3%
Medi-Cal	3,451,936	4,302,177	-850,241	-19.8%	31,677,598	33,259,857	-1,582,259	-4.8%
Self Pay	177,503	248,138	-70,635	-28.5%	3,064,214	1,861,935	1,202,279	64.6%
Commercial & Other Government	5,187,055	4,915,737	271,318	5.5%	47,105,082	37,928,172	9,176,910	24.2%
Worker's Comp.	981,931	693,285	288,646	41.6%	5,920,728	5,371,677	549,051	10.2%
<b>Total</b>	<b>22,030,455</b>	<b>22,524,305</b>	<b>(493,850)</b>		<b>190,373,678</b>	<b>174,611,266</b>	<b>15,762,412</b>	

	Actual	Budget	Variance	% Variance	Actual	Budget	Variance	% Variance
<b>Net Revenue:</b>								
Medicare	909,604	1,035,628	-126,024	-12.2%	7,825,944	8,286,775	-460,831	-5.6%
Medicare Managed Care	461,127	400,976	60,151	15.0%	3,475,926	3,083,620	392,306	12.7%
Medi-Cal	350,361	453,019	-102,658	-22.7%	3,243,081	3,472,263	-229,182	-6.6%
Self Pay	53,553	93,622	-40,069	-42.8%	1,257,784	702,508	555,276	79.0%
Commercial & Other Government	1,645,805	1,425,705	220,100	15.4%	12,647,613	10,901,052	1,746,561	16.0%
Worker's Comp.	149,155	128,327	20,828	16.2%	978,542	981,853	-3,311	-0.3%
Prior Period Adj/IGT	156,965	-	156,965 *		4,655,565	6,835,000	-2,179,435	-31.9%
<b>Total</b>	<b>3,726,570</b>	<b>3,537,277</b>	<b>189,293</b>	<b>5.4%</b>	<b>34,084,455</b>	<b>34,263,071</b>	<b>(178,616)</b>	<b>-0.5%</b>

	Actual	Budget	Variance	% Variance	Actual	Budget	Variance	% Variance
<b>Percent of Net Revenue:</b>								
Medicare	24.4%	29.3%	-4.9%	-16.7%	23.0%	24.2%	-1.3%	-5.4%
Medicare Managed Care	12.4%	11.3%	1.1%	9.7%	10.2%	9.0%	1.2%	13.3%
Medi-Cal	9.4%	12.8%	-3.4%	-26.6%	9.5%	10.1%	-0.6%	-5.9%
Self Pay	1.4%	2.6%	-1.2%	-46.2%	3.7%	2.1%	1.6%	76.2%
Commercial & Other Government	44.2%	40.4%	3.8%	9.4%	37.0%	31.8%	5.2%	16.4%
Worker's Comp.	4.0%	3.6%	0.4%	11.1%	2.9%	2.9%	0.0%	0.0%
Prior Period Adj/IGT	4.2%	0.0%	4.2% *		13.7%	19.9%	-6.2%	-31.2%
<b>Total</b>	<b>100.0%</b>	<b>100.0%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>100.0%</b>	<b>100.0%</b>	<b>-0.1%</b>	<b>-0.1%</b>

	Actual	Budget	Variance	% Variance	Actual	Budget	Variance	% Variance
<b>Projected Collection Percentage:</b>								
Medicare	11.8%	11.6%	0.2%	1.7%	11.2%	12.0%	-0.8%	-6.7%
Medicare Managed Care	10.3%	11.5%	-1.2%	-10.4%	10.5%	11.4%	-0.9%	-7.9%
Medi-Cal	10.1%	10.5%	-0.4%	-3.8%	10.2%	10.4%	-0.2%	-1.9%
Self Pay	30.2%	37.7%	-7.5%	-19.9%	41.0%	37.7%	3.3%	8.8%
Commercial & Other Government	31.7%	29.0%	2.7%	9.3%	26.8%	28.7%	-1.9%	-6.6%
Worker's Comp.	15.2%	18.5%	-3.3%	-17.8%	16.5%	18.3%	-1.8%	-9.8%

**SONOMA VALLEY HOSPITAL  
OPERATING INDICATORS  
For the Period Ended February 28, 2022**

**ATTACHMENT B**

	<u>CURRENT MONTH</u>				<u>YEAR-TO-DATE</u>			<u>YTD</u>
	<u>Actual 02/28/22</u>	<u>Budget 02/28/22</u>	<u>Favorable (Unfavorable) Variance</u>		<u>Actual 02/28/22</u>	<u>Budget 02/28/22</u>	<u>Favorable (Unfavorable) Variance</u>	<u>Prior Year 02/28/21</u>
<b>Inpatient Utilization</b>								
<b>Discharges</b>								
1	39	64	(25)	Med/Surg	370	469	(99)	400
2	10	16	(6)	ICU	117	121	(4)	103
3	49	80	(31)	Total Discharges	487	590	(103)	503
<b>Patient Days:</b>								
4	147	167	(20)	Med/Surg	1,358	1,373	(15)	1,364
5	95	88	7	ICU	712	720	(8)	724
6	242	255	(13)	Total Patient Days	2,070	2,093	(23)	2,088
7	5	-	5	Observation days	172	-	172	153
<b>Average Length of Stay:</b>								
8	3.8	2.6	1.2	Med/Surg	3.7	2.9	0.7	3.4
9	9.5	5.5	4.0	ICU	6.1	6.0	0.1	7.0
10	4.9	3.2	1.8	Avg. Length of Stay	4.3	3.5	0.7	4.2
<b>Average Daily Census:</b>								
11	5.3	6.0	(0.7)	Med/Surg	5.6	5.7	(0.1)	5.6
12	3.4	3.1	0.3	ICU	2.9	3.0	(0.0)	3.0
13	8.6	9.1	(0.5)	Avg. Daily Census	8.5	8.6	(0.1)	8.6
<b>Other Utilization Statistics</b>								
<b>Emergency Room Statistics</b>								
14	665	670	(5)	Total ER Visits	6,020	4,964	1,056	5,444
<b>Outpatient Statistics:</b>								
15	4,484	4,522	(38)	Total Outpatients Visits	36,784	35,873	911	30,568
16	16	16	-	IP Surgeries	87	130	(43)	120
17	88	99	(11)	OP Surgeries	823	768	55	780
18	41	43	(2)	Special Procedures	419	334	85	347
19	218	297	(78)	Adjusted Discharges	2,196	2,110	85	1,842
20	1,078	946	132	Adjusted Patient Days	9,309	7,471	1,838	7,605
21	38.5	33.8	4.7	Adj. Avg. Daily Census	38.3	30.7	7.6	31.3
22	1.3715	1.4000	(0.029)	Case Mix Index - Medicare	1.4032	1.4000	0.003	1.5979
23	1.5234	1.4000	0.123	Case Mix Index - All payers	1.3971	1.4000	(0.003)	1.5095
<b>Labor Statistics</b>								
24	217	217	0	FTE's - Worked	205	208	3.0	206
25	227	240	13	FTE's - Paid	227	230	2.7	228
26	49.12	45.89	(3.23)	Average Hourly Rate	46.25	44.80	(1.44)	46.45
27	5.90	7.10	1.20	FTE / Adj. Pat Day	5.93	7.47	1.55	7.28
28	33.6	40.5	6.9	Manhours / Adj. Pat Day	33.8	42.6	8.8	41.5
29	166.0	129.0	(37.0)	Manhours / Adj. Discharge	143.2	150.8	7.6	171.3
30	27.7%	26.1%	-1.7%	Benefits % of Salaries	24.9%	24.7%	-0.1%	22.0%
<b>Non-Labor Statistics</b>								
31	20.6%	15.9%	-4.7%	Supply Expense % Net Revenue	16.9%	13.1%	-3.8%	16.0%
32	3,518	1,897	(1,620)	Supply Exp. / Adj. Discharge	2,290	2,119	(170)	2,432
33	22,402	15,310	(7,093)	Total Expense / Adj. Discharge	18,077	18,479	402	20,050
<b>Other Indicators</b>								
34	60.0			Days Cash - Operating Funds				
35	40.8	50.0	(9.2)	Days in Net AR	42.1	50.0	(7.9)	51.4
36	89%			Collections % of Net Revenue	97%			97.1%
37	52.8	55.0	(2.2)	Days in Accounts Payable	52.8	55.0	(2.2)	46.9
38	16.9%	15.7%	1.2%	% Net revenue to Gross revenue	15.7%	19.7%	-4.0%	17.9%
39	15.9%			% Net AR to Gross AR	15.9%			17.0%



**Sonoma Valley Health Care District**  
**Balance Sheet**  
**As of February 28, 2022**

**ATTACHMENT C**

	<u>Current Month</u>	<u>Prior Month</u>	<u>Prior Year</u>
<b>Assets</b>			
Current Assets:			
1 Cash	\$ 3,342,020	\$ 3,205,068	\$ 1,406,153
2 Cash - Money Market	5,844,185	5,843,923	3,637,873
3 Net Patient Receivables	7,124,422	6,940,346	6,194,073
4 Allow Uncollect Accts	(2,117,220)	(2,001,175)	(951,947)
5 Net A/R	5,007,202	4,939,171	5,242,126
6 Other Accts/Notes Rec	1,778,140	1,950,454	2,026,449
7 Parcel Tax Receivable	1,665,888	1,665,888	1,753,089
8 GO Bond Tax Receivable	1,225,217	1,225,217	1,411,343
9 3rd Party Receivables, Net	495,689	1,637,526	215,106
10 Inventory	1,044,623	1,043,635	892,181
11 Prepaid Expenses	923,701	945,661	688,079
12 Total Current Assets	\$ 21,326,665	\$ 22,456,543	\$ 17,272,399
13 Property, Plant & Equip, Net	\$ 51,557,815	\$ 51,704,726	\$ 52,536,643
14 Trustee Funds - GO Bonds	5,091,558	5,091,525	5,111,704
15 Designated Funds - Board Approved	1,000,000	1,000,000	1,000,000
16 Total Assets	\$ 78,976,038	\$ 80,252,794	\$ 75,920,746
<b>Liabilities &amp; Fund Balances</b>			
Current Liabilities:			
17 Accounts Payable	\$ 4,402,661	\$ 4,248,742	\$ 3,465,299
18 Accrued Compensation	3,613,514	3,573,156	3,498,407
19 Interest Payable - GO Bonds	315,500	263,605	628,104
20 Accrued Expenses	1,797,166	2,183,118	1,741,627
21 Advances From 3rd Parties	-	-	-
22 Deferred Parcel Tax Revenue	1,266,668	1,583,335	1,266,652
23 Deferred GO Bond Tax Revenue	927,249	1,159,061	1,103,065
24 Current Maturities-LTD	348,292	348,292	292,889
25 Line of Credit - Union Bank	5,473,734	5,473,734	5,473,734
26 Other Liabilities	1,578,083	1,586,783	252,412
27 Total Current Liabilities	\$ 19,722,867	\$ 20,419,826	\$ 17,722,189
28 Long Term Debt, net current portion	\$ 25,047,129	\$ 25,060,409	\$ 27,203,755
Fund Balances:			
29 Unrestricted	\$ 18,568,602	\$ 19,135,119	\$ 16,601,558
30 Restricted	15,637,440	15,637,440	14,393,244
31 Total Fund Balances	\$ 34,206,042	\$ 34,772,559	\$ 30,994,802
32 Total Liabilities & Fund Balances	\$ 78,976,038	\$ 80,252,794	\$ 75,920,746

**Sonoma Valley Health Care District  
Statement of Revenue and Expenses  
Comparative Results  
For the Period Ended February 28, 2022**

ATTACHMENT D

	Month				Volume Information	Year-To-Date				YTD	
	This Year		Variance			This Year		Variance			Prior Year
	Actual		\$	%		Actual	Budget	\$	%		
<b>1</b>	49	80	(31)	-39%	Acute Discharges	487	590	(103)	-17%	503	
<b>2</b>	242	255	(13)	-5%	Patient Days	2,070	2,093	(23)	-1%	2,088	
<b>3</b>	5	-	5	0%	Observation Days	172	-	172	*	153	
<b>4</b>	\$ 17,087	\$ 16,459	\$ 628	4%	Gross O/P Revenue (000's)	\$ 147,980	\$ 125,776	\$ 22,204	18%	\$ 114,065	
					<b>Financial Results</b>						
					<b>Gross Patient Revenue</b>						
<b>5</b>	\$ 4,943,648	\$ 6,065,153	(1,121,505)	-18%	Inpatient	\$ 42,393,654	\$ 48,834,845	(6,441,191)	-13%	\$ 43,143,203	
<b>6</b>	9,981,667	10,301,675	(320,008)	-3%	Outpatient	89,881,832	79,313,727	10,568,105	13%	68,963,090	
<b>7</b>	7,105,140	6,157,477	947,663	15%	Emergency	58,098,192	46,462,694	11,635,498	25%	45,341,580	
<b>8</b>	\$ 22,030,455	\$ 22,524,305	(493,850)	-2%	<b>Total Gross Patient Revenue</b>	\$ 190,373,678	\$ 174,611,266	15,762,412	9%	\$ 157,447,873	
					<b>Deductions from Revenue</b>						
<b>9</b>	(18,356,675)	(18,809,457)	452,782	2%	Contractual Discounts	\$ (159,381,818)	\$ (145,762,627)	(13,619,191)	-9%	\$ (130,888,409)	
<b>10</b>	(100,000)	(150,000)	50,000	33%	Bad Debt	(1,500,000)	(1,200,000)	(300,000)	-25%	(970,000)	
<b>11</b>	(4,175)	(27,571)	23,396	85%	Charity Care Provision	(62,970)	(220,568)	157,598	71%	(233,000)	
<b>12</b>	156,965	-	156,965	*	Prior Period Adj/Government Program Revenue	4,655,565	6,835,000	(2,179,435)	-32%	2,708,950	
<b>13</b>	\$ (18,303,885)	\$ (18,987,028)	683,143	-4%	<b>Total Deductions from Revenue</b>	\$ (156,289,223)	\$ (140,348,195)	(15,941,028)	11%	\$ (129,382,459)	
<b>14</b>	\$ 3,726,570	\$ 3,537,277	189,293	5%	<b>Net Patient Service Revenue</b>	\$ 34,084,455	\$ 34,263,071	(178,616)	-1%	\$ 28,065,414	
<b>15</b>	\$ 77,711	\$ 77,649	62	0%	Other Op Rev & Electronic Health Records	\$ 756,116	\$ 711,192	44,924	6%	\$ 680,275	
<b>16</b>	\$ 3,804,281	\$ 3,614,926	189,355	5%	<b>Total Operating Revenue</b>	\$ 34,840,571	\$ 34,974,263	\$ (133,692)	0%	\$ 28,745,689	
					<b>Operating Expenses</b>						
<b>17</b>	\$ 1,779,122	\$ 1,756,242	(22,880)	-1%	Salary and Wages and Agency Fees	\$ 14,540,454	\$ 14,255,735	(284,719)	-2%	\$ 14,654,336	
<b>18</b>	672,975	696,130	23,155	3%	Employee Benefits	5,542,855	5,436,737	(106,118)	-2%	5,246,610	
<b>19</b>	\$ 2,452,097	\$ 2,452,372	275	0%	Total People Cost	\$ 20,083,309	\$ 19,692,472	(390,837)	-2%	\$ 19,900,946	
<b>20</b>	\$ 553,996	\$ 512,664	(41,332)	-8%	Med and Prof Fees (excl Agency)	\$ 4,574,347	\$ 4,097,648	(476,699)	-12%	\$ 3,451,608	
<b>21</b>	767,489	562,845	(204,644)	-36%	Supplies	5,027,904	4,472,379	(555,525)	-12%	4,480,480	
<b>22</b>	383,217	405,938	22,721	6%	Purchased Services	3,386,164	3,187,029	(199,135)	-6%	3,445,326	
<b>23</b>	223,283	252,880	29,597	12%	Depreciation	1,882,471	2,023,040	140,569	7%	2,045,458	
<b>24</b>	119,604	104,118	(15,486)	-15%	Utilities	989,492	918,486	(71,006)	-8%	944,150	
<b>25</b>	53,762	46,909	(6,853)	-15%	Insurance	416,949	375,272	(41,677)	-11%	359,992	
<b>26</b>	14,654	17,966	3,312	18%	Interest	129,989	143,728	13,739	10%	140,998	
<b>27</b>	189,482	102,667	(86,815)	-85%	Other	972,870	812,130	(160,740)	-20%	818,817	
<b>28</b>	78,482	-	(78,482)	*	Matching Fees (Government Programs)	1,601,524	2,600,000	998,476	-38%	620,237	
<b>29</b>	\$ 4,836,066	\$ 4,458,359	(377,707)	-8%	<b>Operating expenses</b>	\$ 39,065,019	\$ 38,322,184	(742,835)	-2%	\$ 36,208,012	
<b>30</b>	\$ (1,031,785)	\$ (843,433)	(188,352)	-22%	<b>Operating Margin</b>	\$ (4,224,448)	\$ (3,347,921)	(876,527)	-26%	\$ (7,462,323)	

**Sonoma Valley Health Care District  
Statement of Revenue and Expenses  
Comparative Results  
For the Period Ended February 28, 2022**

ATTACHMENT D

	Month					Year-To- Date				YTD	
	This Year		Variance			This Year		Variance			Prior Year
	Actual		\$	%		Actual	Budget	\$	%		
<b>31</b>	\$ (1,276)	\$ 976	(2,252)	-231%						\$ 25,434	
<b>32</b>	6,126	-	6,126	0%						498	
<b>33</b>	-	-	-	*						0	
<b>34</b>	316,667	316,667	-	0%						2,533,336	
<b>35</b>	(36,166)	-	(36,166)	0%						36	
<b>36</b>	<u>\$ 285,351</u>	<u>\$ 317,643</u>	<u>3,874</u>	<u>-10%</u>						<u>\$ 2,559,304</u>	
<b>37</b>	<u>\$ (746,434)</u>	<u>\$ (525,790)</u>	<u>(220,644)</u>	<u>42%</u>	<b>Net Income / (Loss) prior to Restricted Contributions</b>	<u>\$ (1,832,672)</u>	<u>\$ (806,777)</u>	<u>(927,962)</u>	<u>115%</u>	<u>\$ (4,903,019)</u>	
<b>38</b>	\$ -	\$ -	-	0%	Capital Campaign Contribution	\$ -	\$ -	-	0%	\$ -	
<b>39</b>	\$ -	\$ 523,803	(523,803)	0%	Restricted Foundation Contributions	\$ 419,884	\$ 4,190,424	(3,770,540)	100%	\$ 5,098,311	
<b>40</b>	<u>\$ (746,434)</u>	<u>\$ (1,987)</u>	<u>(744,447)</u>	<u>37466%</u>	<b>Net Income / (Loss) w/ Restricted Contributions</b>	<u>\$ (1,412,788)</u>	<u>\$ 3,383,647</u>	<u>(4,796,435)</u>	<u>-142%</u>	<u>\$ 195,292</u>	
<b>41</b>	179,917	197,788	(17,871)	-9%	GO Bond Activity, Net	1,224,250	1,576,221	(351,971)	-22%	1,482,572	
<b>42</b>	<u>\$ (566,517)</u>	<u>\$ 195,801</u>	<u>(762,318)</u>	<u>-389%</u>	<b>Net Income/(Loss) w GO Bond Activity</b>	<u>\$ (188,538)</u>	<u>\$ 4,959,868</u>	<u>(5,148,406)</u>	<u>-104%</u>	<u>\$ 1,677,864</u>	
	\$ (523,151)	\$ (272,910)	(250,241)		<b>EBDA - Not including Restricted Contributions</b>	\$ 49,799	\$ 1,216,263	(1,166,464)		\$ (2,857,561)	
	-13.8%	-7.5%				0.1%	3.5%			-9.9%	

\* Operating Margin without Depreciation expense:

\$ (1,031,785)	\$ (843,433)	\$ (188,352)	-22%	<b>Operating Margin</b>	\$ (4,224,448)	\$ (3,347,921)	\$ (876,527)	-26%	\$ (7,462,323)
223,283	252,880	29,597	12%	Add back Depreciation	1,882,471	2,023,040	140,569	7%	2,045,458
<u>\$ (808,502)</u>	<u>\$ (590,553)</u>	<u>\$ (158,755)</u>	<u>-37%</u>	<b>Operating Margin without Depreciation expense</b>	<u>\$ (2,341,977)</u>	<u>\$ (1,324,881)</u>	<u>\$ (735,958)</u>	<u>-77%</u>	<u>\$ (5,416,865)</u>

**Sonoma Valley Health Care District  
Variance Analysis  
For the Period Ended February 28, 2022**

**ATTACHMENT E**

<b>Operating Expenses</b>	<b>YTD Variance</b>	<b>Month Variance</b>	
Salary and Wages and Agency Fees	(284,719)	(22,880)	Salaries and wages are under budget by \$71,616 and agency fees are over by (\$94,496). Agency fees are over budget in emergency room, surgery, respiratory therapy, radiology, MRI, and OP physical therapy.
Employee Benefits	(106,118)	23,155	Paid time off is under budget by \$27,343 and employee benefits are over by (\$4,188).
<b>Total People Cost</b>	<b>(390,837)</b>	<b>275</b>	
Med and Prof Fees (excl Agency)	(476,699)	(41,332)	Professional fees are over budget due to higher than budgeted UCSF management costs including the unbudgeted position of IT director which is offset by a savings in salaries & wages.
Supplies	(555,525)	(204,644)	Supplies are over budget in the lab (\$106,048) due to increased costs for COVID testing supplies as well as January invoices posted to February, and in surgery (\$58,238) for implant costs, and in Pharmacy (\$18,406) for high cost drugs.
Purchased Services	(199,135)	22,721	
Depreciation	140,569	29,597	
Utilities	(71,006)	(15,486)	
Insurance	(41,677)	(6,853)	
Interest	13,739	3,312	
Other	(160,740)	(86,815)	Other expenses are over budget due to recruiting costs for a technical supervisor and manager for the lab (\$76,972)
Matching Fees (Government Programs)	998,476	(78,482)	
<b>Operating expenses</b>	<b>(742,835)</b>	<b>(377,707)</b>	



Sonoma Valley Hospital  
Cash Forecast  
FY 2022

ATTACHMENT F

	Actual July	Actual Aug	Actual Sept	Actual Oct	Actual Nov	Actual Dec	Actual Jan	Actual Feb	Forecast Mar	Forecast Apr	Forecast May	Forecast Jun	TOTAL
<b>Hospital Operating Sources</b>													
1 Patient Payments Collected	3,768,614	3,604,012	3,741,094	3,556,171	3,899,456	4,078,858	3,650,080	3,486,789	3,715,092	3,543,740	3,660,679	3,579,175	44,283,761
2 Other Operating Revenue	50,926	33,133	27,360	158,301	168,773	44,417	57,192	298,629	80,485	80,485	80,485	80,485	1,160,671
3 Other Non-Operating Revenue	10,121	10,229	9,624	10,574	10,823	9,676	11,783	17,553	14,516	14,516	14,516	14,518	148,450
4 Unrestricted Contributions	14,875			6,564	12,201		8,303	6,126					48,068
5 Line of Credit													-
<b>Sub-Total Hospital Sources</b>	<b>3,844,535</b>	<b>3,647,375</b>	<b>3,778,079</b>	<b>3,731,610</b>	<b>4,091,253</b>	<b>4,132,951</b>	<b>3,727,358</b>	<b>3,809,097</b>	<b>3,810,093</b>	<b>3,638,741</b>	<b>3,755,680</b>	<b>3,674,178</b>	<b>45,640,950</b>
<b>Hospital Uses of Cash</b>													
6 Operating Expenses	5,686,921	4,339,055	4,171,999	4,009,059	3,881,749	5,466,683	4,329,164	4,812,819	4,280,801	4,184,506	4,256,798	4,216,081	53,635,634
7 Add Capital Lease Payments	116,550	26,560	34,320	20,919	15,355	93,827	13,280	13,280	14,502	14,502	14,502	82,109	459,706
8 Additional Liabilities/LOC													-
9 Capital Expenditures	114,099	104,421	21,501	56,972	29,172	308,751	190,985	66,365	1,170,500	1,245,500	638,012	638,012	4,584,290
<b>Total Hospital Uses</b>	<b>5,917,571</b>	<b>4,470,037</b>	<b>4,227,821</b>	<b>4,086,949</b>	<b>3,926,275</b>	<b>5,869,260</b>	<b>4,533,429</b>	<b>4,892,464</b>	<b>5,465,803</b>	<b>5,444,508</b>	<b>4,909,312</b>	<b>4,936,202</b>	<b>58,679,631</b>
<b>Net Hospital Sources/Uses of Cash</b>	<b>(2,073,036)</b>	<b>(822,662)</b>	<b>(449,742)</b>	<b>(355,339)</b>	<b>164,978</b>	<b>(1,736,310)</b>	<b>(806,072)</b>	<b>(1,083,367)</b>	<b>(1,655,710)</b>	<b>(1,805,767)</b>	<b>(1,153,632)</b>	<b>(1,262,024)</b>	<b>(13,038,681)</b>
<b>Non-Hospital Sources</b>													
10 Restricted Cash/Money Market			1,000,000	2,000,000	(1,203,742)		(2,000,000)						(203,742)
11 Restricted Capital Donations	107,079	101,291	27,342	19,084	8,258		146,527		333,000	333,000	525,512	525,511	2,126,604
12 Parcel Tax Revenue	164,000					2,134,112				1,662,000			3,960,112
13 Other Payments - Ins. Claims/HHS/Grants/Loans					1,203,742	173,982			308,000	303,000	850,000		2,838,724
14 Other:													-
15 IGT			51,360				2,717,483	1,298,801				89,000	4,156,644
16 IGT - AB915			70,338								382,000		452,338
17 QIP					42,180					156,964			199,144
<b>Sub-Total Non-Hospital Sources</b>	<b>271,080</b>	<b>101,291</b>	<b>1,149,040</b>	<b>2,019,084</b>	<b>50,438</b>	<b>2,308,094</b>	<b>864,010</b>	<b>1,298,801</b>	<b>641,000</b>	<b>2,454,964</b>	<b>1,757,512</b>	<b>614,511</b>	<b>13,529,824</b>
<b>Non-Hospital Uses of Cash</b>													
18 Matching Fees		29,494		1,096,301		398,059		78,482			44,500		1,646,836
<b>Sub-Total Non-Hospital Uses of Cash</b>	<b>-</b>	<b>29,494</b>	<b>-</b>	<b>1,096,301</b>	<b>-</b>	<b>398,059</b>	<b>-</b>	<b>78,482</b>	<b>-</b>	<b>-</b>	<b>44,500</b>	<b>-</b>	<b>1,646,836</b>
<b>Net Non-Hospital Sources/Uses of Cash</b>	<b>271,080</b>	<b>71,797</b>	<b>1,149,040</b>	<b>922,783</b>	<b>50,438</b>	<b>1,910,035</b>	<b>864,010</b>	<b>1,220,319</b>	<b>641,000</b>	<b>2,454,964</b>	<b>1,713,012</b>	<b>614,511</b>	<b>11,882,989</b>
<b>Net Sources/Uses</b>	<b>(1,801,956)</b>	<b>(750,865)</b>	<b>699,298</b>	<b>567,444</b>	<b>215,416</b>	<b>173,725</b>	<b>57,939</b>	<b>136,952</b>	<b>(1,014,710)</b>	<b>649,197</b>	<b>559,380</b>	<b>(647,513)</b>	
Operating Cash at beginning of period	4,044,067	2,242,111	1,491,246	2,190,544	2,757,988	2,973,404	3,147,129	3,205,068	3,342,020	2,327,310	2,976,507	3,535,887	
<b>Operating Cash at End of Period</b>	<b>2,242,111</b>	<b>1,491,246</b>	<b>2,190,544</b>	<b>2,757,988</b>	<b>2,973,404</b>	<b>3,147,129</b>	<b>3,205,068</b>	<b>3,342,020</b>	<b>2,327,310</b>	<b>2,976,507</b>	<b>3,535,887</b>	<b>2,888,374</b>	
Money Market Account Balance - Undesignated	5,638,824	5,639,115	4,639,373	2,639,564	3,843,478	3,843,684	5,843,923	5,844,185	5,844,185	6,844,185	6,844,185	6,844,185	
<b>Total Cash at End of Period</b>	<b>7,880,935</b>	<b>7,130,361</b>	<b>6,829,917</b>	<b>5,397,552</b>	<b>6,816,883</b>	<b>6,990,813</b>	<b>9,048,991</b>	<b>9,186,205</b>	<b>8,171,495</b>	<b>9,820,692</b>	<b>10,380,072</b>	<b>9,732,559</b>	
<b>Average Days of Cash on Hand</b>	<b>58.8</b>	<b>52.3</b>	<b>45.5</b>	<b>40.0</b>	<b>36.6</b>	<b>46.3</b>	<b>54.3</b>	<b>58.5</b>					
<b>Days of Cash on Hand at End of Month</b>	<b>54.6</b>	<b>50.1</b>	<b>47.3</b>	<b>35.3</b>	<b>45.1</b>	<b>46.0</b>	<b>59.7</b>	<b>60.0</b>	<b>55.6</b>	<b>66.8</b>	<b>70.6</b>	<b>66.2</b>	



To: SVHCD Board of Directors  
From: John Hennelly  
Date: 04.07.22  
Subject: Administrative Report

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Since the recession of Omicron at the end of January, the hospital has experienced a relative calm. We've treated fewer Covid patients in the Emergency Room and fewer still have needed inpatient care. This has provided an opportunity to catch our breath and reassess, to insure we remain prepared for whatever the future presents.

**Quality** performance continues to be strong through this period, building upon the stellar performance amidst the Omicron surge in January, February was similarly strong. The key is consistency, following protocols as written each and every time, despite any complicating factors. Specifically, infection prevention protocols, safety protocols and very low O/E mortality rates show high performance. The care teams are doing well.

February's volume/**financial performance** provided mixed results. ED volume was just below expectations while both in and outpatient surgical volumes remained strong. While discharges were below target, CMI was exceptionally strong. Covid related lab costs exceed budget and labor costs continue to rise. Days cash continues to meet/exceed target.

The **ODC project** continues. The focus for the second quarter of CY22 is to finalize plans, gain HCAI approval, onboard a contractor and begin construction to complete CT. We expect construction toward this goal to start in earnest in May. CT Phase 2, the decommissioning of the old CT and the renovation of that and surrounding spaces will start as soon as occupancy on the new CT is granted by HCAI, likely late Summer.

The **EPIC** project continues toward December go live. Significant activity will begin in June.

## Update from 2025 Strategic Plan:

Strategic Priorities	Update
<p>Enhance Quality and Services through the affiliation with UCSF Health</p>	<ul style="list-style-type: none"> <li>➤ We continue to focus on building relationships within the UCSF network               <ul style="list-style-type: none"> <li>○ GI</li> <li>○ Primary Care (Marin Health MG)</li> <li>○ Gyn</li> </ul> </li> <li>➤ We are exploring contracting arrangements with various entities to create the best environment for recruiting physicians.</li> <li>➤ Ben Armfield started as the new CFO effective 2/28/22.</li> <li>➤ CMO recruitment is underway.</li> </ul>
<p>Exceed Community Expectations especially in Emergency Services</p>	<ul style="list-style-type: none"> <li>➤ The hospital continues to provide guidance to our community regarding Covid guidelines.</li> <li>➤ The hospital has expanded availability of testing to the community through the hospital drive through. Appointments still need to be referred by a primary care physician.</li> <li>➤ Phase 1 of the ODC is underway. Architectural review in concert with GMH and HCAI should be completed in April. Occupancy planned for late Summer.</li> <li>➤ Engagement continues with community groups and community members.</li> </ul>
<p>Ensure Patients receive Excellent, Safe care</p>	<ul style="list-style-type: none"> <li>➤ Covid screening protocols continue to be deployed throughout the hospital. Visitors are again permitted in the hospital. All staff remain masked.</li> <li>➤ Implementation of Epic is in the planning phase. Go live scheduled for December 2022.</li> </ul>
<p>Provide Access to Excellent Physicians</p>	<ul style="list-style-type: none"> <li>➤ The team continues to work on recruitment efforts to bring MDs to Sonoma. Focus currently on primary care and surgery.</li> </ul>
<p>Be a Healthy Hospital</p>	<ul style="list-style-type: none"> <li>➤ All staff must be vaccinated against Covid or have a waiver. SVH employees and medical staff are 98% vaccinated and 96% boosted. Those without vaccines or boosters are either exempted or on leaves of absences.</li> </ul>

# SVH Performance Score Card

## 1. Quality and Safety

Objective	Target	JAN. 22	FEB. 22	Trend	Supporting detail
<b>Infection Prevention</b>					
Central Line Blood Stream Infection CLABSI per 10k pt days	<1	0.0	0.0	↔	
Catheter Associated Urinary Tract Infection- CAUTI per 10k pt days	<1	0.0	0.0	↔	
CDIFF Infection per 10k pt days	<0.9	0.0	0.0	↔	
<b>Safety</b>					
Patient Fall per 1000 pt days	<3.75	0.0	4.1	↓	1 fall, no injury
Patient fall with injury per 1000 pt days	<3.75	0.0	0.0	↔	
Surgical Site Infections per 1000 Acute Care Admissions	0.00	0.0	0/0	↔	

<b>Core Measures</b>					
Sepsis Early Management Bundle % compliant	>81%	100 (n=5)	66.7 (n=6)	↓	2 patient fall outs
Severe Sepsis 3 hour Bundle % compliant	>94%	100 (n=5)	83.3 (n=6)	↓	
Severe Sepsis 6 hr Bundle % compliant	100.00	100 (n=4)	80 (n=5)	↓	1 patient fall out
Core OP 23- Head CT within 45 mins % compliant	100.00	100 (n=2)	100 (n=2)	↔	

<b>Mortality</b>					
Acute Care Mortality Rate %	<15.3	6.2	2.1	↑	

<b>ED</b>					
Core OP 18b Median Time ED arrival to ED Departure mins	<132	118 (n=32)	140 (n=31)	↓	
Core Op 22 ED Left without being seen LWBS	<2%	2.8	1.6	↑	

<b>PSI 90</b>					
PSI 90 Composite Acute Care Admissions	0.00	0.0	0.0	↔	

<b>Preventable Harm</b>					
Preventable Harm Events Rate	0.00	0.4	0.1	↑	Target 0, Alarm set at 5.0

<b>Readmissions</b>					
Readmissions to Acute Care within 30 days %	<15.3	8.77	9.3	↔	Lower is better

## 2. Employees

Objective	Target	JAN. 22	FEB. 22	Trend	Supporting Detail
Turnover	<3%	1.4	0.6	↑	
Workplace Injuries	<20 Per Year	4 (Qtr 4)	2 (Qtr1)	↔	Injuries reported per Quarter

## 3. Patient Experience

Objective	Target	DEC. 21	JAN. 22	Trend	Supporting Detail
<b>Outpatient Ambulatory Services</b>					
Recommend Facility	>50%	54 (n=21)	13 (n=17)	↓	
Communication	>60%	86 (n=21)	14 (n=17)	↓	
Discharge Instructions	>70%	75 (n=21)	99 (n=17)	↑	
<b>HCAHPS</b>					
Recommend the hospital	>50%	94 (n=8)	91 (n=12)	↔	
Communication with Nurse	>50%	29 (n=8)	99 (n=12)	↑	
Communication with Doctor	>50%	78 (n=8)	87 (n=12)	↑	
Cleanliness of Hospital	>50%	1 (n=8)	70 (n=12)	↑	
Communication about medicines	>60%	42 (n=8)	8 (n=12)	↓	
Discharge Information	>50%	42 (n=8)	8 (n=12)	↓	

## 4. Volume

Objective	Target	JAN. 22	FEB. 22	Trend	Supporting Detail
<b>Patient Visits</b>					
Emergency Visits	>750	723.0	665.0	↓	Feb did have 2-3 less days than other months
Surgical Volume Outpatient	>80	103.0	91.0	↓	
Surgical Volume Inpatient	>13	9.0	16.0	↑	
Inpatient Discharges	>50	65.0	49.0	↓	

## 5. Financial

Objective	Target	JAN. 21	FEB. 22	Trend	Supporting Detail
EBDA in %	0.5	-2.3	-13.8	↓	
Days Cash on Hand month end	42	54.3	58.5	↑	
Net Revenue \$M	49	30.4	34.8	↑	





## Scorecard Definitions for Quality Metrics

### **Central Line Associated Blood Stream Infection (CLABSI)**

Blood stream infection found in a patient with a central line in place and has been >48 hours since admission.

### **Catheter Associated Urinary Tract Infection (CAUTI)**

Urinary tract infection found in a patient who has a catheter in place and has been >48hrs since admission.

### **CDIFF (Clostridium Difficile)**

Clostridium Difficile found from a stool sample in a patient that has been admitted >48hrs

### **Sepsis Early Management**

Obtain Blood Cultures BEFORE antibiotics

Administer Antibiotics

Obtain Lactate Level

Lactate Level repeated (if elevated)

### **Severe Sepsis 3 hour bundle**

All above included plus-

Administer 30ml/kg of crystalloid for hypotension or Lactate >4

Focused MD exam

### **Severe Sepsis 6 hour bundle (septic shock only)**

Lactate greater than 4 or

If persistent hypotension with 1 hour of fluid administration add Vasopressor

Shock reassessment by physician

### **Mortality**

Acute care mortality benchmark is derived from CMS 5-star rating benchmark

### **PSI 90**

Summarizes patient safety across multiple indicators including-

Pressure Ulcers

Falls with Hip Fracture

Perioperative (while in surgery) complications

Postoperative complications

### **Preventable Harm**

Unintended physical injury resulting from or contributed to by medical care (including the absence of indicated medical treatment), that requires additional monitoring, treatment or hc  
Goal is 0, Alarm is 5.0

### **Readmissions**

Percentage of patients that get readmitted to the hospital within 30 days of discharge.



**To:** Board of Directors, Sonoma Valley Hospital  
**From:** Sabrina Kidd, Former CMO at Sonoma Valley Hospital  
**Date:** April 7, 2022  
**Subject:** Recommendation Regarding Resuming In-Person Meetings

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My recommendations are as follows:

Case counts are low and stable, and hospitalizations continue to decline. There are effective vaccines available as well as treatments for those who are high risk or who may not qualify for a vaccine. Therefore, I think it is ok to resume in person board meetings.

I would suggest that a hybrid option may be advisable for the near future to accommodate those who are high risk or have extenuating circumstances as well as those who may live with such an individual and continue to be cautious. For now, I believe masks would need to continue (but there may need to be some exceptions for speakers for clarity which means spacing (distancing) is important. I would also set a capacity limit for the space that may be below the normal limit (perhaps 3/4) to allow those who prefer to keep distance to do so.

Messaging should also ask all who attend in person to stay home and zoom in if they are experiencing any possible symptoms or have had a recent known exposure.

Sincerely,

Sabrina Kidd